

*Document*  
*Modules*  
*Version*  
*Year*

User Manual – FINGate 2.0  
Request Response  
1.0  
2023



Version Control Chart

Version	Date	Remarks
1.0	14-March-2023	Initial Version



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## 1 Introduction

### 1.1 Purpose

Project FINnet 2.0 envisions to streamline and redefine the process of collection, processing, and dissemination of data for the purpose of effectively generating meaningful intelligence to curb money laundering activities and enforce the provision of PMLA in India. This is a project of national importance and aims to strengthen the financial security architecture of India. The mission statement of FINnet 2.0 states – To provide quality financial intelligence for safeguarding the financial system from the abuses of money laundering, terrorism financing, and other economic offenses.

FINnet 2.0 is implemented as a set of three (3) systems to ensure that the data ingested and processed by the three is isolated and immune to security threats as much as possible and all data is secure. The systems are listed below –

1. FINGate – Collection and pre-processing system
2. FINCore – Processing and analysis system
3. FINex – Dissemination system

The proposed FINGate system shall consist of multiple reporting mechanisms to ensure compliance and facilitate quick and easy reporting.

This document is the user manual for FINGate Portal – Request Response module of the FINnet 2.0 System. This module deals with the request-response functionality with the REs in the FINGate application. It covers the process of REs receiving requests for information from FIU-IND and REs responding to these requests. The RE User reviews the FIU-IND request, prepares information, and sends the response to FIU-IND.

To access the FINGate portal, navigate to <https://fingate.gov.in>

### 1.2 Scope

The scope of this document is to provide guidance on using the FINGate Request response module and act as a user manual. The functionalities covered in this user manual are:

1. Navigating to Request Response
2. Response to the Request

## 2 Request Response

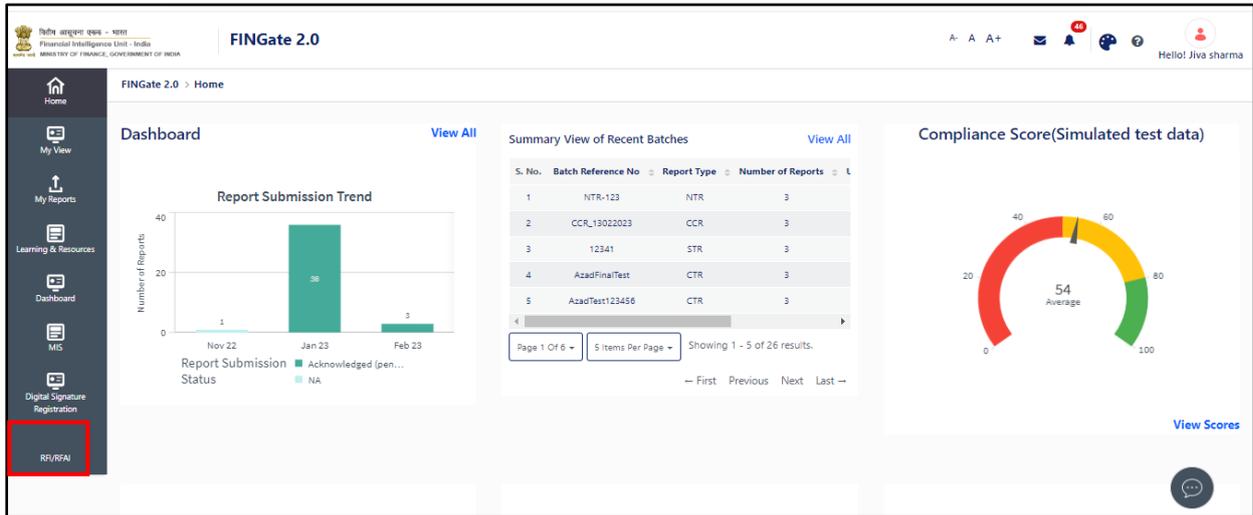
As part of the Request Response, FIU-IND can request for information from the RE's. The request will have priority as P1, P2 or P3. The requested data have to be responded in the below formats:

1. KYC Details
2. Account Details
3. Transaction Details
4. Account Statement Details
5. Past Report Details

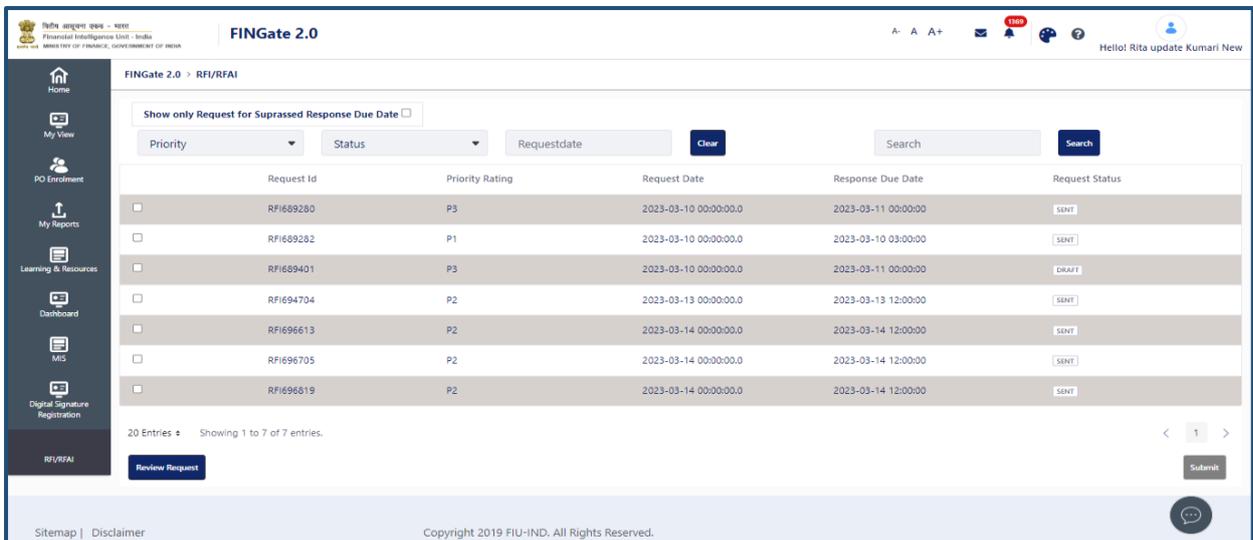
Request for information can be in unstructured format also.

## 2.1 Respond to the Request

1. The RE user lands on the homepage and clicks on the “RFI/RFI” icon as shown in the image below.



2. The RE user will be navigated to the “RFI/RFI” screen.



3. RE user will be able to view the request received from FIU-IND user in the list view and the status of the request will be ‘Received’ as shown in the image below.



**FINGate 2.0 > RFI/RFAI**

Show only Request for Supressed Response Due Date

Priority Status Requestdate Clear Search Search

Request Id	Priority Rating	Request Date	Response Due Date	Request Status
<input type="checkbox"/> RFI689280	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00	SENT
<input type="checkbox"/> RFI689282	P1	2023-03-10 00:00:00.0	2023-03-10 03:00:00	SENT
<input type="checkbox"/> RFI689401	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00	DRAFT
<input type="checkbox"/> RFI694704	P2	2023-03-13 00:00:00.0	2023-03-13 12:00:00	SENT
<input type="checkbox"/> RFI696613	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	SENT
<input type="checkbox"/> RFI696705	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	SENT
<input type="checkbox"/> RFI696819	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	SENT
<input type="checkbox"/> RFI699215	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	RECEIVED

20 Entries Showing 1 to 8 of 8 entries.

4. RE user should select the checkbox of the request and needs to click on the 'Review Request' button to view the request details.

**FINGate 2.0 > RFI/RFAI**

Show only Request for Supressed Response Due Date

Priority Status Requestdate Clear Search Search

Request Id	Priority Rating	Request Date	Response Due Date	Request Status
<input type="checkbox"/> RFI689280	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00	SENT
<input type="checkbox"/> RFI689282	P1	2023-03-10 00:00:00.0	2023-03-10 03:00:00	SENT
<input type="checkbox"/> RFI689401	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00	DRAFT
<input type="checkbox"/> RFI694704	P2	2023-03-13 00:00:00.0	2023-03-13 12:00:00	SENT
<input type="checkbox"/> RFI696613	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	SENT
<input type="checkbox"/> RFI696705	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	SENT
<input type="checkbox"/> RFI696819	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	SENT
<input checked="" type="checkbox"/> RFI699215	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	RECEIVED

20 Entries Showing 1 to 8 of 8 entries.

**Review Request**

**FINGate 2.0 > RFI/RFAI**

Request ID : INF81234H Priority : P1

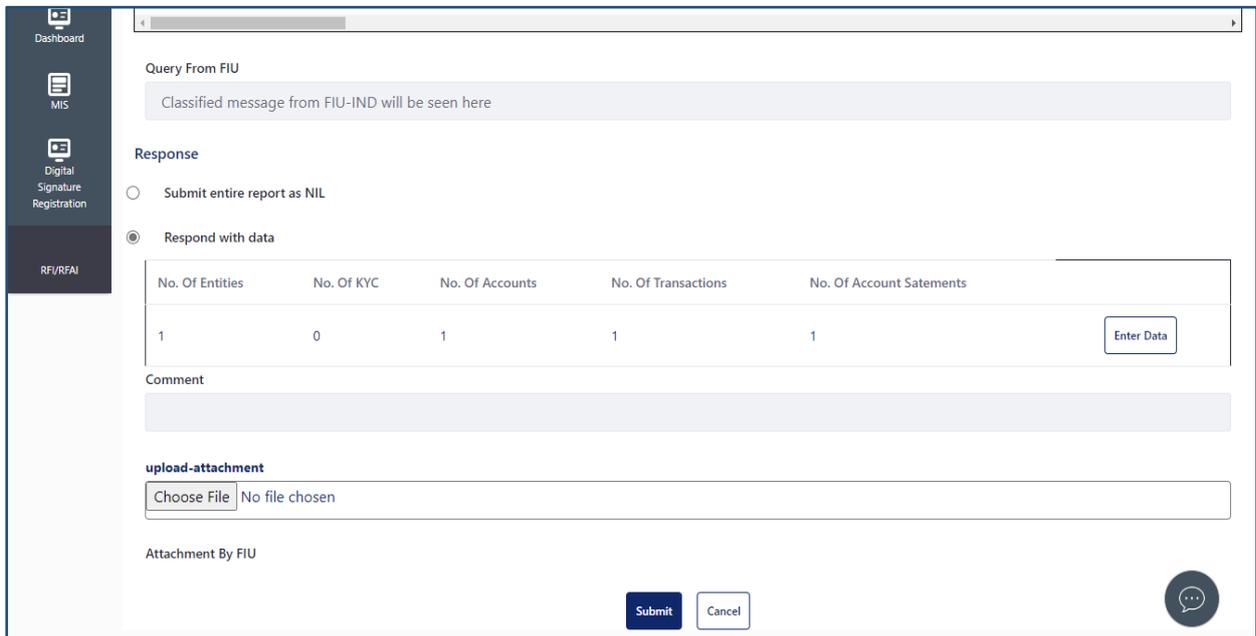
Review FIU Request

Requested Input

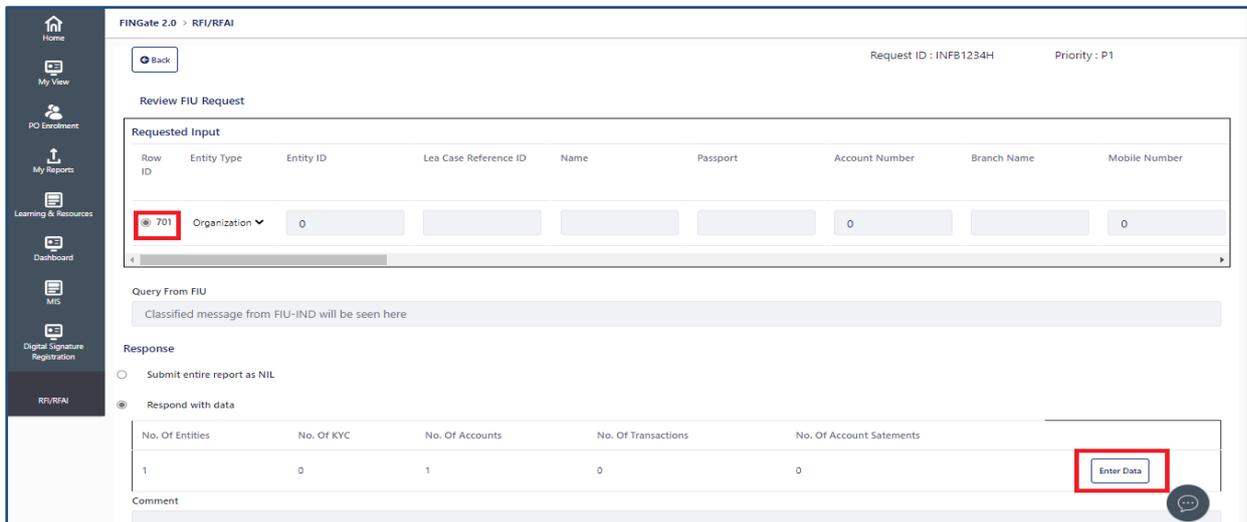
Row ID	Entity Type	Entity ID	Lea Case Reference ID	Name	Passport	Account Number	Branch
<input type="radio"/> 101	Organization	0	T1T2T3	ANUJ		0	Del

Query From FIU

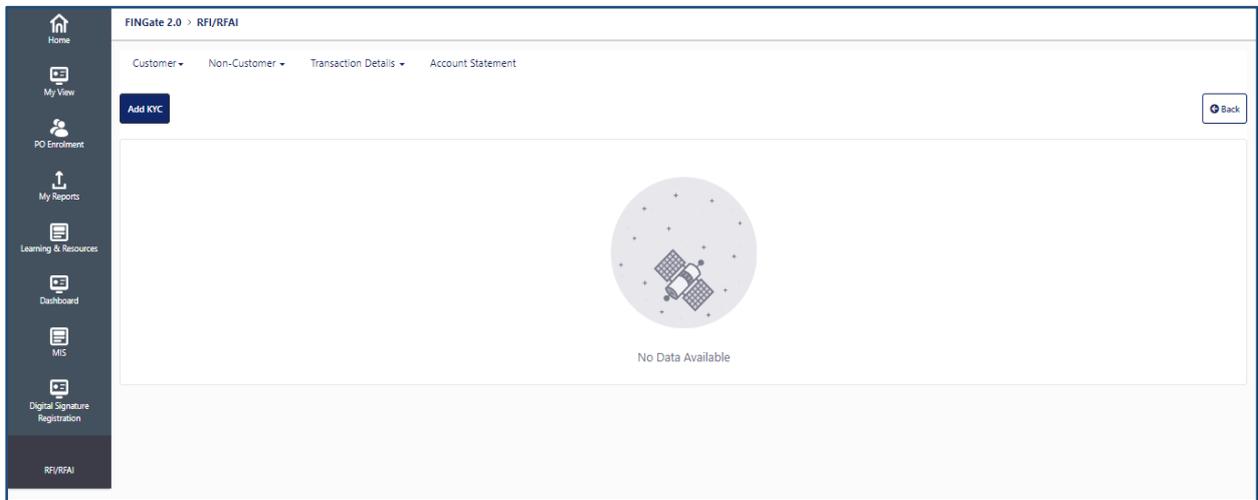
Classified message from FIU-IND will be seen here



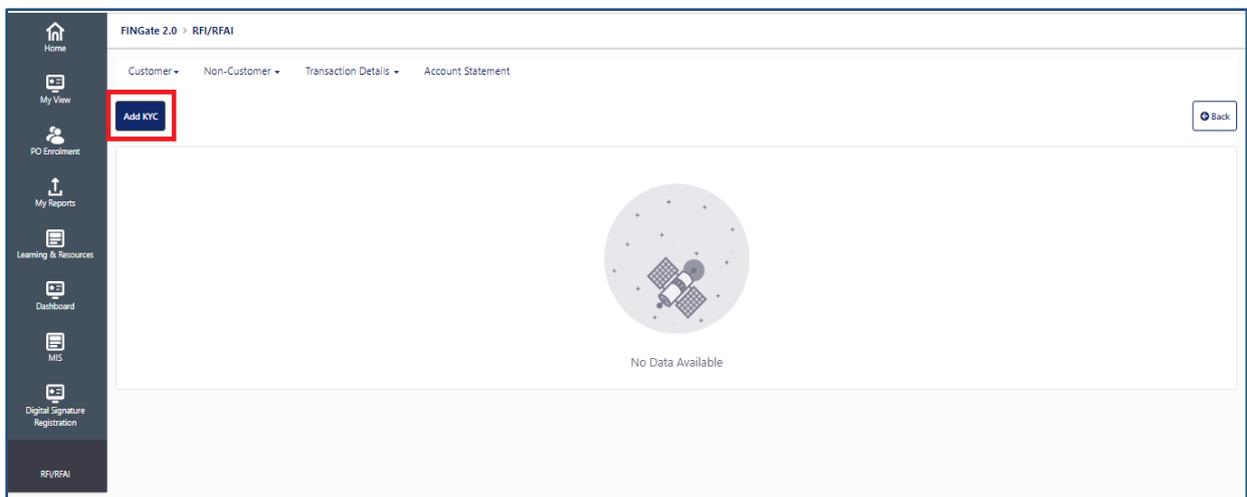
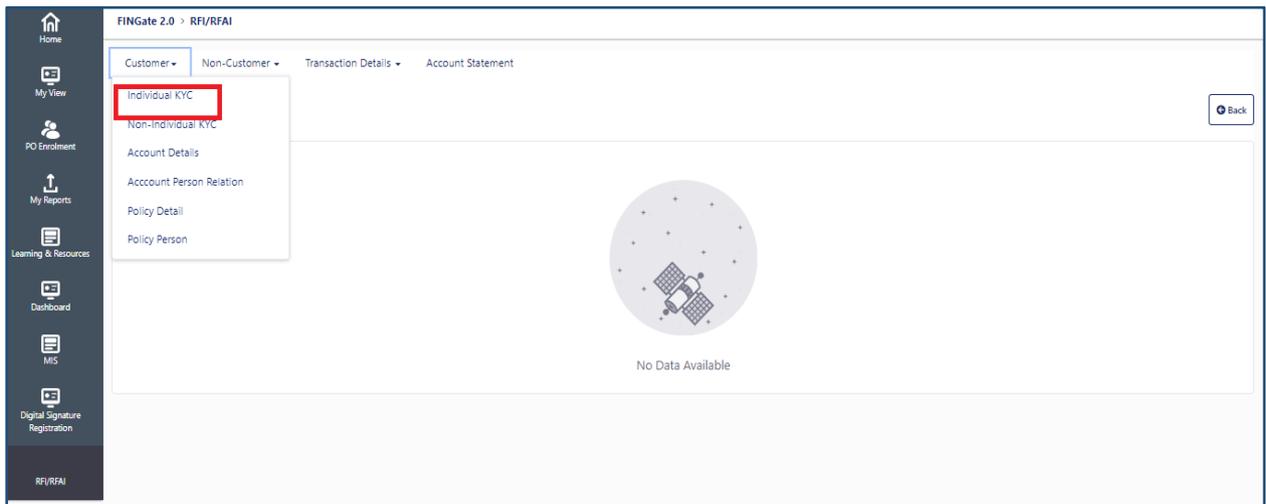
5. If RE does not have the information as per the requested details, then the RE user can select the “Submit entire report as NIL” button.
6. If RE user want to respond to the received request with data, then the RE User should select the row for which response need to be entered and click on ‘Enter Data’ as shown in the image below.



7. On clicking ‘Enter Data’ the RE user will be redirected to the below screen.



8. RE user should select the required form and click on the 'Add' button to fill the details as shown in the image below.





The screenshot shows the 'Individual Identifier Details' section of the FINGate 2.0 RFI/RFI form. The form is titled 'New KYC Individual (KC1)' and includes the following fields and options:

- UCIC \***: Text input field for UCIC.
- PAN**: Text input field for PAN.
- Declaration (If PAN is not available)**: Radio button for  PAN Not Available \*
- PEKRN**: Text input field for PEKRN.
- CKYC Number**: Text input field for CKYC Number.
- Declaration (If CKYC is not available)**: Radio button for  CKYC Not Available \*
- Passport Number \***: Text input field for Passport Number.
- Voter ID \***: Text input field for Voter ID.
- Drivers License Number \***: Text input field for Drivers License Number.
- NREGA Card \***: Text input field for NREGA Card.
- NPR \***: Text input field for NPR.
- Identity verified using Aadhaar ID**: Radio button for  Identity Verified \*
- DIN / DPIN**: Text input field for DIN / DPIN.
- Individual Personal Details**: Section containing:
  - First Name \***: Text input field for First Name.
  - Middle Name**: Text input field for Middle Name.
  - Last Name**: Text input field for Last Name.
  - Declaration (If Last name is not available)**: Radio button for  Last Name Not Available \*

9. RE user should fill all the mandatory details and click on the 'Save' button as shown in the image below.

This screenshot is identical to the one above, showing the 'Individual Identifier Details' section of the FINGate 2.0 RFI/RFI form. The 'Save' button, represented by a speech bubble icon, is highlighted in the bottom right corner of the form.



Secondary Address 1 Secondary Address Locality Select Secondary Address Pin Code

Secondary Address State Name Secondary Address District Name Secondary Address City/Village/Town Name  
Secondary Address State Name Secondary Address District Name Secondary Address City / Village / Town

Individual Profile Detail

Customer Type \* Customer ID Annual Income (INR) Occupation  
Select Customer ID Annual Income (INR) Select

Employer Name Employer Address 1 Employer Address Locality Employer Address Pin Code  
Employer Name Employer Address 1 Employer Address Locality Employer Address Pin Code

Employer Address Country  
Afghanistan

Employer Address State Name Employer Address District Name Employer Address City / Village / Town  
Employer Address State Name Employer Address District Name Employer Address City / Village / Town

Date of Customer On-boarding \* Date of Last KYC / re-KYC \* Customer Risk Level \*  
dd-mm-yyyy dd-mm-yyyy Select

Save Close

\*\* Please enter one of 5 (Passport/ NREGA card/ Voter ID/ Driving License/ NPR/ Identity verified using Aadhaar)

10. After saving the response user will redirect to list view and will be able to view and edit the response. User can also add more response by clicking on 'Add' as shown in the image below.

Home  
My View  
PO Enrolment  
My Reports  
Learning & Resources  
Dashboard  
MIS

FINGate 2.0 > RFI/RFAI

Customer Non-Customer Transaction Details Account Statement

Add Account Back

Account Number	Create Date	Status	Actions
6567576867	2023-03-14 18:37:47.254	0	
97654321032	2023-03-14 17:00:43.423	0	

11. RE user should click on 'Back' button and should redirect to the screen as shown in the image below.

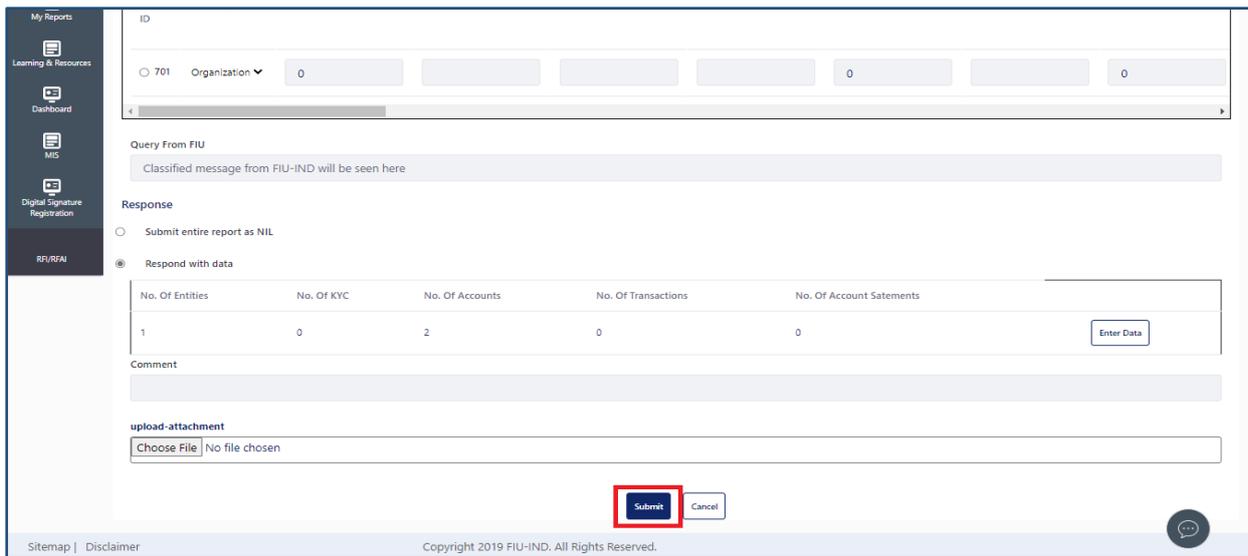
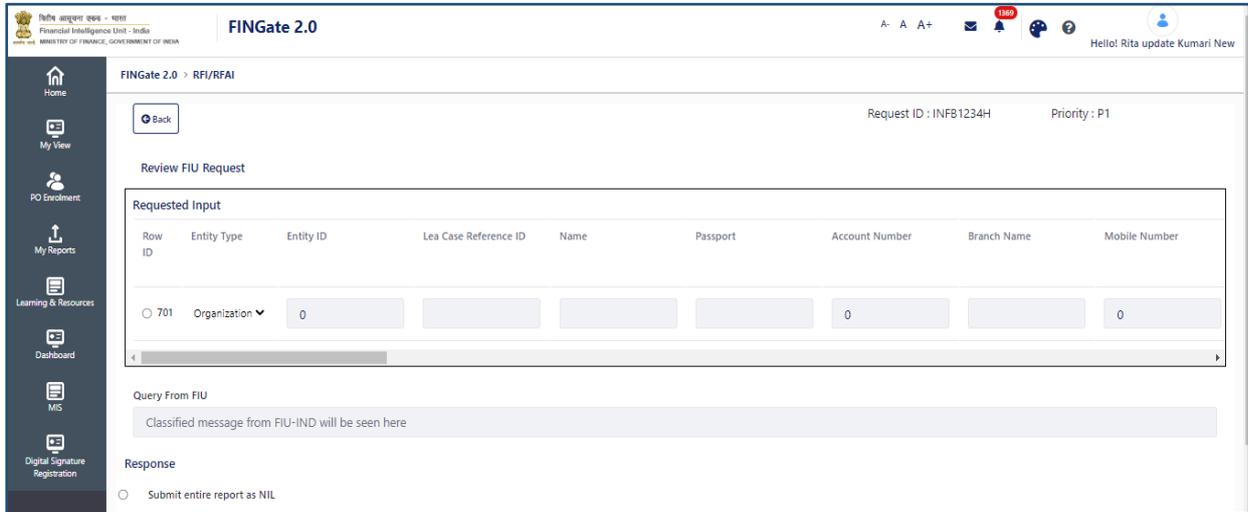


Request Id	Priority Rating	Request Date	Response Due Date	Request Status
RFI689280	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00.0	SENT
RFI689282	P1	2023-03-10 00:00:00.0	2023-03-10 03:00:00.0	SENT
RFI689401	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00.0	DRAFT
RFI694704	P2	2023-03-13 00:00:00.0	2023-03-13 12:00:00.0	SENT
RFI696613	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	SENT
RFI696705	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	SENT
RFI696819	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	SENT
RFI699215	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	DRAFT

12. RE user should select the record with status 'Draft' and click on 'Review Request' as shown in the image below.

Request Id	Priority Rating	Request Date	Response Due Date	Request Status
RFI689280	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00.0	SENT
RFI689282	P1	2023-03-10 00:00:00.0	2023-03-10 03:00:00.0	SENT
RFI689401	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00.0	DRAFT
RFI694704	P2	2023-03-13 00:00:00.0	2023-03-13 12:00:00.0	SENT
RFI696613	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	SENT
RFI696705	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	SENT
RFI696819	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	SENT
RFI699215	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00.0	DRAFT

13. To send request back to the FIU-IND user, click on 'Submit' button as shown in the image below.



14. On clicking 'Submit' the response will be sent to FIU-IND user and status should be changed to 'Sent' as shown in the image below.



FINGate 2.0 > RFI/RAFI

Show only Request for Supressed Response Due Date

Priority  Status  Requestdate

	Request Id	Priority Rating	Request Date	Response Due Date	Request Status
<input type="checkbox"/>	RFI689280	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00	<input type="button" value="SENT"/>
<input type="checkbox"/>	RFI689282	P1	2023-03-10 00:00:00.0	2023-03-10 03:00:00	<input type="button" value="SENT"/>
<input type="checkbox"/>	RFI689401	P3	2023-03-10 00:00:00.0	2023-03-11 00:00:00	<input type="button" value="DRAFT"/>
<input type="checkbox"/>	RFI694704	P2	2023-03-13 00:00:00.0	2023-03-13 12:00:00	<input type="button" value="SENT"/>
<input type="checkbox"/>	RFI696613	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	<input type="button" value="SENT"/>
<input type="checkbox"/>	RFI696705	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	<input type="button" value="SENT"/>
<input type="checkbox"/>	RFI696819	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	<input type="button" value="SENT"/>
<input type="checkbox"/>	RFI699215	P2	2023-03-14 00:00:00.0	2023-03-14 12:00:00	<input type="button" value="SENT"/>

20 Entries • Showing 1 to 8 of 8 entries.

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### 3 Annexures

#### 3.1 Acronyms and Abbreviations

Sr. No.	Acronym	Definition
1.	A/C	Account
2.	AML	Anti-Money Laundering
3.	API	Application Programming Interface
4.	ATM	Automated Teller Machine
5.	CBDT	Central Board of Direct Taxes
6.	CBWT	Cross Border Wire Transfer (transaction)
7.	CBWTR	Cross Border Wire Transfer Reports
8.	CCR	Counterfeit Currency Report
9.	CDD	Customer Due Diligence
10.	CIN	Company Identification Number
11.	CTR	Cash Transaction Report
12.	DQR	Data Quality Report
13.	DSC	Digital Signature Certificate
14.	FAQ	Frequently Asked Questions
15.	FCRN	Foreign Company Registration Number
16.	FLLPIN	Foreign Limited Liability Partnership Identification Number
17.	FINCore	Financial Intelligence Network Core
18.	FINex	Financial Intelligence Network Exchange
19.	FINGate	Financial Intelligence Network Gateway
20.	FINnet 2.0	Financial Intelligence Network 2.0
21.	FIU-IND	Financial Intelligence Unit, India
22.	FIUREID	FIU Reporting Entity ID
23.	FRS	Functional Requirement Specifications
24.	GoS	Grounds of Suspicion
25.	GST	Goods and Services Tax
26.	GSTIN	Goods and Services Tax Identification Number
27.	GUI	Graphical User Interface
28.	IRDA	Insurance Regulatory and Development Authority
29.	IFSC	Indian Financial System Code
30.	IPR	Immovable Property Report
31.	IBO	Intermediate Beneficiary Owner
32.	KPI	Key Performance Indicator
33.	KYC	Know Your Customer
34.	LEA	Law Enforcement Agency
35.	LLPIN	Limited Liability Partnership Identification Number
36.	LMS	Learning Management System
37.	MCA	Ministry of Corporate Affairs
38.	MIS	Management Information System
39.	MMID	Mobile Money Identifier



Sr. No.	Acronym	Definition
40.	MSP	Managed Service Provider
41.	MTSS	Money Transfer Service Scheme
42.	NPCI	National Payments Corporation of India
43.	NTR	Non-Profit Transaction Reports
44.	PAN	Permanent Account Number
45.	PMLA	Prevention of Money Laundering Act
46.	PO	Principal Officer
47.	PTR	Property Transaction Reports
48.	RE-TAD	Reporting Entity Technology Assessment Document
49.	RE	Reporting Entity
50.	RFP	Request for Proposal
51.	RBI	Reserve Bank of India
52.	SEBI	Securities and Exchange Board of India
53.	SMS	Short Message Service
54.	SRS	System Requirement Specifications
55.	STR	Suspicious Transaction Report
56.	UBO	Ultimate Beneficiary Owner
57.	UCC	Unified Communication Centre
58.	UTR	Unique Transaction Reference Number
59.	XML	eXtensible Markup Language
60.	UML	Unified Modelling Language
61.	VPA	Virtual Payment Address