

Document
Modules
Version
Year

User Manual – FINGate 2.0
RE Enrolment and User Management
1.3
2022



Version Control Chart

Version	Date	Remarks
1.0	1-Apr-2022	Initial Version
1.1	19-Jul-2022	Updated with PO role change, RE Registration with PAN as Entity Identifier.
1.2	30-Aug-2022	Changes in RE Enrolment process for DoP/ Regulator / Property Registrar
1.3	15-Dec-2022	Updated with RE Deactivation/Merger/De-Merger, Soft Token Registration, DSC registration, multiple lines of business



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1 Introduction

1.1 Purpose

Project FINnet 2.0 envisions to streamline and redefine the process of collection, processing, and dissemination of data for the purpose of effectively generating meaningful intelligence to curb money laundering activities and enforce the provision of PMLA in India. This is a project of national importance and aims to strengthen the financial security architecture of India. The mission statement of FINnet 2.0 states – To provide quality financial intelligence for safeguarding the financial system from the abuses of money laundering, terrorism financing, and other economic offenses.

FINnet 2.0 is implemented as a set of three (3) systems to ensure that the data ingested and processed by the three is isolated and immune to security threats as much as possible and all data is secure. The systems are listed below –

1. FINGate – Collection and pre-processing system
2. FINCore – Processing and analysis system
3. FINex – Dissemination system

The proposed FINGate system shall consist of multiple reporting mechanisms to ensure compliance and facilitate quick and easy reporting.

This document is the user manual for FINGate Portal module of the FINnet 2.0 System. To access the FINGate UAT portal, navigate to <https://uatfingate.fiuindia.gov.in/>.

1.2 Scope

The scope of this document is to provide guidance on using the FINGate RE Enrolment and User Management modules and act as a user manual. The functionalities covered in this user manual are:

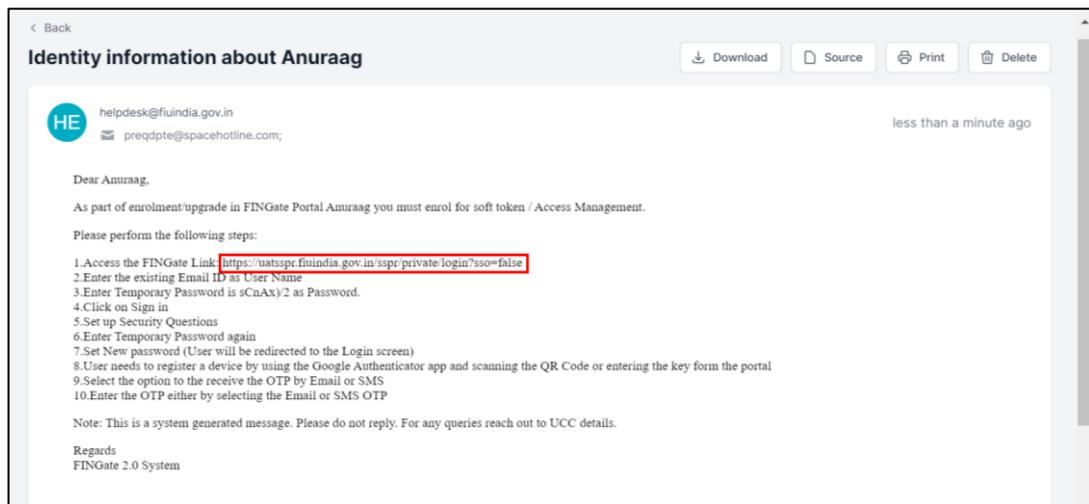
1. First Time Password Generation and Soft Token Registration
2. Login to FINGate Portal
3. Enrolment of Reporting Entities (RE)
 - a) Validation and modification of RE Enrolment
 - b) Principal Officer (PO) registration
 - c) Designated Director (DD) registration
 - d) Non-PO user registration
4. User and profile management
5. Proactive Enrolment of RE
6. Merger/De-Merger/Deactivation of RE
7. DSC Registration
8. Multiple Lines of Business

The target audience for this user manual is:

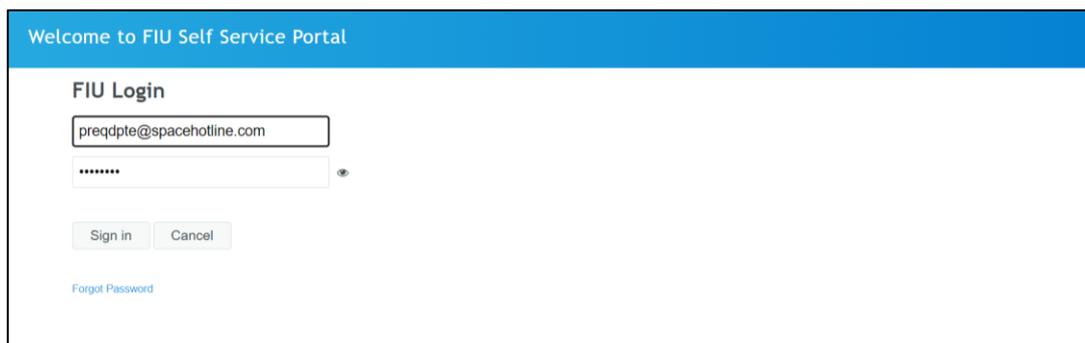
1. Primary Users of New RE Sectors
2. Principal Officers of RE



3. Designated Directors of RE
 4. Non Principal Officer users of RE
 5. PMLA Compliance officers of new RE in existing sectors
- 2 First time password generation and Soft Token Registration
1. The basic details of users are captured either by FIU or by Principal Officer of respective RE. Such users of the FINGate portal will receive an email with the link and temporary password to enrol.



2. Users need to login with the User ID (Existing Email ID will be the User ID) and Temporary Password as mentioned in the mail.



3. Upon clicking the link, the user will be asked to mandatorily setup two security questions. In case user forgets the password, the password can be reset by answering the security questions. Two questions need to be chosen from the available list and their answers need to be typed by the user.



Welcome to FIU Self Service Portal

Setup Security Questions

If you forget your password, you can access your account by answering your security questions.

Please choose your questions and answers that can be used to verify your identity in case you forget your password. Because the answers to these questions can be used to access your account, be sure to supply answers that are not easy for others to guess or discover.

Please type your security answers

— Please select a question item from the list —

•

— Please select a question item from the list —

•

Save Answers

Welcome to FIU Self Service Portal

Setup Security Questions

If you forget your password, you can access your account by answering your security questions.

Please choose your questions and answers that can be used to verify your identity in case you forget your password. Because the answers to these questions can be used to access your account, be sure to supply answers that are not easy for others to guess or discover.

Your answers meet the requirements. Click Save Answers when ready.

What is your mother's maiden name?

•

What is the name of your first pet?

•

Save Answers

4. The User will get success message on clicking on the “Save Answers” button. Then User need to click on continue button.

Welcome to FIU Self Service Portal

Success

Thank you. Your secret questions and answers have been successfully saved. If you ever forget your password, you can use the answers to these questions to reset your password.

Continue

5. The user is then required to enter the temporary password as received in the email. On clicking on the “Continue” button the RE will be redirected to the Change password screen.



Welcome to FIU Self Service Portal preqdpfe@space... ↻

Change Password

Your password has expired. You must set a new password now.

Please enter the following data. This is required to verify your identity before you can change your password.

Current Password

Continue

Welcome to FIU Self Service Portal preqdpfe@space... ↻

Change Password

Your password has expired. You must set a new password now.

Please enter the following data. This is required to verify your identity before you can change your password.

Current Password

Continue

- The user will enter New Password and confirm the new password in the Confirm Password textbox.

The password created must comply with FIU-IND password policy as provided below:

- Password is case sensitive.
- Must be at least 8 characters long.
- Must include at least 1 letter.
- Must include at least 1 number.
- Must have at least 1 symbol (non-letter or number) character.
- Must have at least 1 lowercase letter.
- Must have at least 1 uppercase letter.
- Must not include part of your name or username.
- Must have at least 2 types of the following characters:
 - Uppercase (A-Z)
 - Lowercase (a-z)
 - Number (0-9)
 - Symbol (!, #, \$, etc.)
 - Other language characters not listed above



Welcome to FIU Self Service Portal

Change Password

Your password has expired. You must set a new password now.

Please change your password. Keep your new password secure. After you type your new password, click the Change Password button. If you must write it down, be sure to keep it in a safe place. Your new password must meet the following requirements:

- Password is case sensitive.
- Must be at least 8 characters long.
- Must include at least 1 letter.
- Must include at least 1 number.
- Must have at least 1 symbol (non letter or number) character.
- Must have at least 1 lowercase letter.
- Must have at least 1 uppercase letter.
- Must not include part of your name or user name.
- Must have at least 2 types of the following characters:
 - Uppercase (A-Z)
 - Lowercase (a-z)
 - Number (0-9)
 - Symbol (!, #, \$, etc.)
 - Other language characters not listed above

New password accepted, please click change password

New Password * Strength: Good

Confirm Password *

Change Password

7. The user will be redirected to the success message screen and on clicking the “continue” button the user will be then redirected to the FINGATE portal, and they need to click on the login link to Login.

वित्तीय आसूचना एकक - भारत
Financial Intelligence Unit - India
MINISTRY OF FINANCE, GOVERNMENT OF INDIA

FINet 2.0 Mission Statement

To provide quality financial intelligence for safeguarding the financial system from the abuses of money laundering, terrorism financing, and other economic offenses.

FINGate 2.0 Links

[Login to FINGate 2.0](#)
[Sign Up for RE Enrolment](#)

WELCOME TO FIU-INDIA

Financial Intelligence Unit - India (FIU-IND) is the central, national agency responsible for receiving, processing, analyzing and disseminating information relating to suspect financial transactions to enforcement agencies and foreign FIUs.

Resources

User Manuals

- Reporting Format Introduction Document
- FINGate Portal RE Enrolment and User Management User Manual
- FINGate Portal Reports User Manual
- LMS Learner User Manual

National Portal of India | FIU INDIA | My Gov | Ministry of Finance

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8. The user needs to enter the email address as the User ID and the newly created password to login.



Welcome to FIU

This Identity Provider needs to validate your identity. Please login to your existing account here so that we can return verification back to your local service.

Fingate Login

*

Password

Sign in

9. After login the user will be redirected to the device registration screen and user needs to register their smart device. At a given time, only 1 smart device can be registered for the user. User needs to download the Google or any other Authenticator application from Google Play Store or Apple App Store. The user can either scan the QR code or enter the generated key on the screen. Then the user needs to enter the generated code from the Authenticator application to proceed ahead.

You are not registered with TOTP service

Fingate Login

To register a device, scan the QR code or type the key into the device. Then, enter the resulting code from the device. [More...](#)

1. Scan QR code or Enter Key

Key: W4SBXATVWBLLT32W

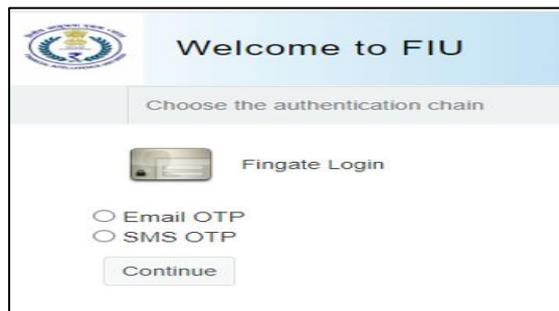
2. Enter code from device

Enter code from device

Finish Registering Device



10. The user needs to choose either Email or SMS to receive the OTP.



11. The user needs to enter the OTP to proceed ahead. If OTP is not received, then user can click on “Resend new OTP” button to generate the new OTP.



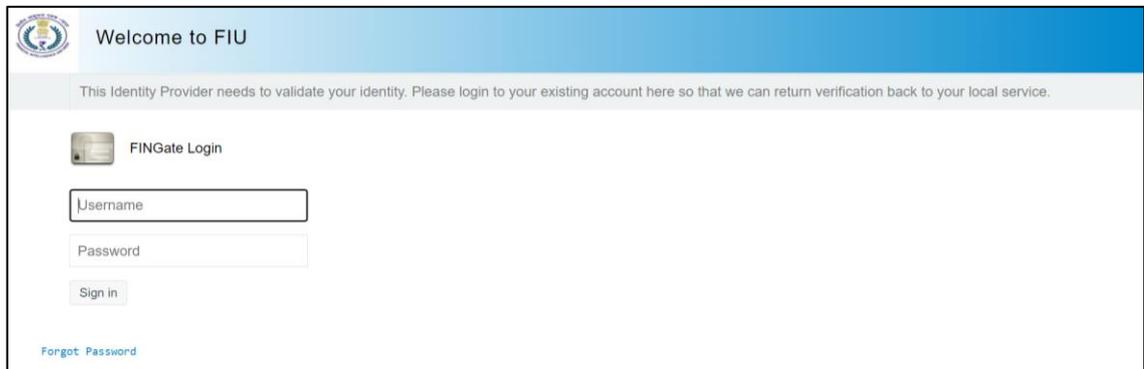


3 Login to FINGate portal

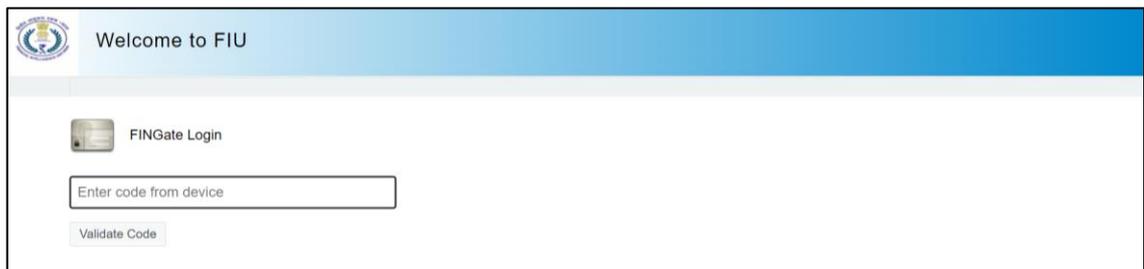
1. The user needs to click on the “Login to FINGate 2.0” link present on the page.



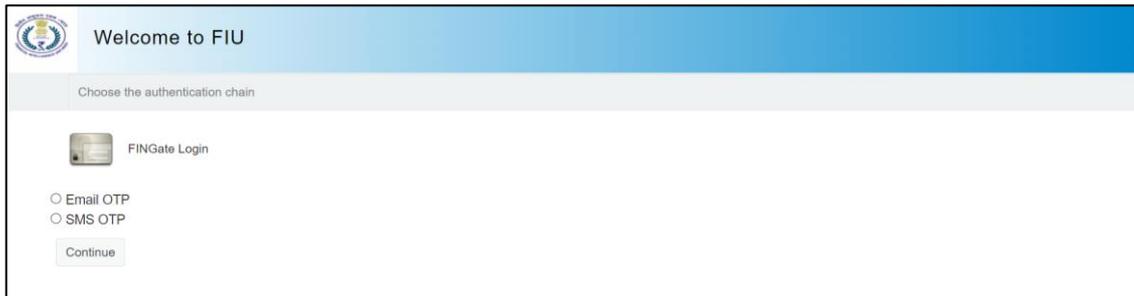
2. The user will log in to the system using the username, password.



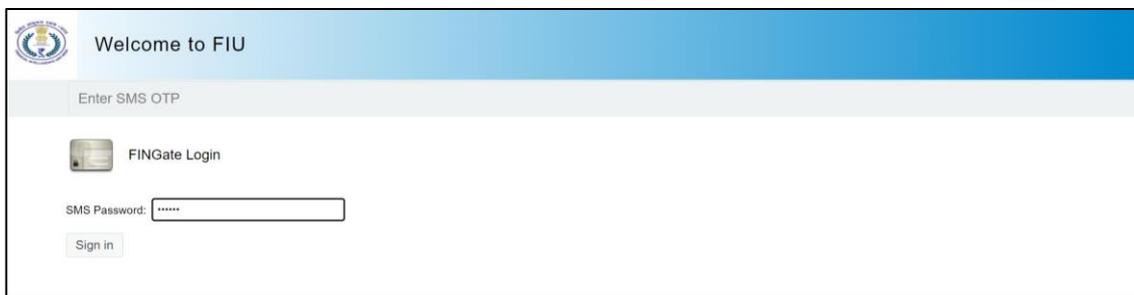
3. Then User needs to enter the code from Authenticator App and click on “Validate Code Button”.



4. The user needs to choose either Email or SMS to receive the OTP.



5. The user needs to enter the received OTP.



6. On successful validation, the user will be redirected to the 'Home' page.

3.1 Navigating the portal using the left panel

In the current version of the FINGate portal, on login, the 'My View' page will display 'My Organization' page. This page lists the users of the RE and is used to manage the user profile.

The left panel has icons to navigate to different functionalities as described in the table below:

Icon	Functionality
Home	This is the home page of the FINGate Portal.
My View	The 'My View' page will display the following Tabs as per the below defined Roles <ol style="list-style-type: none"> 1. Principal Officer <ol style="list-style-type: none"> a) RE Details b) My Requests c) My Organization d) My Ratings e) My Ratings Trends & Charts 2. Designated Director <ol style="list-style-type: none"> a) My Organization
My Reports	This is used to submit and manage reports.
RFAI	This is not enabled in the current version. In future versions this will be used to requests for additional information related to submitted reports.
MIS	This is a module to view the MIS reports.
Dashboard	This is not enabled in the current version. This is a module to view the RE Dashboard.
FIU Requests	This is not enabled in the current version. In future versions this will be used to requests from FIU for information.
Connect Forum	This is not enabled in the current version. This is a module to enable RE users to collaborate with each other.

Icon	Functionality
Learning & resources	This will be used by users to download learning related and other resources required to utilise the FINGate Portal
Digital Signature Registration	This will be used for Digital Signature Registration.

3.2 Common features in the header

The header of the page has common functionalities that can be accessed from any page.

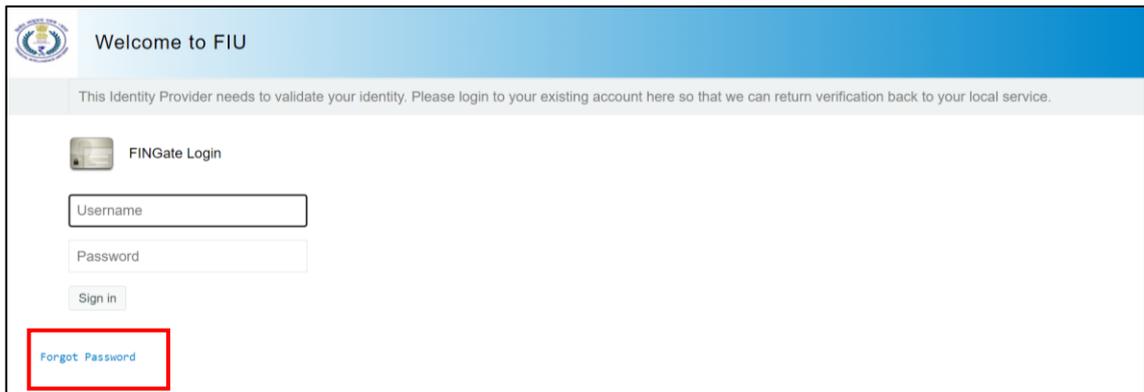


Icon	Functionality
Font size controller 	Users can utilise this icon to increase or decrease the fonts in the portal.
Messages 	This is not enabled in the current version. In future versions this will be used to exchange secure messages with FIU.
Notifications 	Portal notifications can be accessed with this icon. Users will be redirected to the notifications page.
Theme controller 	Users can utilise this icon to customize the colour theme of the portal.
Other Controls 	Users can utilise this icon to- <ol style="list-style-type: none"> 1. Access notifications 2. Change Password 3. Sign out of the portal

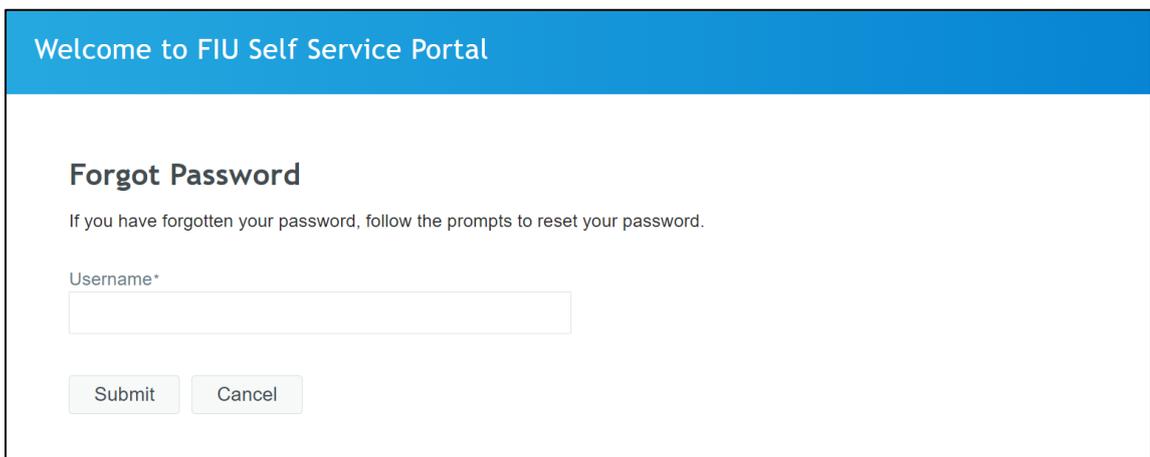
3.3 Forgot Password

If the user forgets the password, following steps are required to reset the password-

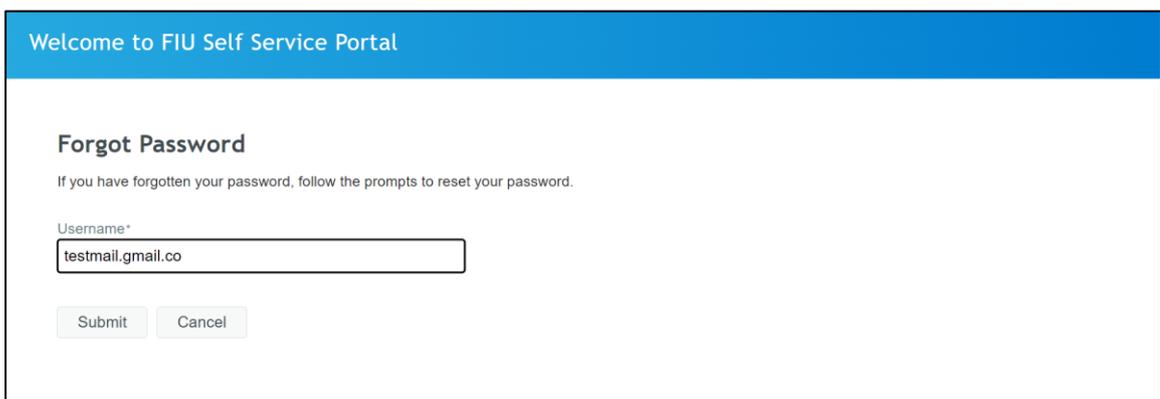
1. The registered user can click on the Forgot Password link given at the bottom of login page.



2. Upon clicking the link, the user will be re-directed to the forgot password generation page.



3. The user needs to enter the registered email ID.



4. There will be two options to reset the password.



- a. The user can reset by entering the security questions and answers as previously configured by them or use SMS / Email verification.

Self Service Password Reset

Forgotten Password

Please select one of the following methods to verify your identity. Note: If your administrator requires multiple forms of verification, you will be redirected back to this page until all verification criteria is met.

Secret Questions and Answers

SMS/Email Verification

Cancel

- b. Click on 'Secret Questions and Answers' to use the pre-configured questions and answers.

Welcome to FIU Self Service Portal

Forgot Password

Please answer the following questions. If you answer these questions correctly, you will then be able to reset your password.

What is your mother's maiden name?

What is the name of your first pet?

Check Answers Go Back Cancel

- c. Alternately, user can use SMS or Email verification. The user needs to click on the link received in the email or SMS and then copy and paste the code in the window below.



Welcome to FIU Self Service Portal

Forgot Password

To verify your identity, a security code will be sent to you. Please choose which method you would prefer to receive your security code.

Email Send code to your registered email address.
a*****@p*****.me

SMS Send code to your mobile phone using text messaging (SMS).
*****2910

Self Service Password Reset

Forgotten Password

To verify your identity, a security code has been sent to you at v*****@v*****.com. Please click the link in the email or copy and paste the security code here.

Your security code should arrive right away. If you have waited for a while and haven't yet received a code, click the resend code button to receive a new code.

Code

Welcome to FIU Self Service Portal

Forgot Password

Thank You! Your security code sent to a*****@p*****.me has been verified.



Welcome to FIU Self Service Portal adrol794@fiu

Change Password

Please change your password. Keep your new password secure. After you type your new password, click the Change Password button. If you must write it down, be sure to keep it in a safe place. Your new password must meet the following requirements:

- Password is case sensitive.
- Must be at least 6 characters long
- Must include at least 1 letter.
- Must include at least 1 number.
- Must have at least 1 symbol (non letter or number) character.
- Must have at least 1 lowercase letter.
- Must have at least 1 uppercase letter.
- Must not include part of your name or user name.
- Must have at least 2 types of the following characters:
 - Uppercase (A-Z)
 - Lowercase (a-z)
 - Number (0-9)
 - Symbol (!, #, \$, etc.)
 - Other language characters not listed above

Password missing

New Password

Confirm Password

5. User will create new password.
6. User will receive a notification on email that password has been changed.



4 Enrolment of Reporting Entities

Enrolment of Reporting Entities have the following scenarios:

1. FIU-IND identifies potential RE in New Sector and as part of onboarding of new sector, details about the RE and the contact person, termed as 'Primary User' are collected. FIU-IND will send email and SMS notifications to the Primary User asking them to enrol the RE in the FINGate portal.
2. As part of migration process from FINGate 1.0 to 2.0, the Principal Officers of RE that are already present in FINGate 1.0 need to enrol details of the RE in the new Portal. FIU-IND will send email and SMS notifications to the Principal Officer asking them to enrol the RE in the FINGate portal.
3. New RE in existing sectors can initiate the enrolment process by accessing a 'Sign Up for RE Enrolment' link in the Login page.

The RE Enrolment process consists of the following steps:

1. The Primary User / Principal Officer generates first time password, as explained in section 2.
2. The Primary User / Principal Officer updates the RE details, uploads necessary documents, captures Principal Officer & Designated Director details and submits the enrolment.
3. The FINGate system will validate the details and documents submitted.
4. FINGate system validates user uploaded details. If the validation process is unsuccessful, the Primary User / Principal Officer will receive notifications that the details need to be corrected and a self-declaration mentioning that details provided are correct needs to be uploaded in the portal. Thereafter, the RE enrolment request will get approved in the FINGate system. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
5. Principal Officer and Designated Director need to access the portal, update their details, upload necessary documents and submit their enrolment.
6. FINGate system validates user uploaded details. If the validation process is unsuccessful, the PO / DD will receive notifications that the details need to be corrected and a self-declaration that details provided are correct needs to be uploaded in the portal. Thereafter, the PO / DD enrolment request will get approved in the FINGate system.
7. The process of RE enrolment will get completed when both PO and DD enrolment is completed.

4.1 Validation and modification of RE enrolment details

4.1.1 Accessing the RE enrolment link for validating and updating RE details

1. The Primary user of RE in new sector and Principal Officer of RE present in FINGate 1.0 will receive an email containing detailed instructions, a link to register on the FINGate 2.0 portal and the contact number of Unified Communication Centre (UCC).
2. Upon clicking the link, the user will be directed to the password generation page.
3. The user shall generate first time password as detailed in Section 2 of this document.
4. Post password generation, the user will login to FINGate portal. Steps are detailed in Section 3 of this document.
5. The Primary User will receive 4 system sent reminders (SMS and email) after every 15 days if registration process has not been started. Subsequently, after 75 days of the enrolment initiation by FIU-IND, the status of the RE record will be marked as unresponsive.
6. In addition, FIU-IND RE enrolment process owner can also send the ad-hoc reminders as and when he/she deems appropriate.

4.1.1.1 RE registration

The user shall identify its organization as Business Entity or Individual RE before proceeding for the registration process.

4.1.1.1.1 RE registration as Business Entity

4.1.1.1.1.1 Validate RE details and update mandatory RE identifiers

1. The 'Business RE Enrolment' form will open.
2. The Primary User shall validate the RE details and modify the same, if applicable.
3. The Primary user will enter the relevant RE identifier and upload the respective attachments.

The acceptable identifiers for Entity RE are provided in the table below:

Issuer / regulator	Entity Identifier	Supporting Document
MCA (Ministry of Corporate Affairs)	<ol style="list-style-type: none"> 1. CIN (Company Identification Number) 2. LLPIN (Limited Liability Partnership Identification Number) 3. FCRN (Foreign Company Registration Number) 4. FLLPIN (Foreign Limited Liability Partnership Identification Number) 	Certificate of Incorporation
GSTN (Goods and Services Tax Network)	GSTIN (Goods and Services Tax Identification Number)	Registration Certificate
CBDT (Central Board of Direct Taxes)	PAN (Permanent Account Number)	PAN Card

4. The primary user will further upload RE's business license certificate from the relevant regulator.
 - a) In case any of the regulators is not applicable for the RE, then the Certificate of Incorporation will have to be uploaded after choosing the regulator as 'other'.
 - b) User can also enter 'Not available' in the 'Regulator license ID' field and upload any relevant proof in the 'upload regulator license' field if RE does not have the regulator license.
5. The user shall also provide the following details:
 - a) Registered name



- b) RE Type, refer section 6.1 for the list.
 - c) Line of Business, refer section 6.1 for the list. At this stage, RE can select only one line of business. If RE has multiple lines of business, then the next line of business can be added only after the RE enrolment has been approved by FIU. Refer section 5.1.1 for details.
6. After filling all details, the user will click on 'Next'

4.1.1.1.2 Update Registered address of RE

1. The registered address of RE will be auto populated based on the entry by FIU-IND at the time of initiation.
2. The primary user will validate the address and update the same, if required.
3. If the country of the address is 'India' then the pin code, state, district and city will be validated with metadata in the portal.
4. If the country of the address is not 'India' then primary user will fill the values corresponding to the applicable country in pin code, state and district fields. For example, instead of pin code, zip code may be entered.
5. The user will click on 'Next'.

The screenshot shows the 'Business RE Enrolment' form in the 'Registered Address of RE' step. A progress bar at the top indicates four steps: 1. RE Details, 2. Registered Address of RE (current), 3. Principal Officer Details, and 4. Designated Director Details. The status is 'IN PROGRESS'. The form fields are as follows:

Field	Value
Address Line 1*	Gandhinagar District Coop Bank
Locality*	Parel
Country*	India
Pin Code*	400025
State*	Maharashtra
District*	MUMBAI
City*	Greater Mumbai

Buttons: Back, Next

4.1.1.1.3 Initiate Principal Officer and Designated Director Registration

1. The Primary user will be redirected to 'Principal officer details' and 'Designated Director details' form.
2. The Primary User needs to fill Name, Designation, mobile number, email ID and other mandatory fields to trigger an enrolment link for initiation of PO registration process.
3. The Primary User will also enter the DD mandatory details and DIN to trigger an enrolment link for initiation of Designated Director registration process.
 - a) Users must enter DIN for designated directors for REs which are registered with MCA (If Available).

The screenshot shows the 'Business RE Enrolment' form in the 'Principal Officer Details' step. The progress bar and status are the same as in the previous screenshot. The form fields are as follows:

Field	Value
First Name*	Suresh
Last Name*	Kumar
Designation*	Chief Manager
Gender*	Male
Date Of Birth*	12/12/1976
Primary Email*	bijubh4@gmail.com
Country Code*	91
Mobile Number*	9562013200

Buttons: Back, Next



Financial Intelligence Unit - India
MINISTRY OF FINANCE, GOVERNMENT OF INDIA

FINGate 2.0

RE Enrolment

Business RE Enrolment

Status: IN PROGRESS

1 RE Details 2 Registered Address of RE 3 Principal Officer Details 4 Designated Director Details

Designated Director Details

First Name* Bank Two DD Last Name Bhaskar Designation* Manager

Gender* Male Date Of Birth 10/09/2006 Email* banktwo3@gmail.com

Country Code* 91 Mobile Number* 9562013200 DIN

Remarks

I hereby declare that all the information provided in RE Details, Registered Address of RE, Principal Officer Details, Designated Director Details sections of this form is true to the best of my knowledge.

Back Preview Submit

Disclaimer Copyright 2019 FIU-IND. All Rights Reserved.

4. Primary User will also be asked to submit a certification that all data provided in all the four tabs of the form is true.
5. User can view the preview of details entered by clicking of 'Preview'.



Preview - Business RE Enrolment

RE Details

RE Registration Number	BANKTWO1	RE Name	Bank Two
RE Type	Bank	Line Of Business	Private Sector Banks
PAN	AGECV8765G	GSTIN	33AAACL1681P2Z9
Entity Identifier Type	Goods and Services Tax Identification Number	Entity Identifier ID	33AAACL1681P2Z9
Regulator	RBI	Regulator License ID	33AAACL1681P2Z9

Registered Address of RE

Address Line 1	Kizhake Aryankulam Athulya Villas	Locality	Test Locality
Country	India	Pin Code	682021
State	Kerala	District	ERNAKULAM
City	Aluva		

Principal Officer Details

First Name	Bank Two PO	Last Name	Bhaskar
Designation	Manager	Gender	Male
Date Of Birth	10/09/2006	Primary Email	banktwo2@gmail.com
Country Code	91	Mobile Number	9562013200

Designated Director Details

First Name	Bank Two DD	Last Name	Bhaskar
Designation	Manager	Gender	Male
Date Of Birth	10/09/2006	Email	banktwo3@gmail.com
Country Code	91	Mobile Number	9562013200
DIN		Remarks	

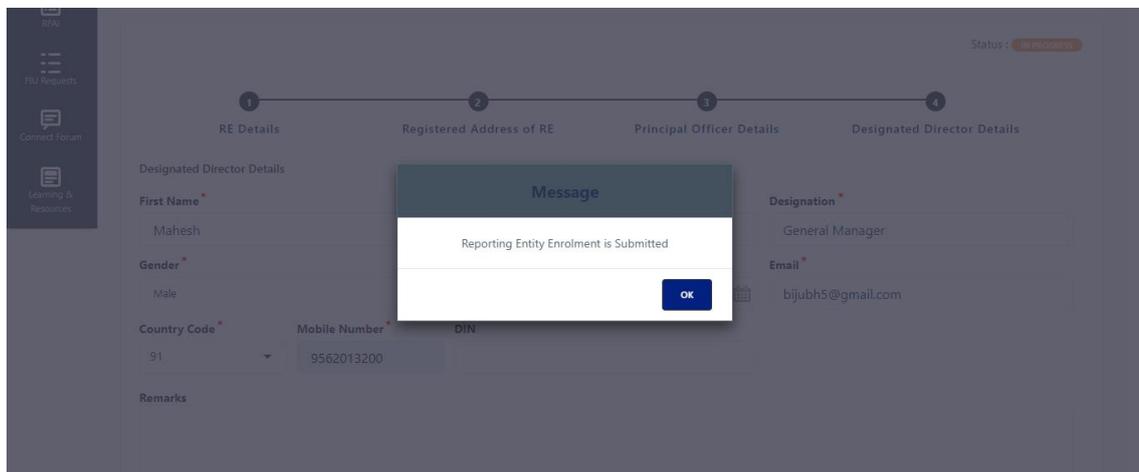
[Close](#)

6. The user clicks on 'Submit'.

Post successful submission of Reporting entity enrolment request, the primary user will see success message pop-up. Further communications on the RE enrolment request will be

communicated via email/SMS. The below workflow will get triggered post submission of RE registration request:

7. The identifier details of RE will be validated by FIU-IND.
 - a) FINGate system validates user uploaded details. If the validation process is unsuccessful, the user will receive a notification prompting him/her to change the concerned fields and provide self-declaration. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
 - b) The user is required to re-login and update the required details along with self-declaration. The template for the self-declaration can be downloaded from the 'RE Details' page.
8. PO will receive the link to complete the PO registration post successful enrolment of Primary user.
9. DD will receive the link to complete the DD registration post successful enrolment of Primary user.



4.1.1.1.2 RE registration as Individual Entity

4.1.1.1.2.1 Validate RE details and update mandate entity identifiers

1. The 'Individual RE Enrolment' form will open.
2. For Individual RE, the primary user will be the principal officer and all the attributes required for PO enrolment will be captured as a single flow so that RE and PO enrolment are completed together.
3. The Primary User shall validate the RE details and modify the same, if applicable.
4. The Primary user will enter the relevant RE identifier and upload the respective attachments.
5. The primary will further upload RE's business license certificate from the relevant regulator.
 - a) In case any of the regulators is not applicable for the RE, then the Certificate of Incorporation will have to be uploaded after choosing the regulator as 'other'.
 - b) User can also enter 'Not available' in the 'license ID' field and upload any relevant proof in the 'upload regulator license' field if RE does not have the regulator license.
6. The user shall also provide the following details:
 - a) Registered name
 - b) RE Type, refer section 6.1 for the list.
 - c) Line of Business, refer section 6.1 for the list.



7. Primary User will also be asked to submit a certification that all data provided above is true.
8. After filling all details, the user will click on 'Next'

Individual RE Enrolment

Status: **AWAITING APPROVAL**

1 RE DETAILS 2 REGISTERED ADDRESS OF RE

RE Detail

FIUREID [REDACTED] RE Registration Number* khfjkgjhg RE Name* khfjkgjhg

RE Type* MTSS Line Of Business* Money Transfer Service Agent PAN

GSTIN

Entity Identifier Type Select Identifier Type Entity Identifier ID Entity Identifier File No file... Browse

Regulator Select Branch Regulator Regulator License ID Start Date Of License 04/11/2022

License Valid Till Date 04/11/2022 License Type Regulator License File No file... Browse

Self Declaration File No file... Browse

Self Declaration Format [Download](#) **Next**

4.1.1.1.2.2 Update registered address of RE

1. The registered address of RE will be auto populated based on the entry by FIU-IND at the time of initiation.
2. The primary user will validate the address and update the same, if required.
3. The user will click on 'Next'.



Individual RE Enrolment

Status: IN PROGRESS

1 RE DETAILS 2 REGISTERED ADDRESS OF RE

Registered Address of RE

Address Line 1* Test Address Locality* Test Locality Country* India

Pin Code* 110008 State* Delhi District* West Delhi

City* Hastsal

I hereby declare that all the information provided here is true to the best of my knowledge

Back Preview Submit

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4. User can view the preview of details entered by clicking of 'Preview'.

Preview - Individual RE Enrolment

RE Details			
RE Registration Number	Bank Three	RE Name	Bank Three
RE Type	Bank	Line Of Business	Foreign Banks
PAN	AGEPB9876L	GSTIN	
Entity Identifier Type	Permanent Account Number	Entity Identifier ID	AGEPB9876L
Regulator	RBI	Regulator License ID	AGEPB9876L

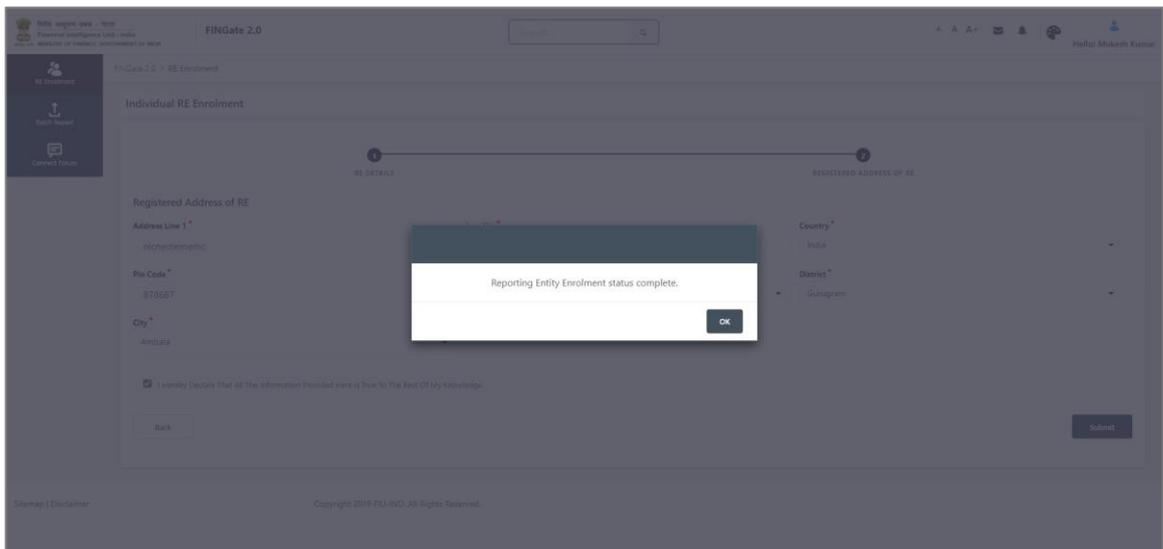
Registered Address of Reporting Entity			
Address Line 1	Test Address	Locality	Test Locality
Country	India	Pin Code	110008
State	Delhi	District	West Delhi
City	Hastsal		

Close

5. The user clicks on 'Submit'.

Post successful submission of Reporting entity enrolment request, the primary user will see success message pop-up. Further communications on the RE enrolment request will be communicated via email/SMS. The below workflow will get triggered post submission of RE registration request:

- a) The identifier details of RE will be validated by FIU-IND.
 - i. FINGate system validates user uploaded details. If the validation process is unsuccessful, the user will receive a notification prompting him/her to change the concerned fields and provide self-declaration. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
 - ii. The user is required to re-login and update the required details along with self-declaration.



4.2 Principal Officer registration

4.2.1 Accessing the PO enrolment link for validating and updating PO details

1. The PO will receive an email containing detailed instructions, a link to register on the FINGate 2.0 portal and the contact details of UCC.
2. Upon clicking the link, the user will be directed to the password generation page.
3. The user shall generate first time password as detailed in Section 2 of this document.
4. Post password generation, the user will login to FINGate portal. Steps are detailed in Section 3 of this document.

4.2.1.1 Validate and update personal details

1. The 'PO Enrolment' form will open.
2. The Principal Officer shall validate the auto-populated 'user enrolment' details and modify the same, if applicable.
3. The Principal Officer will enter the identification details (Government ID). The acceptable individual identifier for PO is mentioned below:
 - a) Passport (attachment to be uploaded)
 - b) PAN Card (attachment to be uploaded)

4. The user shall agree to the terms and conditions before moving to the next page.
5. The user clicks on 'Next'.

The screenshot shows the 'PO Enrolment' form in the FINGate 2.0 application. The form is divided into three steps: 1. PO Enrolment, 2. Communication Address of PO, and 3. Add Other User Details. The current step is 'Communication Address of PO'. The form contains several fields: First Name (Rita), Last Name (Kumari), Gender (Female), Date Of Birth (16/10/2021), Designation (Principal Officer), Country Code (91), Mobile Number (6658658686), Country Code (Please Select), Alternate Mobile Number (0), Primary Email (rita@gmail.com), Alternate Email, ID Type (Please Select), ID Number, Upload ID Proof (No file...), Self Declaration File (No file...), and Terms And Conditions (I Agree). There is a 'Next' button at the bottom right of the form.

4.2.1.2 Update communication address of PO

1. The Principal Officer will be navigated to the 'Communication address' form.
2. The Principal Officer will update the communication address.
3. If the country of the address is 'India' then the pin code, state, district and city will be validated with metadata in the portal.
4. If the country of the address is not 'India' then user will fill the values corresponding to the applicable country in pin code, state and district fields. For example, instead of pin code, zip code may be entered.
5. The user will click on 'Next'.

PO Enrolment

Communication Address of PO

Address * Locality * Country *
Pin Code * State Name * District Name *
City Name *

Back Next

PO Enrolment

Communication Address of PO

Address * Locality * Country *
Pin Code * State Name * District Name *
City Name *

Back Next

4.2.1.3 Adding non-PO at the time of PO Registration

1. The primary user will be navigated to the 'Add other user details' form.
2. The primary user can add non-PO user and their roles optionally at the time of enrolment.
3. Alternatively, it can be entered as part of RE User & Profile Management after enrolment.

Add Other User Details

First Name	Last Name	Designation	Email	Contact Number	Gender	Created On	Status	Action
No records found								

Add RE User

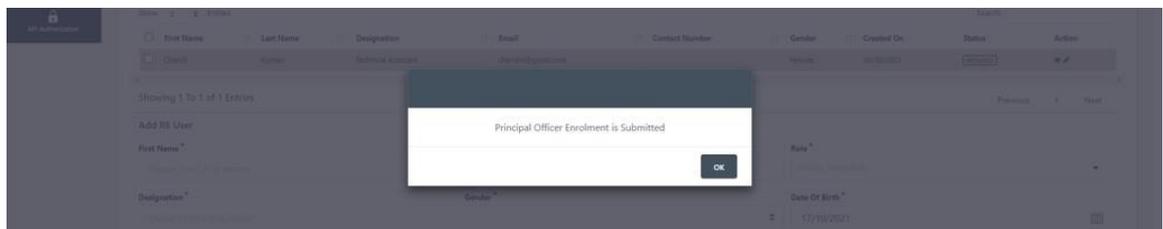
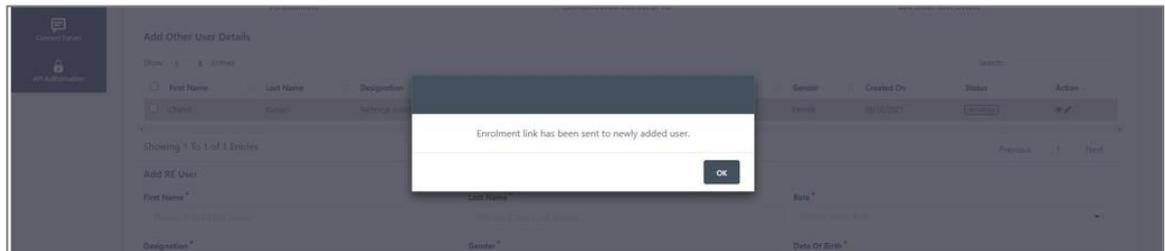
First Name * Last Name * Role *
Designation * Gender * Date Of Birth *
Email * Country Code * Mobile Number *

Cancel Add User
Back Submit

4. The user clicks on 'Submit'.

Post successful submission of Principal officer enrolment request, the Principal Officer will see success message pop-up. Further communications on the Principal Officer enrolment request will be communicated via email/SMS. The below workflow will get triggered post submission of PO registration request:

- a) The identifier details of PO will be validated by FIU-IND.
 - i. FINGate system validates user uploaded details. If the validation process is unsuccessful, the user will receive a notification prompting him/her to change the concerned fields and provide self-declaration.
 - ii. The user is required to re-login and update the required details along with self-declaration. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
- b) Non-PO (If added during enrolment) will receive the link to complete the non-PO user registration.



4.3 Designated Director registration

4.3.1 Accessing the DD enrolment link for validating and updating DD details

1. The Designated Director will receive an email containing detailed instructions, a link to register on the FINGate 2.0 portal and the contact number of UCC.
2. Upon clicking the link, the user will be directed to the password generation page.
3. The user shall generate first time password as detailed in Section 2 of this document.
4. Post password generation, the user will login to FINGate portal. Steps are detailed in Section 3 of this document.

4.3.1.1 Validate and update personal details

1. The 'Non-PO Enrolment' form will open.
2. The Designated Director shall validate the auto-populated 'user enrolment' details and modify the same, if applicable.

3. The Designated Director will enter the identification details (Government issued ID). The acceptable relevant individual identifier for Designated Director is mentioned below:
 - a) Passport (attachment to be uploaded)
 - b) PAN Card (attachment to be uploaded)
4. The user clicks on 'Next'.

The screenshot shows the 'Non-PO Enrolment' form in FINGate 2.0. The form is divided into two main sections: 'User Enrolment' and 'Communication Address'. The 'User Enrolment' section contains the following fields: First Name (Geeta), Last Name (Kumari), Gender (Female), Date Of Birth (14/10/2021), Role (Designated Director), Designation (Designated Director), Email (geeta@gmail.com), Alternate Email, Country Code (91), Mobile Number (8465846584), ID Type (Permanent Account Number), ID Number (BCUPG5612N), and an 'Upload ID Proof' field with a 'Browse' button. There is also a 'Self Declaration File' field with a 'Browse' button. A 'Next' button is located at the bottom right of the form.

4.3.1.2 Update address of Designated Director

1. The Designated director will be navigated to the 'Communication address' form.
2. The Designated director will update the communication address.
3. If the country of the address is 'India' then the pin code, state, district and city will be validated with metadata in the portal.
4. If the country of the address is not 'India' then user will fill the values corresponding to the applicable country in pin code, state and district fields. For example, instead of pin code, zip code may be entered.

The screenshot shows the 'Communication Address' form in FINGate 2.0. The form contains the following fields: Address, Locality, Country (Please Select), Pin Code, State Name, District Name, and City Name. There is a checkbox for 'I Hereby Declare That All The Information Provided Here is True To The Best Of My Knowledge'. A 'Back' button is on the left and a 'Submit' button is on the right. The footer of the page includes 'Sitemap | Disclaimer' and 'Copyright 2019 FIU-IND. All Rights Reserved.'



5. The user clicks on 'Submit'.
Post successful submission of Designated Director enrolment request, the Designated Director will see success message pop-up. Further communications on the Designated Director enrolment request will be communicated via email/SMS. The below workflow will get triggered post submission of Designated Director registration request:
6. The identifier details of Designated Director will be validated by FIU-IND.
 - a) FINGate system validates user uploaded details. If the validation process is unsuccessful, the user will receive a notification prompting him/her to change the concerned fields and provide self-declaration.
 - b) The user is required to re-login and update the required details along with self-declaration. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.

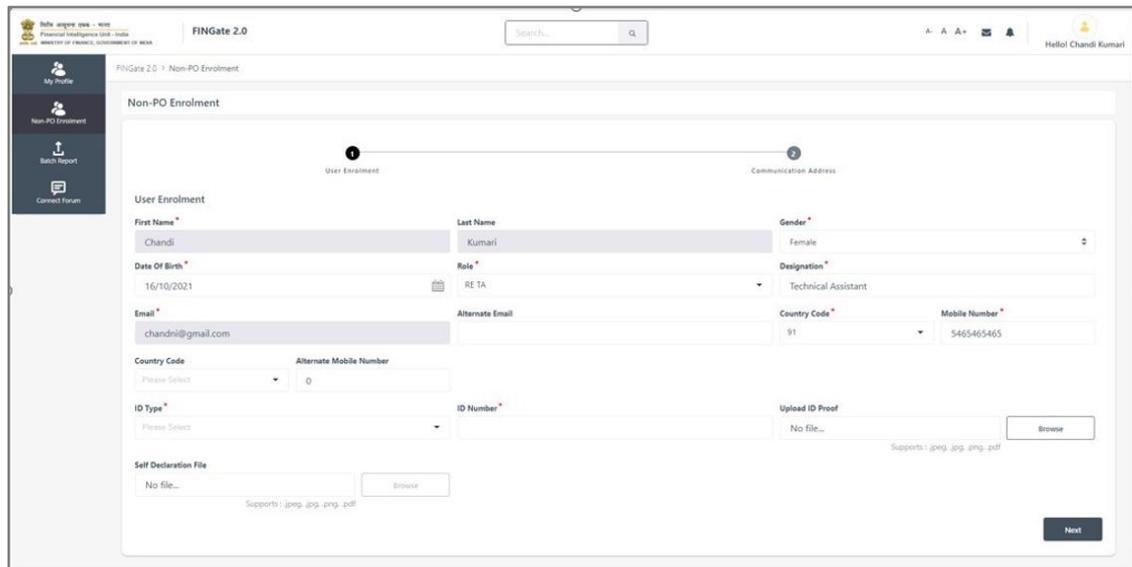
4.4 Non-PO registration

4.4.1 Accessing non-PO enrolment link for non-PO registration

1. The non-PO will receive an email containing detailed instructions, a link to register on the FINGate 2.0 portal and the contact details of UCC.
2. Upon clicking the link, the user will be directed to the password generation page.
3. The user shall generate first time password as detailed in Section 2 of this document.
4. Post password generation, the user will login to FINGate portal. Steps are detailed in Section 3 of this document.

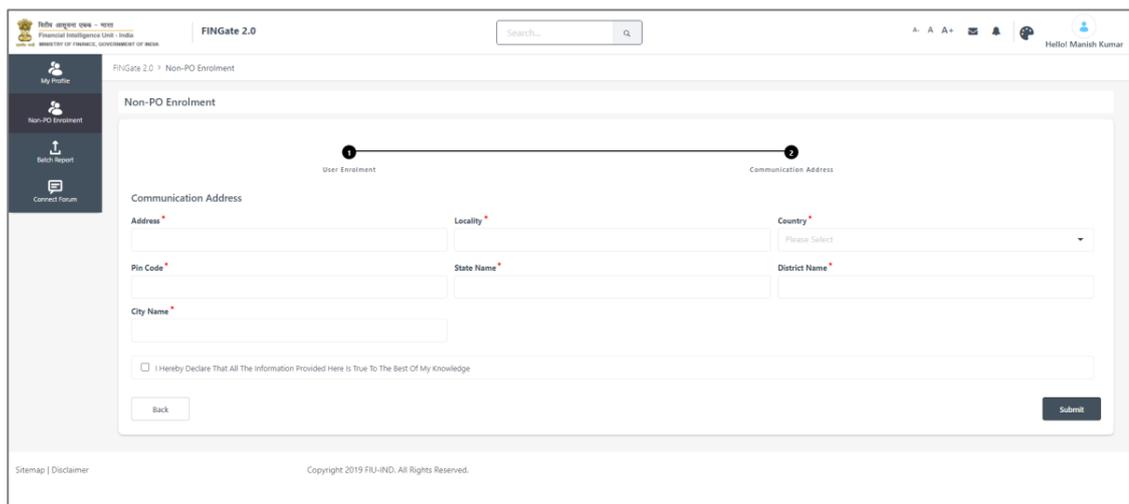
4.4.1.1 Validate Non-PO details and update mandatory identifiers

1. The 'Non-PO Enrolment' form will open.
2. The Non-PO User shall validate the auto-populated 'user enrolment' details and modify the same, if applicable.
3. The non-PO user will enter the identification details (Government issued ID). The acceptable relevant individual identifier for PO/DD is mentioned below:
 - a) Passport (attachment to be uploaded)
 - b) PAN Card (attachment to be uploaded)
4. The user clicks on 'Next'.



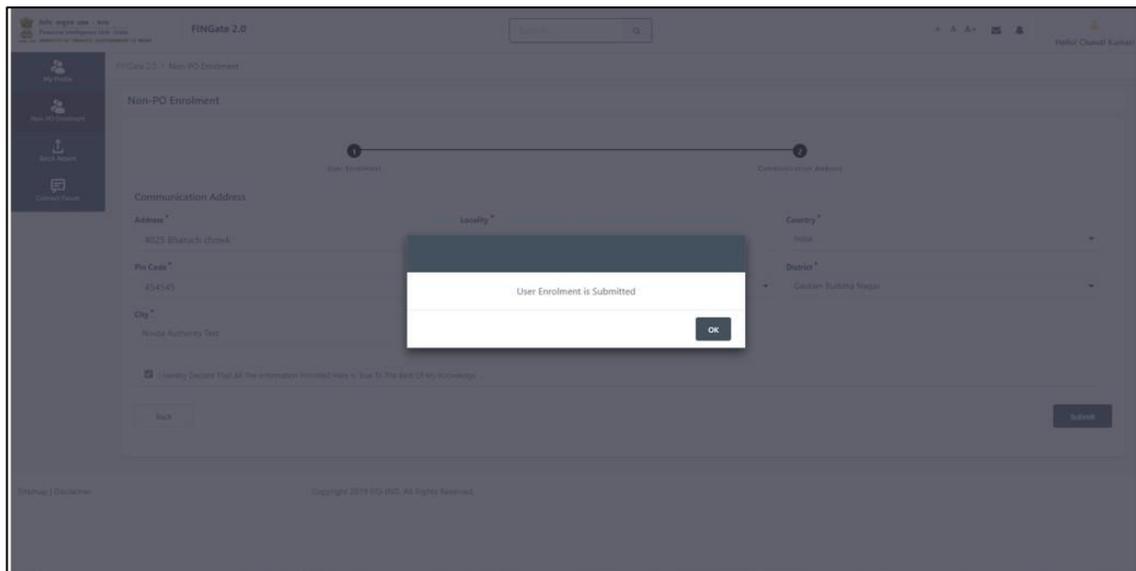
4.4.1.2 Update address of non-PO

1. The non-PO will be navigated to the 'Communication address' form.
2. The non-PO will update the communication address.
3. If the country of the address is 'India' then the pin code, state, district and city will be validated with metadata in the portal.
4. If the country of the address is not 'India' then user will fill the values corresponding to the applicable country in pin code, state and district fields. For example, instead of pin code, zip code may be entered.



5. The user clicks on 'Submit'.
Post successful submission of non-PO enrolment request, the non-PO will see success message pop-up. Further communications on the non-PO enrolment request will be communicated via email/SMS. The below workflow will get triggered post submission of non-PO registration request:
 - a) The identifier details of non-PO will be validated by FIU-IND.

- i. FINGate system validates user uploaded details. If the validation process is unsuccessful, the user will receive a notification prompting him/her to change the concerned fields and provide self-declaration.
- ii. The user is required to re-login and update the required details along with self-declaration. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.



4.5 Proactive Enrolment for New RE in Existing Sector

New RE in existing sectors can initiate the enrolment process by accessing a 'Sign Up for RE Enrolment' link in the Login page.

1. The User will click on the 'Sign Up for RE Enrolment' link present on the welcome page of FINGate 2.0 portal.
2. Upon clicking the link, the user will be directed to the RE Self Enrolment page.
3. The User will enter all the basic details.
4. The User will click on the send OTP button.
5. The User will enter the OTP and click on submit button.
6. The system will send an email notification to the email id provided in the page. This notification will contain a link to generate the first time password. Please refer to section 2 of this document for details on generating the first time password.
7. After generating the first time password, the system will redirect the user to the login page. Please refer to section 3 of this document for details on login to the portal.



वित्तीय आसूचना एकक - भारत
Financial Intelligence Unit - India
MINISTRY OF FINANCE, GOVERNMENT OF INDIA

FINnet 2.0 Mission Statement
To provide quality financial intelligence for safeguarding the financial system from the abuses of money laundering, terrorism financing, and other economic offenses.

WELCOME TO FIU-INDIA
Financial Intelligence Unit - India (FIU-IND) is the central, national agency responsible for receiving, processing, analyzing and disseminating information relating to suspect financial transactions to enforcement agencies and foreign FIUs.

Resources User Manuals
Reporting Format Introduction Document
FINGate Portal RE Enrolment and User Management User Manual
FINGate Portal Reports User Manual
LMS Learner User Manual

FINGate 2.0 Links
Login to FINGate 2.0
Sign Up for RE Enrolment

[National Portal of India](#) [FIU INDIA](#) [My Gov](#) [Ministry of Finance](#)

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Financial Intelligence Unit - India
MINISTRY OF FINANCE, GOVERNMENT OF INDIA

FINGate 2.0

RE Self Enrolment

RE Name * RE Type *

User Detail

Primary Contact Person Name * Primary User's Designation * Primary User Email *

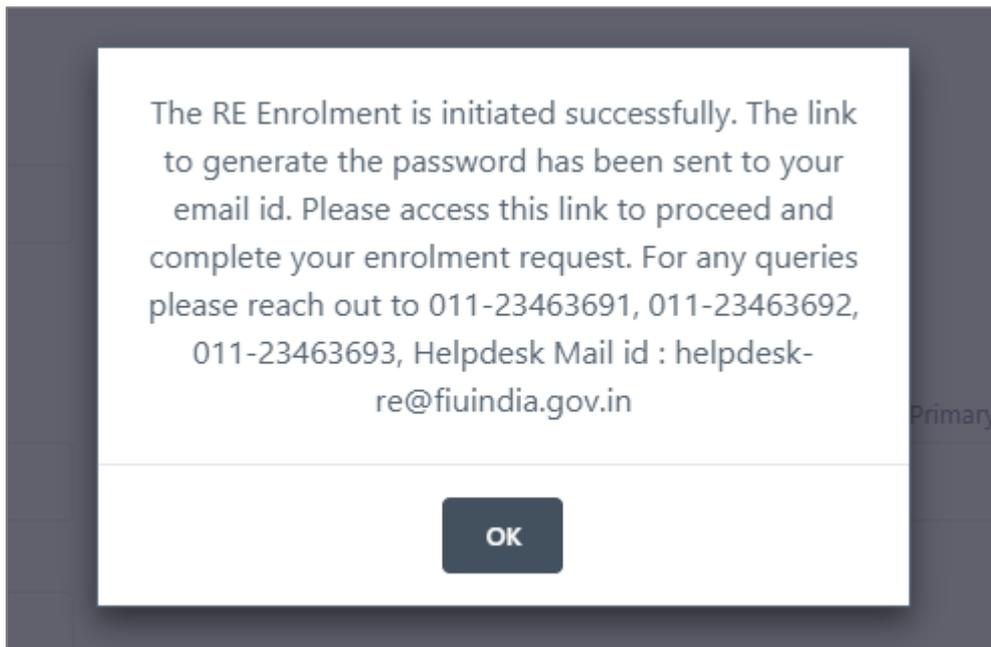
Country Code Primary User Mobile No. *

Enter Your Address (Address of the Requestor/Primary User)

Address Line 1 * Locality * Country *

Pin Code State * District *

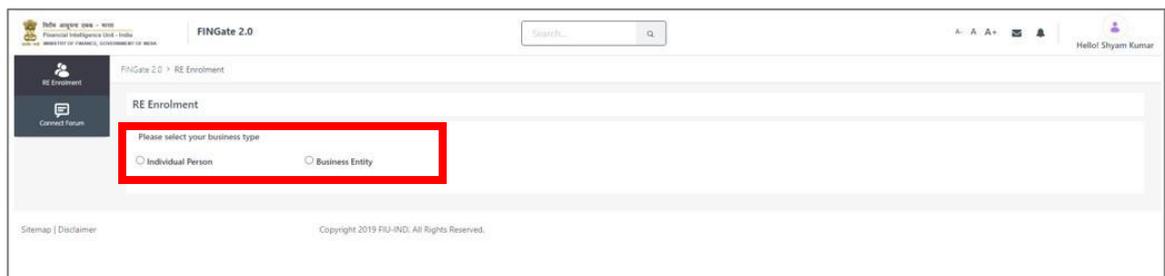
City * OTP *



4.6 Enrolment for RE Type: Regulator, Department of Post (DoP), Property Registrar

After following the steps mentioned in the **Section 4.1.1**: Accessing the RE enrolment link for validating and updating RE details. The Primary User must complete the following steps:

1. The Primary user shall identify its organization as Business Entity or Individual RE before proceeding for the registration process.



4.6.1 Validate RE details and update mandatory RE identifiers

1. The 'Business RE Enrolment' form will open.
2. The Primary User shall validate the RE details and modify the same, if applicable.
3. For RE Type: Regulator, Department of Post (DoP) and Property Registrar-PAN, Entity Identifier ID and Regulator License ID are not applicable so by clicking on checkbox user must provide a declaration that PAN, Entity Identifier ID and Regulator License ID are not 'Not Available'. PAN, Entity Identifier ID and Regulator License ID will be non-mandatory in this case.
4. Self-Declaration will be applicable on the same page. The template for the self-declaration can be downloaded from the 'RE Details' page. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
5. After filling all details, the user will click on 'Next'

The screenshot shows the 'Business RE Enrolment' form with a progress bar at the top indicating four steps: 1. RE Details, 2. Registered Address of RE, 3. Principal Officer Details, and 4. Designated Director Details. The current step is 'RE Details'. Fields include:

- RE Type (dropdown)
- Department of Posts (dropdown)
- Declaration of PAN (checkbox)
- Entity Identifier Type (dropdown)
- Entity Identifier ID (text)
- Regulator (dropdown)
- Regulator License ID (text)
- Start Date of License (date)
- License Valid Till Date (date)
- License Type (dropdown)
- Self Declaration File (upload)
- Self Declaration Form (download)

4.6.2 Update Registered address of RE

1. The registered address of RE will be auto populated based on the entry by FIU-IND at the time of initiation.
2. The primary user will validate the address and update the same, if required.
3. If the country of the address is 'India' then the pin code, state, district and city will be validated with metadata in the portal.
4. If the country of the address is not 'India' then primary user will fill the values corresponding to the applicable country in pin code, state and district fields. For example, instead of pin code, zip code may be entered.
5. The user will click on 'Next'.

The screenshot shows the 'Registered Address of RE' form. It includes a progress bar with steps: 1. RE Details, 2. Registered Address of RE, 3. Principal Officer Details, and 4. Designated Director Details. The current step is 'Registered Address of RE'. Fields include:

- Address Line 1 (text: Gandhinagar District Coop Bank)
- Locality (text: Parel)
- Country (dropdown: India)
- Pin Code (text: 400025)
- State (dropdown: Maharashtra)
- District (dropdown: MUMBAI)
- City (dropdown: Greater Mumbai)

4.6.3 Initiate Principal Officer and Designated Director Registration

1. The Primary user will be redirected to 'Principal officer details' and 'Designated Director details' form.

2. The Primary User needs to fill Name, Designation, mobile number, email ID and other mandatory fields to trigger an enrolment link for initiation of PO registration process.
3. The Primary User will also enter the DD mandatory details and DIN to trigger an enrolment link for initiation of Designated Director registration process.
 - a) Users must enter DIN for designated directors for REs which are registered with MCA(If available).

4. Primary User will also be asked to submit a certification that all data provided in all the four tabs of the form is true.
5. User can view the preview of details entered by clicking of 'Preview'.



Preview - Business RE Enrolment

RE Details

RE Registration Number	BANKTWO1	RE Name	Bank Two
RE Type	Bank	Line Of Business	Private Sector Banks
PAN	AGECV8765G	GSTIN	33AAACL1681P2Z9
Entity Identifier Type	Goods and Services Tax Identification Number	Entity Identifier ID	33AAACL1681P2Z9
Regulator	RBI	Regulator License ID	33AAACL1681P2Z9

Registered Address of RE

Address Line 1	Kizhake Aryankulam Athulya Villas	Locality	Test Locality
Country	India	Pin Code	682021
State	Kerala	District	ERNAKULAM
City	Aluva		

Principal Officer Details

First Name	Bank Two PO	Last Name	Bhaskar
Designation	Manager	Gender	Male
Date Of Birth	10/09/2006	Primary Email	banktwo2@gmail.com
Country Code	91	Mobile Number	9562013200

Designated Director Details

First Name	Bank Two DD	Last Name	Bhaskar
Designation	Manager	Gender	Male
Date Of Birth	10/09/2006	Email	banktwo3@gmail.com
Country Code	91	Mobile Number	9562013200
DIN		Remarks	

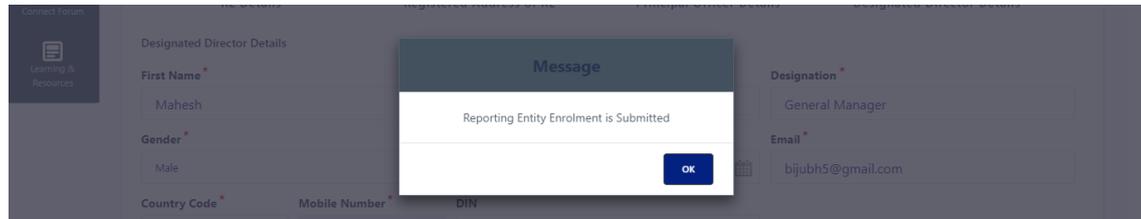
[Close](#)

6. The user clicks on 'Submit'.

Post successful submission of Reporting entity enrolment request, the primary user will see success message pop-up. Further communications on the RE enrolment request will be communicated via email/SMS. The request will be sent to RE Enrolment Process Owner for approval.



7. PO will receive the link to complete the PO registration post successful enrolment of Primary user/RE.
8. DD will receive the link to complete the DD registration post successful enrolment of Primary user/RE.



5 User and profile management

5.1 Update RE Profile by Principal Officer

1. The user will log in to the FINGate system using login ID and password.
2. The user shall navigate to 'My View' → 'RE Details' update page post logging in.
3. The user can edit/update RE profile details. All existing data will be pre-populated.
4. The user can update/insert the following profile fields
 - a) RE Name and address
 - b) Branch details
 - c) ATM details
 - d) Regulator
 - e) Regulator License ID
5. The user will upload documentation along with the reason for RE profile update.
6. The user will not be able to modify Entity Identifier.
7. Post approval of profile update request, the modified details will be visible to the user.

First Name	Last Name	Designation	Email	Role	Created On	Status	Actions
Azad		RE TA	azad123@gmail.com	RE TA	07/12/2021	IN PROGRESS	
Nadif	Khan	Alternate PO	nadifk@gmail.com	Alternate Principal Officer	26/11/2021	COMPLETED	
Purnima	Gorain	Senior Technical Assistant	purnimagm.03@gmail.com	RE TA	26/11/2021	COMPLETED	
Saloni	Kumari	Technical Assistant	saloni@gmail.com	RE TA	26/11/2021	INITIATED	
test		Designated Director	testnonvrishiti.tahilani@icicibank.com	RE TA	15/11/2021	INITIATED	
Rashi	Kumari	Alternate PO	ghdghghd@ghhht.gghjg	Alternate Principal Officer	19/10/2021	INITIATED	
Chandi	Kumari	Technical Assistant	chandni@gmail.com	Other Users	18/10/2021	COMPLETED	
Geeta	Kumari	Designated Director	geeta@gmail.com	Designated Director	18/10/2021	COMPLETED	

69AAAGM6577CSZJ

Entity Identifier Type *
Company Identification Number

Entity Identifier ID *
69AAAGM6577CSZJ

Entity Identifier File
No file... Browse

Regulator *
RBI

Regulator License ID *
54543545

Regulator License File
No file... Browse

Registered Address of Reporting Entity

Address Line 1 *
20976 GS Road

Locality *
Anand Colony

Country *
India

Pin Code *
656346

State *
Goa

District *
Itanagar

City *
Panaji

Reason For Update
Max. Limit 2000 Characters

This is to Certify that My RE Does Not Maintain Any ATM And There Are No ATM Details To Upload

Submit

5.1.1 Add Multiple Line of Business

Principal Officer can view, add, modify, and deactivate lines of business.

The user can add multiple lines of business by accessing the 'Reporting Entity LOB' by scrolling down the 'RE Details' under 'My View'.

5.1.1.1 Add and modify Line of Business

1. In Reporting Entity LOB, the user needs to click on 'Add' button.
2. The user will be navigated to 'Regulator Details' form.
3. The user shall fill all the mandatory fields.
4. The user clicks on 'Submit' button.

Post successful submission of line of business request, the principal officer user will see success message pop-up. Further communications on the line of business request will be communicated via email/SMS. The below workflow will get triggered post submission of RE registration request:

1. The regulator details of RE will be validated by FIU-IND.
 - a) FINGate system validates user uploaded details. If the validation process is unsuccessful, the user will receive a notification prompting him/her to change the concerned fields and provide self-declaration. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
 - b) The user is required to re-login and update the required details along with self-declaration.
2. Additionally, user can do the below functions as well:
 - a) View Line of Business details: By clicking on the eye icon the user can view added Line of Business details.
 - b) Edit Line of Business details: By clicking on the edit icon the user can modify added Line of Business details.





Reporting Entity LOB

Regulator Details

Line Of Business

Line of Business* Regulator Name* Regulator License Id*

Select Line Of Business Select Branch Regulator

Start Date Of License License Valid Till License Type

04/11/2022 04/11/2022

Regulator License File Self Declaration File

No file... Browse No file... Browse

Supports : .jpeg,.jpg,.pdf Max File Size 10MB Supports : .jpeg,.jpg,.pdf Max File Size 10MB

Cancel Submit

Authorized Dealer Category II RBI 9188267878

Start Date Of License License Type

04/11/2022

Regulator License File

Report (1).pdf Browse

Supports : .jpeg,.jpg,.pdf Max File Size 10MB

Cancel Submit

Regulator LOB is Submitted

OK

Reporting Entity LOB

Add [] Search

<input type="checkbox"/>	LOB Name	Regulator Name	Regulator License ID	Created On	Status	Actions
<input type="checkbox"/>	Authorized Dealer Category II	RBI	9188267878	04/11/2022	AWAITING EXTERNAL VALIDATIONS	👁️
<input type="checkbox"/>	Local Area Banks	RBI	987645	03/11/2022	COMPLETED	👁️ 🗑️

Please note that if RE wishes to add more than one line of business, it can be done only after previous request has been approved by FIU.

5.1.1.2 Deactivation of Line of Business

1. The user shall click on deactivation icon.
2. The user needs to upload relevant document along with remarks.
3. The user needs to click on 'Deactivation Request'.
4. Post submission a request will generate with request id.
5. Post approval from FIU the status of LOB will be 'Inactive'.



Reporting Entity LOB

Add [dropdown] [input] Search

<input type="checkbox"/>	LOB Name	Regulator Name	Regulator License ID	Created On	Status	Actions
<input type="checkbox"/>	Authorized Dealer Category II	RBI	9188267878	04/11/2022	REQUEST ADDITIONAL INFORMATION	
<input type="checkbox"/>	Local Area Banks	RBI	987645	03/11/2022	COMPLETED	

Reporting Entity LOB

LOB Deactivation Page

Upload Attachment *

No file... [Browse]

Supports : .jpeg,.jpg,.pdf Max File Size 10MB

Remarks *

[input field]

Please fill out this field. Max. Limit 2000 Characters

Cancel [Deactivation Request]

Report (1).pdf [Browse]

Supports : .jpeg,.jpg,.pdf Max File Size 10MB

Remarks *

deactivation

Max. Limit 2000 Characters

Cancel [Deactivation Request]

Your request with Request ID
21602
is successfully submitted

OK

Reporting Entity LOB

Add [dropdown] [input] Search

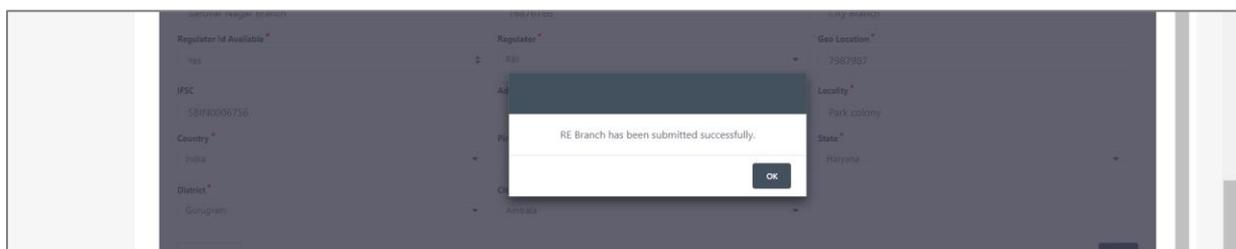
<input type="checkbox"/>	LOB Name	Regulator Name	Regulator License ID	Created On	Status	Actions
<input type="checkbox"/>	Investment Advisors	RBI	test123	02/11/2022	INACTIVE	

5.1.2 Update RE Branch Details

The user can access RE branch details form by scrolling down the 'RE Details' form. The user can add and modify RE branch Details.

5.1.2.1 Add and modify RE Branch Details as single request

1. The user shall click on 'Add'.
2. The user will be navigated to 'New RE Branch' form.
3. The user shall fill all mandatory fields.
4. The user clicks on 'Submit'
5. Additionally, user can do the below actions as well:
 - a) View RE Branch details: By clicking on the eye icon the user can view added RE branch details
 - b) Edit RE Branch details: By clicking on the edit icon the user can modify added RE branch details

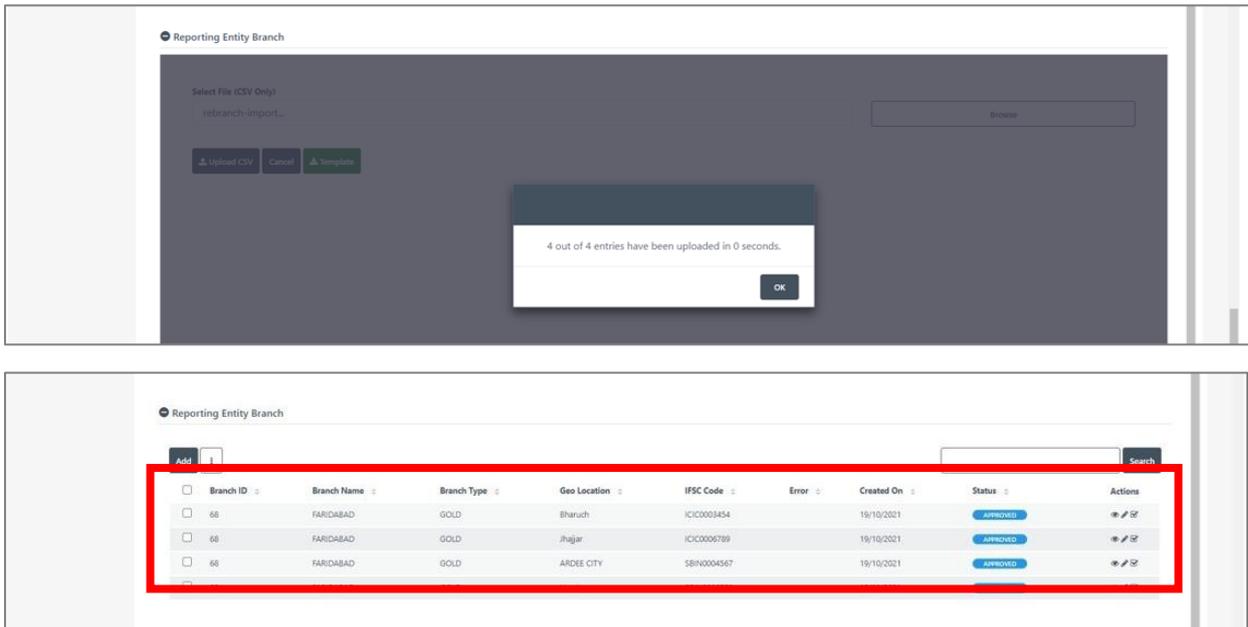


Branch ID	Branch Name	Geo Location	IFSC Code	Created On	Status	Actions
10	test			23/06/2022	INACTIVE	
98888	testttt	87787		06/05/2022	ACTIVE	

5.1.2.2 Add RE Branch Details as bulk request

1. The user shall click on three dots next to 'Add'.
2. The user will be navigated to 'Reporting Entity Branch' bulk update form.
3. The user is advised to download the template for bulk upload. Refer to section 6.2.1 for details of the template.
4. After downloading the template, the user will fill all the columns of the .csv file.
5. The user can make multiple entries.
6. Post filling all the details, the user can browse the file by clicking on 'Browse'.
7. Finally, the user can submit the request by clicking on 'Upload CSV'.
8. The user will get a success message post successful .csv upload.
9. In case the bulk file has one or more errors in any of the entries then the entries without error will be successfully uploaded.
10. The user can rectify the error according to the error message popup and can upload the bulk file again.
11. The user will be able to see all the successfully uploaded requests in the dashboard.



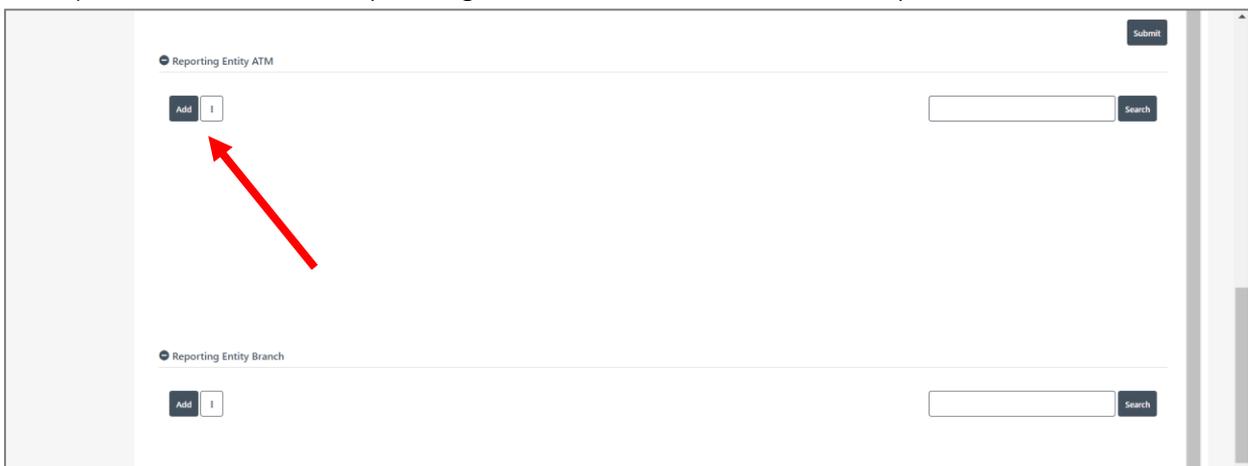


5.1.3 Update RE ATM Details

The user can add and modify RE ATM Details. If RE does not have ATM, then RE PO must certify that there are no ATMs. The user can access RE ATM section by scrolling down to the 'Reporting Entity ATM' form.

5.1.3.1 Add RE ATM Details as single request

1. The user shall click on 'Add'.
2. The user will be navigated to 'Reporting entity ATM' form.
3. The user shall fill all mandatory fields.
4. The user clicks on 'Submit'
5. Additionally, user can do the below actions as well:
 - a) View RE ATM details: By clicking on the eye icon the user can view added RE ATM details
 - b) Edit RE ATM details: By clicking on the edit icon the user can modify added RE ATM details





Reporting Entity ATM

New RE ATM

ATM ID *
Please Select

ATM Type *
Please Select

NPCI ATM ID *
Please Select

Address *
Please Select

Locality *
Please Select

Country *
Please Select

Pin Code *
Please Select

State *
Please Select

District *
Please Select

City *
Please Select

Cancel Submit

Reporting Entity ATM

ATM ID *
33333

ATM Type *
Yellow Label ATM

NPCI ATM ID *
533333

Address *
33, Sanovar Complex

Locality *
33, Sanovar Complex

Country *
India

Pin Code *
688025

State *
Kerala

District *
Gurugram

City *
Ambala

Cancel Submit

RE ATM has been submitted successfully.
OK

Reporting Entity ATM

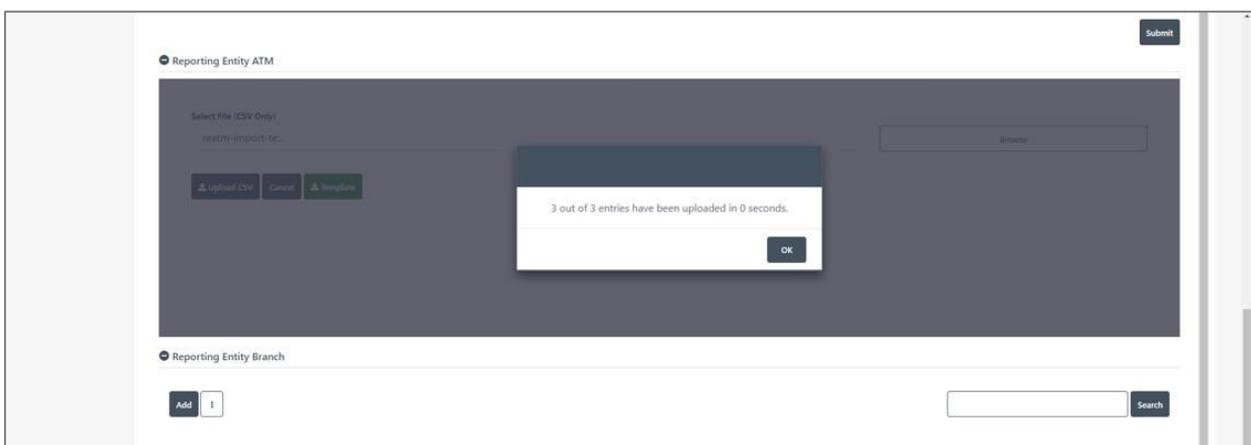
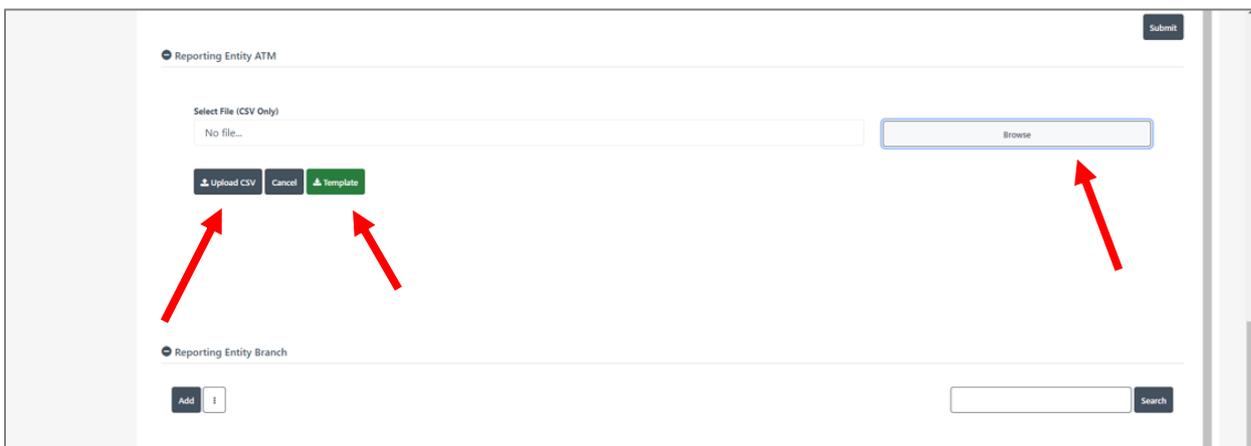
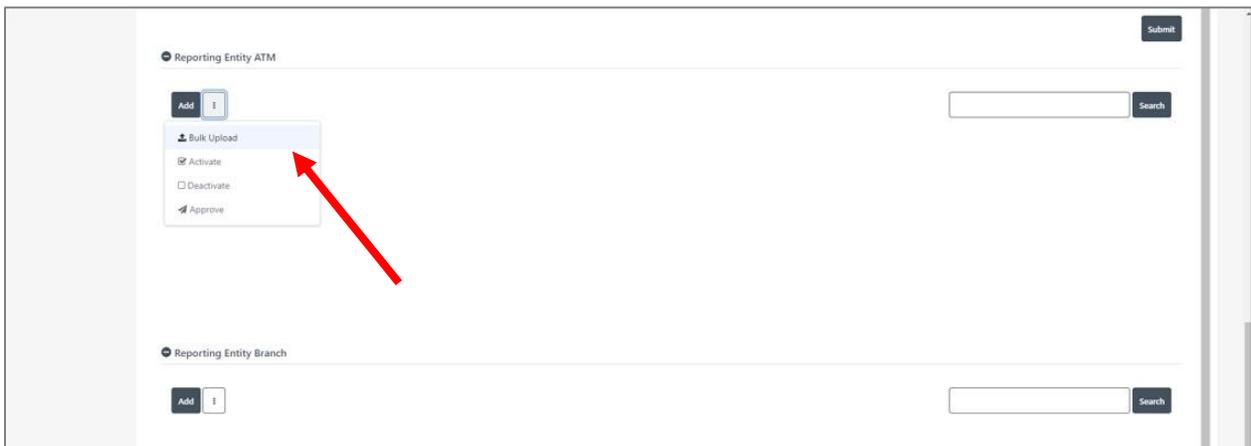
ATM ID	ATM Type	NPCI ATM ID	Created On	Status	Actions
33333	Yellow Label ATM	533333	11/10/2021	APPROVED	

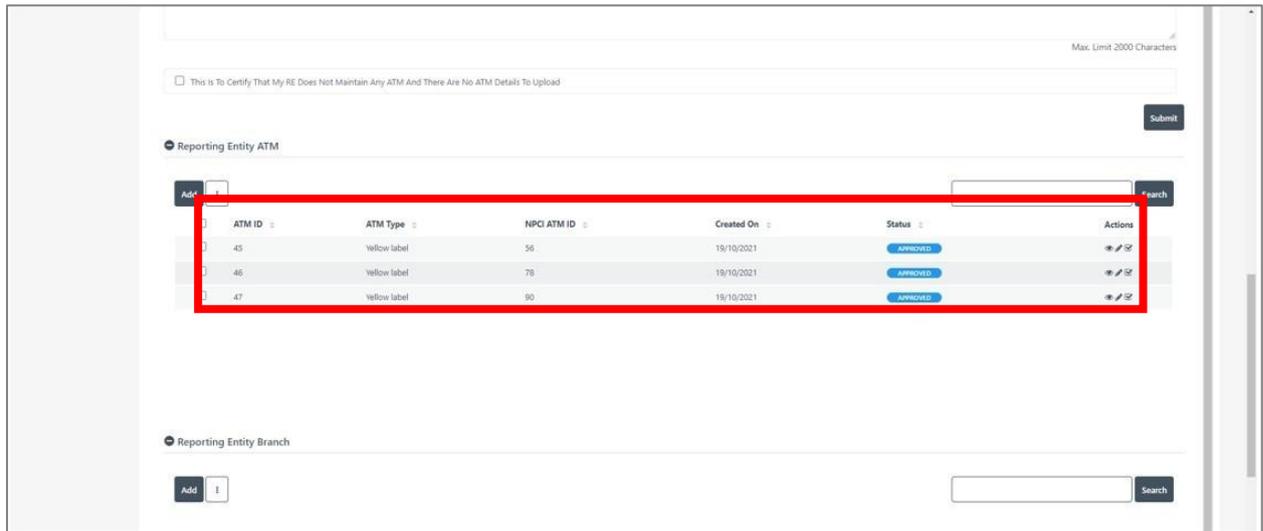
Reporting Entity Branch

5.1.3.2 Add RE ATM Details as bulk request

1. The user shall click on three dots next to 'Add'.
2. The user will be navigated to 'Reporting Entity ATM' bulk update form.
3. The user is advised to download the template for bulk upload. Refer to section 6.2.2 for details of the template.
4. After downloading the template, the user will fill all the columns of the .csv file.
5. The user can make multiple entries.

6. Post filling all the details, the user can browse the file by clicking on 'Browse'
7. Finally, the user can submit the request by clicking on 'Upload CSV'
8. The user will get a success message post successful .csv upload.
9. In case the bulk file has one or more errors in any of the entries then the entries without error will be successfully uploaded.
10. The user can rectify the error according to the error message popup and can upload the bulk file again.
11. The user will be able to see all the successfully uploaded requests in the dashboard.





5.2 Update Self Profile

5.2.1 Self-Profile Update

1. The user will log in to the FINGate system using login ID and password.
2. The user shall navigate to the profile update page post logging in.
3. The user can edit/update profile detail. All existing data will be pre-populated.
4. The user can edit the following profile fields
 - a) Mobile number
 - b) Registered address
 - c) Individual Identifier - Passport only
 - d) Designation
 - e) Date of Birth
 - f) Gender
 - g) Alternate Mobile No
 - h) Alternate email ID
5. The user will upload documentation along with the reason for profile update.
6. PAN cannot be changed.
7. For passport update, FINGate system validates user uploaded details. If the validation process is unsuccessful, the user must submit fresh file and self-declaration in case of failed verification. The self-declaration should be printed in the RE's letterhead and stamped with the seal of the RE.
8. Post approval of profile update request, the modified details will be visible to the user.
9. The user can move out of the profile update page without making any change.
10. Profile update by Principal officer and Non-PO RE user are shown below.

Profile update by Principal Officer



FINGate 2.0 My View

Rita Kumari
Principal officer
Bank Of Srinagar
RE Number : BA00265

This is your overall compliance score: **7.9**

RE Details | My Requests | **My Organizations** | My Ratings

Add New User | All Status | Search

<input type="checkbox"/>	First Name	Last Name	Designation	Email	Role	Created On	Status	Actions
<input type="checkbox"/>	Azad		RE TA	azad123@gmail.com	RE TA	07/12/2021	IN PROGRESS	
<input type="checkbox"/>	Nadif	Khan	Alternate PO	nadifk@gmail.com	Alternate Principal Officer	26/11/2021	COMPLETED	
<input type="checkbox"/>	Purnima	Gorain	Senior Technical Assistant	purnimagn.03@gmail.com	RE TA	26/11/2021	COMPLETED	
<input type="checkbox"/>	Saloni	Kumari	Technical Assistant	saloni@gmail.com	RE TA	26/11/2021	INITIATED	
<input type="checkbox"/>	test		Designated Director	testnonvrishit.tahiliani@icicibank.com	RE TA	15/11/2021	INITIATED	
<input type="checkbox"/>	Rashi	Kumari	Alternate PO	ghdghgd@ghhfhf.gghjg	Alternate Principal Officer	19/10/2021	INITIATED	
<input type="checkbox"/>	Chandi	Kumari	Technical Assistant	chandni@gmail.com	Other Users	18/10/2021	COMPLETED	
<input type="checkbox"/>	Geeta	Kumari	Designated Director	geeta@gmail.com	Designated Director	18/10/2021	COMPLETED	

FINGate 2.0 My View Update My Profile

Rita Kumari
RE Number : BA00265
Bank Of Srinagar
Profile Status: **APPROVED**

First Name * Rita

Last Name * Kumari

Gender * Female

Date Of Birth * 14/10/2021

Designation * Principal Officer

Primary Email * rita@gmail.com

Alternate Email

Country Code * 91

Mobile Number * 8285734440

Country Code * 91

Alternate Mobile Number

ID Type * Permanent Account Number

ID Number * BCUPG4534D

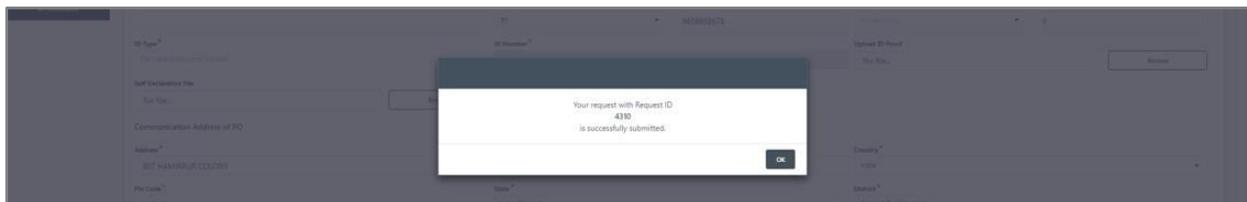
Upload ID Proof No file...

Self Declaration File No file...



Communication Address of PO

Address *	Locality *	Country *
807 HAMIRPUR COLONY	Hawa sadak	India
Pin Code *	State *	District *
110096	Delhi	East District
City *		
New Delhi		
Reason for update *		
<input type="text"/>		
Max. Limit 2000 Characters		
<input checked="" type="checkbox"/> I hereby declare that all the information provided here is true to the best of my knowledge.		
<input type="checkbox"/> There are no changes in the information above.		
<input type="button" value="Cancel"/>	<input type="button" value="Submit"/>	



Profile update by non-PO

वित्तीय आसूचना एकक - भारत
Financial Intelligence Unit - India
MINISTRY OF FINANCE, GOVERNMENT OF INDIA

FINGate 2.0

HELLO CHANDI KUMARI

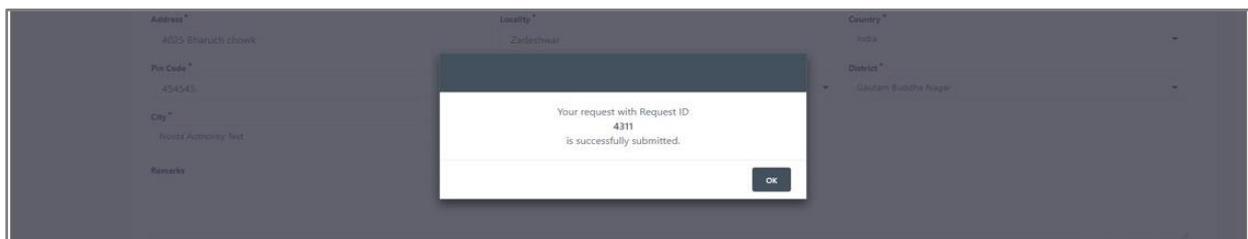
My View

Update My Profile

Chandi Kumari
RE Number BA00265
Other Users
Bank Of Srinagar
Profile Status: APPROVED

First Name *	Last Name	Gender *	
Chandi	Kumari	Female	
Date Of Birth *	Role *	Designation *	
15/10/2021	Other Users	Technical Assistant	
Email *	Alternate Email	Country Code *	Mobile Number *
chandni@gmail.com		91	6465465465
Country Code	Alternate Mobile Number		

The screenshot shows a web form for user registration. At the top, there are dropdowns for 'Country Code' (with a 'Please Select' message) and 'Alternate Mobile Number' (with '0' entered). Below these are 'ID Type' (with 'Permanent Account Number' selected) and 'ID Number' (with 'BCUPG5612M' entered). To the right is an 'Upload ID Proof' section with a 'Browse' button and a note 'No file...' and 'Supports : .jpeg, .jpg, .png, .pdf'. The 'Registered Address of User' section contains several dropdowns: 'Address' (4025 Bharuch chowk), 'Locality' (Zadeshwar), 'Country' (India), 'Pin Code' (454545), 'State' (Uttar Pradesh), 'District' (Gautam Buddha Nagar), and 'City' (Noida Authority Test). There is a 'Remarks' text area with a 'Max. Limit 2000 Characters' note. At the bottom left, there is a checkbox labeled 'I hereby Declare That All The Information Provided Here is True To The Best Of My Knowledge'. A red arrow points to the 'Submit' button at the bottom right.



5.2.2 Approving profile update requests (PO)

1. Profile update requests of non-PO users (except for Designated director and Alternate PO) goes to Principal Officer for approval.
2. Principal Officer can view profile update requests in the 'My requests' section as shown below.
3. For approving/rejecting any new request, the user shall select any request and click on the 'eye' icon next to it.
4. The user can view the submitted request detail.
5. The user can toggle between the original fields and changed field by clicking on 'Old Details' link. The changed field will be highlighted.
6. The user is asked to mention appropriate remarks for approval/rejection and then selects the appropriate option.
 - o In case of any rejection, the user is mandated to enter remarks.
7. The user can view the status of all requests in the dashboard as approved or rejected.



Rita Kumari
RE_Number_BA00265
Principal officer
Bank Of Srinagar

7.9
The points you scored helped increase your overall score !
Congrats! keep at it!

RE Details | My Requests | My Organization | My Ratings

All RE Enrolment Request

Request ID	Name	RE Name	Requested By	Change Type	Remarks	Created On	Status	Actions
4310	PrincipalOfficer	Bank Of Srinagar	rita@gmail.com	Update Entry		19/10/2021	APPROVED	
4309	ReportingEntity	Bank Of Srinagar	shyam@gmail.com	Update RE Details		19/10/2021	APPROVED	
4311	ReUser	Bank Of Srinagar	chandni@gmail.com	Update Entry		19/10/2021	SUBMITTED	

Rita Kumari
RE Number : BA00265
Principal officer
Bank Of Srinagar

7.9
This is your overall compliance score.

RE Details | My Requests | My Organization | My Ratings

RE Enrolment Request

Request ID: 4312
Requested by: Geeta Kumari
Name: RE User
Change Type: Update Entry

Remarks

Change Detail

First Name	Geeta	Last Name	Kumari
Designation	Designated Director	Date Of Birth	14/10/2021
Gender	Female	Email	geeta@gmail.com
Alternate Email		Mobile Number	91-445546500
Alternate Mobile Number		Reporting Entity	Bank Of Srinagar
Role	Designated Director	ID Type	Permanent Account Number
ID Number	BCUP5M12N	Issuing Authority	Income Tax Department

Close | Approve / Reject

Please enter your comment here (Max 1000 Character)

Approve | Reject | Close

Rita Kumari
RE_Number_BA00265
Principal officer
Bank Of Srinagar

7.9
The points you scored helped increase your overall score !
Congrats! keep at it!

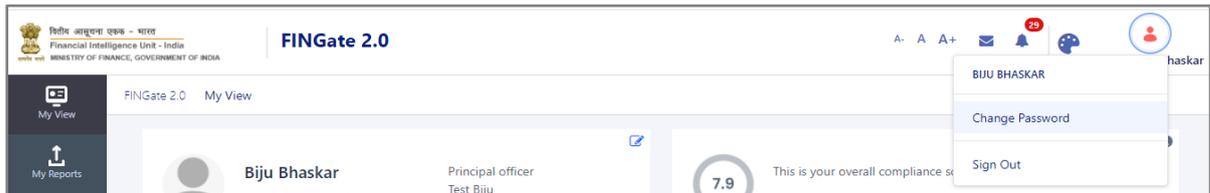
RE Details | My Requests | My Organization | My Ratings

All RE Enrolment Request

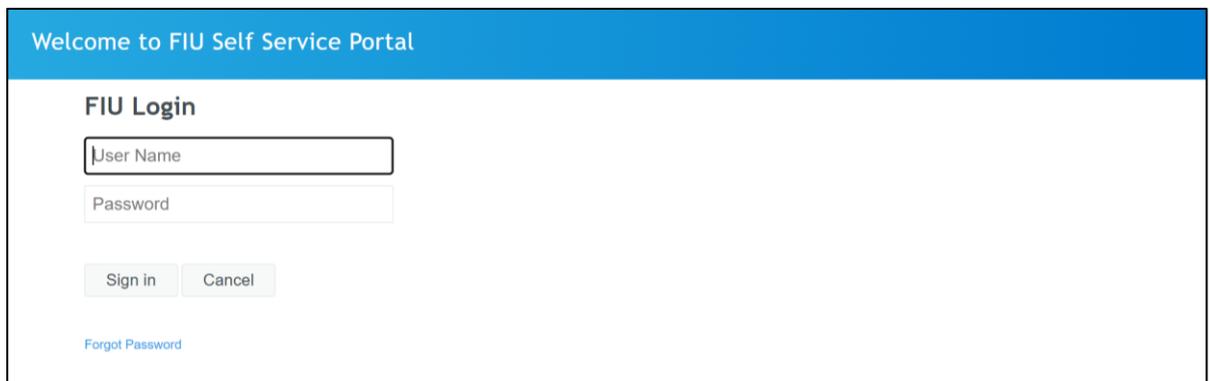
Request ID	Name	RE Name	Requested By	Change Type	Remarks	Created On	Status	Actions
4310	PrincipalOfficer	Bank Of Srinagar	rita@gmail.com	Update Entry		19/10/2021	APPROVED	
4309	ReportingEntity	Bank Of Srinagar	shyam@gmail.com	Update RE Details		19/10/2021	APPROVED	
4311	ReUser	Bank Of Srinagar	chandni@gmail.com	Update Entry		19/10/2021	APPROVED	

5.2.3 Change Password

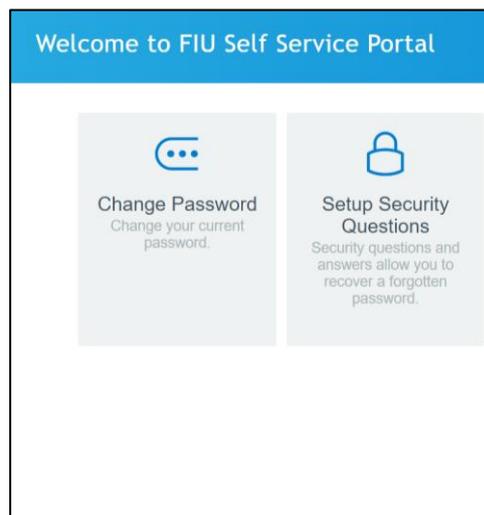
1. User will log in to the FINGate portal using user ID and password.
2. On the top right corner of the Dashboard, user will click on User icon and then again click Change Password



3. Upon clicking the Change Password link, the user will be re-directed to the Change password page and user needs to enter the User ID and password again.



4. Then user will be redirected to the below screen and then User needs to click on the Change Password option.



5. Then user needs to enter the current password.



6. User will enter New Password and confirm the new password in the Confirm Password textbox.

7. Click on Change Password.
8. Password will be changed successfully.

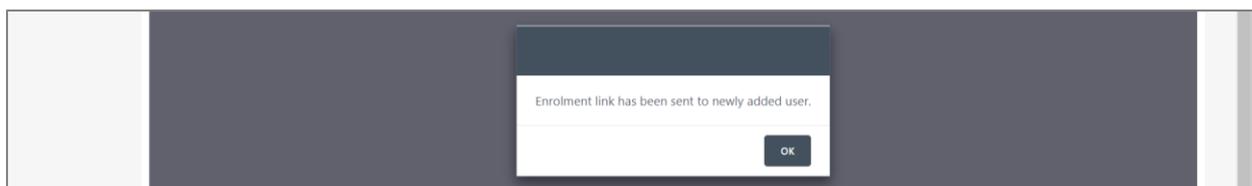
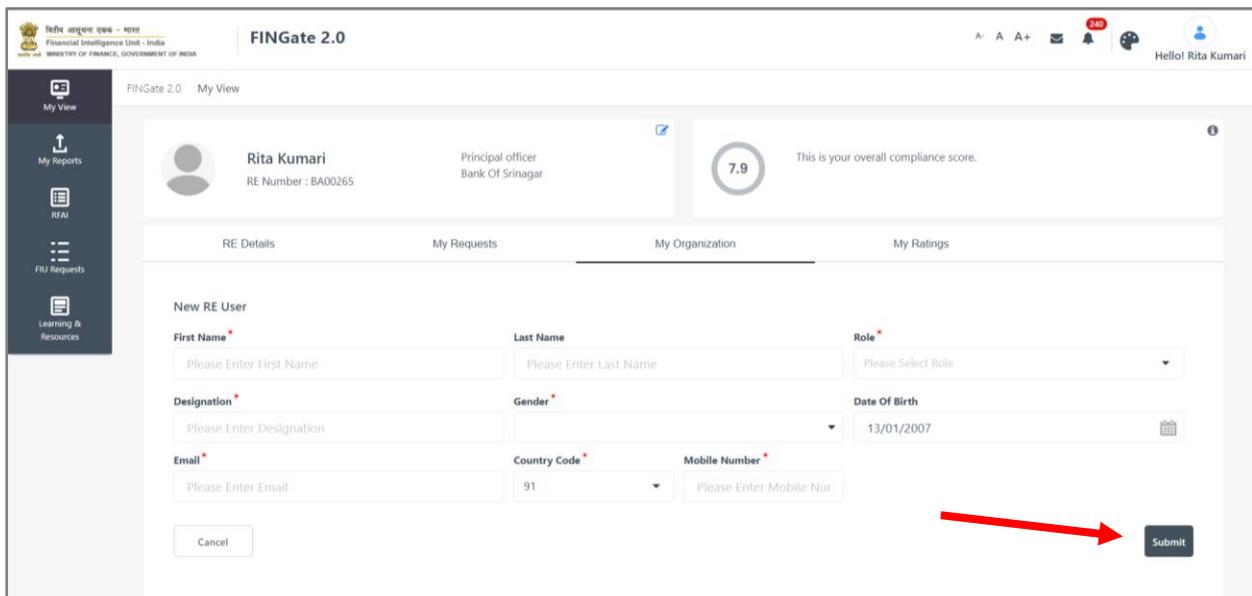
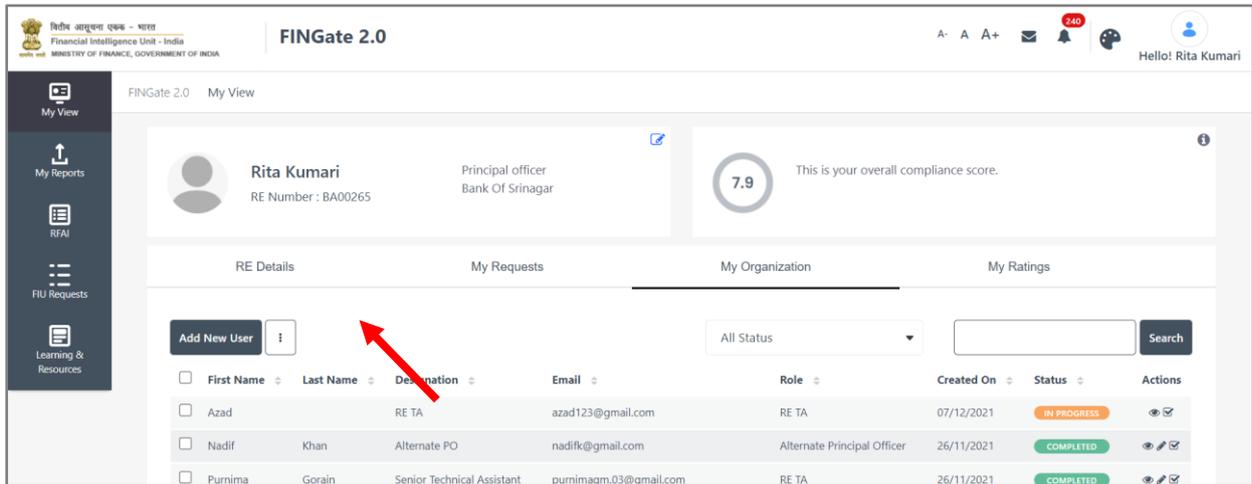
5.3 Update Other RE user profile

1. The user (RE PO or Designated director) will login to the FINGate system using login ID and password.
2. The user shall navigate to the 'My Organisation' page post logging in.
3. The user can add/update non-PO profile details.
4. The user can add/update the following:
 - a) Add Non-PO User
 - b) Activate/Deactivate Non-PO User
 - c) Update profile details
 - d) Delete RE users with Initiated status

5.3.1 Add Non-PO user

1. The user (RE PO or Designated director) will login to the FINGate system using login ID and password.
2. The user shall navigate to the 'My Organisation' page post logging in.
3. The user shall click on 'Add'.

4. The user will be navigated to 'New RE Branch' form.
5. The user shall fill all mandatory fields.
6. The user clicks on 'Submit'
7. The newly added non-PO user will receive enrolment link post submission of the request.



The screenshot shows the FINGate 2.0 interface. At the top, it displays the user's name 'Rita Kumari', role 'Principal officer', and organization 'Bank Of Srinagar'. A compliance score of 7.9 is shown. Below this, there are tabs for 'RE Details', 'My Requests', 'My Organization', and 'My Ratings'. The 'My Requests' tab is active, showing a table of RE requests. The row for 'Nadif Khan' is highlighted with a red box. The table has columns for 'First Name', 'Last Name', 'Designation', 'Email', 'Role', 'Created On', 'Status', and 'Actions'.

First Name	Last Name	Designation	Email	Role	Created On	Status	Actions
Azad		RE TA	azad123@gmail.com	RE TA	07/12/2021	IN PROGRESS	
Nadif	Khan	Alternate PO	nadifk@gmail.com	Alternate Principal Officer	26/11/2021	COMPLETED	
Purnima	Gorain	Senior Technical Assistant	purnimagm.03@gmail.com	RE TA	26/11/2021	COMPLETED	
Saloni	Kumari	Technical Assistant	saloni@gmail.com	RE TA	26/11/2021	INITIATED	

5.3.2 Activate/Deactivate RE users

1. For deactivating single or multiple users at a time, the user can select single or multiple requests at a time by checking the checkbox placed on the left side of the request as shown below.
2. The user shall then click on the 'three dot' button next to the 'Add' button.
3. The user will get an option to 'Deactivate' or 'Activate'.
4. The user can make the desired selection.
5. The user will get a pop-up for confirmation where the user can confirm by clicking on 'OK'.

This screenshot is similar to the previous one, showing the 'My Requests' tab. The table now includes an additional entry for 'test' with the role 'Designated Director' and email 'testnonvrishiti.tahiliani@icicibank.com'. The status is 'INITIATED'.

First Name	Last Name	Designation	Email	Role	Created On	Status	Actions
Azad		RE TA	azad123@gmail.com	RE TA	07/12/2021	IN PROGRESS	
Nadif	Khan	Alternate PO	nadifk@gmail.com	Alternate Principal Officer	26/11/2021	COMPLETED	
Purnima	Gorain	Senior Technical Assistant	purnimagm.03@gmail.com	RE TA	26/11/2021	COMPLETED	
Saloni	Kumari	Technical Assistant	saloni@gmail.com	RE TA	26/11/2021	INITIATED	
test		Designated Director	testnonvrishiti.tahiliani@icicibank.com	RE TA	15/11/2021	INITIATED	

5.3.3 Update Profile Details of Non-PO user

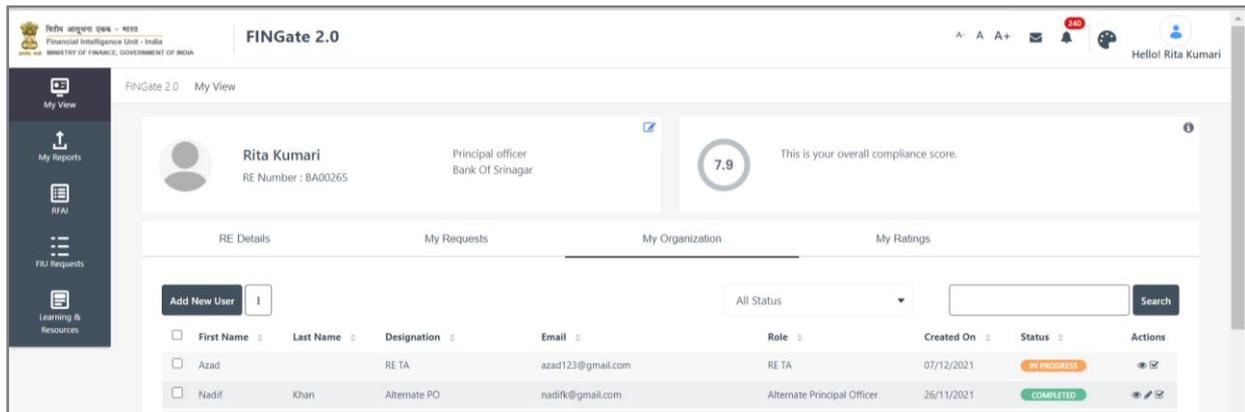
1. The Principal Officer accesses the user list.
2. For updating profile of any non-PO user, the Principal Officer can click on the edit icon that is present for that specific entry.
3. The user will land on the 'Update Profile' form of the non-PO user.
4. The user can modify the entry and can put valid remarks.
5. The user will not be able to view identity identifiers like PAN or Passport.
6. The user will be able to change the following for APO:
 - a) Delegated user

b) Delegated period

These fields are used to delegate the role and access of PO to the APO if the PO is out of office for a temporary period

7. The user clicks on 'SUBMIT'

8. Post successful submission of the update request, the user will get a success message popup.



5.3.4 Change Principal Officer

The system will not permit 2 users to be assigned to Principal Officer Role at the same time. As part of operations, RE will need change the role of an existing non-PO user to PO role with future effective date. If the person identified as the new PO is not an existing user, then the person should complete the user enrolment first with 'Others' role. After the enrolment is **completed**, existing PO can change the role of the new user to PO role with future effective date.

In case PO or DD wishes to edit the role of existing user to future Principal Officer, use the following steps:

1. The user (RE PO or Designated director) will login to the FINGate system using login ID and password.
2. The user shall navigate to the 'My Organisation' page post logging in.
3. The user shall click on the edit icon.
4. The user can modify the role of existing user to 'Future Principal Officer'.
5. The user will select the start date and enter the remarks.
6. The user clicks on 'Submit'.
7. The modified 'Future Principal Officer' user will receive notification post submission of the request.
8. From the start date, Old Principal Officer's account will get deactivated and new 'Future Principal Officer' will automatically become Principal Officer.
9. The newly added 'Future Principal Officer' user will receive successful role change notification.



Rita Kumari New Principal officer
RE Number : BA00265 Bank Of Srinagar

7.9 This is your overall compliance score.

RE Details My Requests My Organization My Ratings

Update Profile

First Name * Nadif	Last Name Khan	Gender * Male
Date Of Birth * 26/11/2006	Role * Alternate Principal Officer	Designation * Alternate PO
Email * nadifk@gmail.com	Country Code * 91	Mobile Number * 8494098063
Country Code 91	Alternate Mobile Number	
Country Code 91	Alternate Mobile Number	
PO From Date * 08/03/2022		

Registered Address of User

Address * B 22, Giriraj Apartment,	Locality * Vivekanand Marg,	Country * Hong Kong
Pin Code * 110031ARSMSS	State Name * Namessss	District Name * ss
City Name * ss		

Reason for Update *

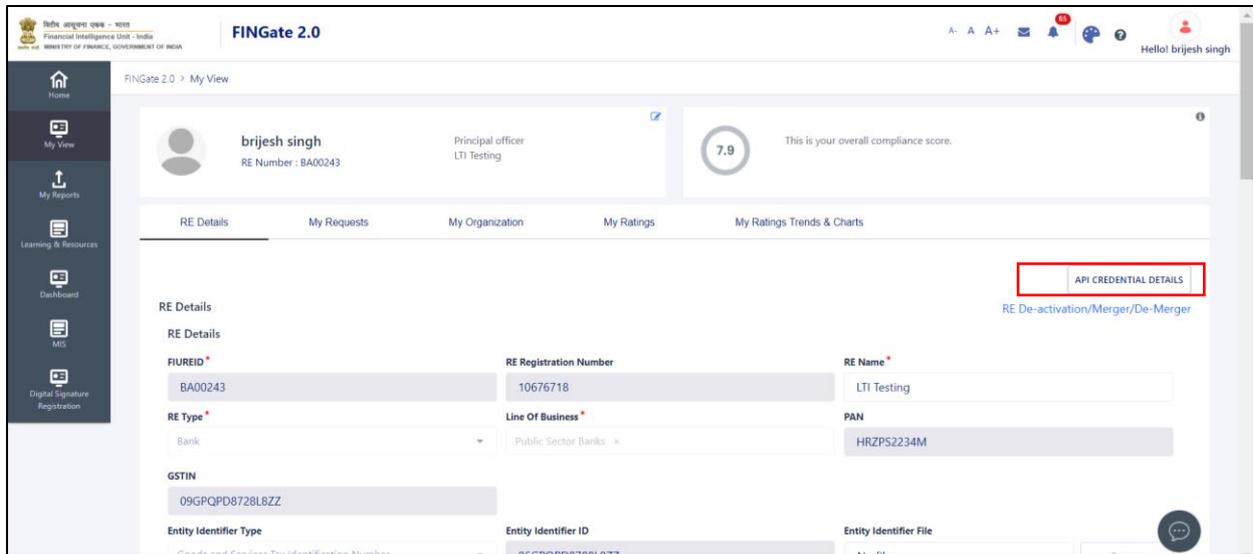
Max. Limit 2000 Characters

Cancel Submit

5.3.5 RE Deactivation

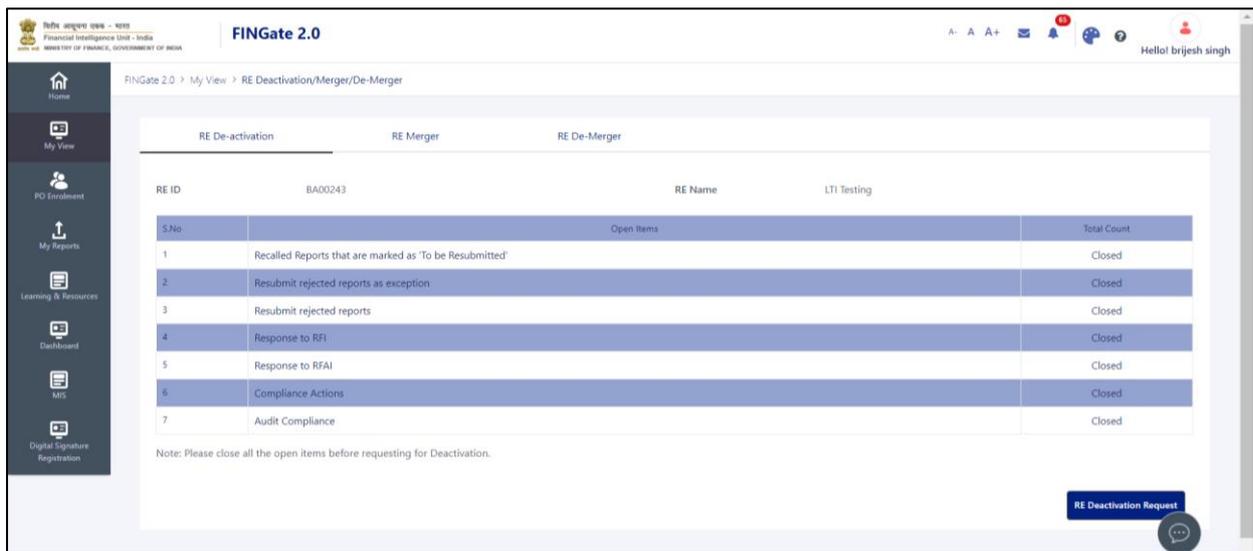
The request to deactivate a reporting entity needs to be raised by the respective Principal Officer (PO) after logging in to the FINGate portal. The PO must ensure that all open items are closed against the reporting entity. This includes resubmitting reports, RFIs, audit & compliance actions. The steps are described below:

1. The user (RE PO) will login to the FINGate system using login ID and password.
2. The user shall navigate to the 'RE De-Activation/Merger/De-Merger' under RE Details page post logging in.



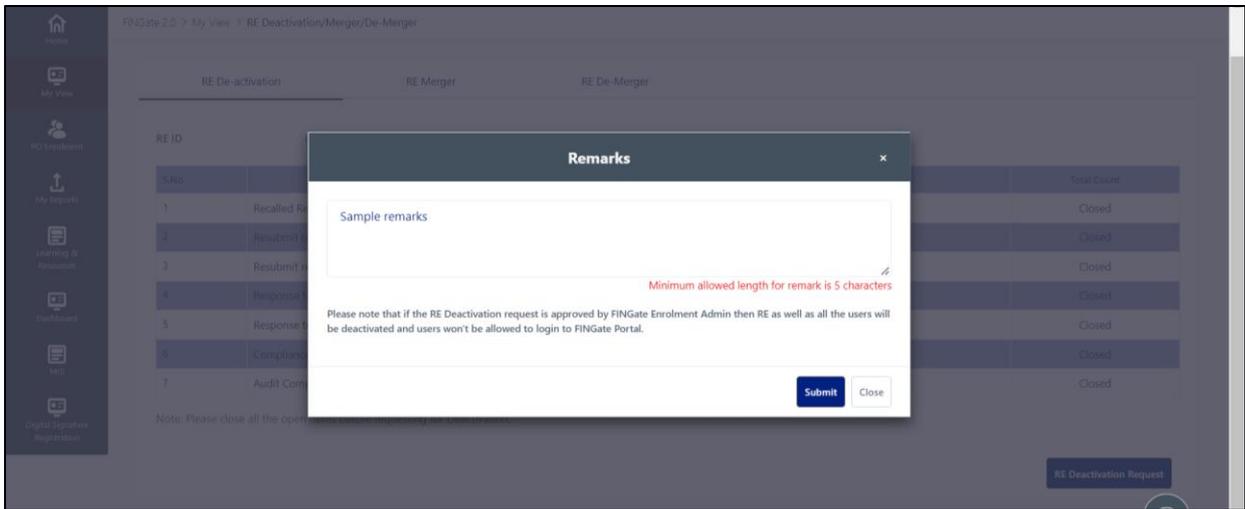
3. The user needs to navigate to the “RE De-activation” Tab and user needs to close all the ‘Open Items’. The list of open items that needs to be checked during Deactivation includes -

- a) Recalled Reports that are marked as 'To be Resubmitted'
- b) Resubmit rejected reports as exception
- c) Resubmit rejected reports
- d) Response to RFI
- e) Response to RFAI
- f) Compliance Actions
- g) Audit Compliance

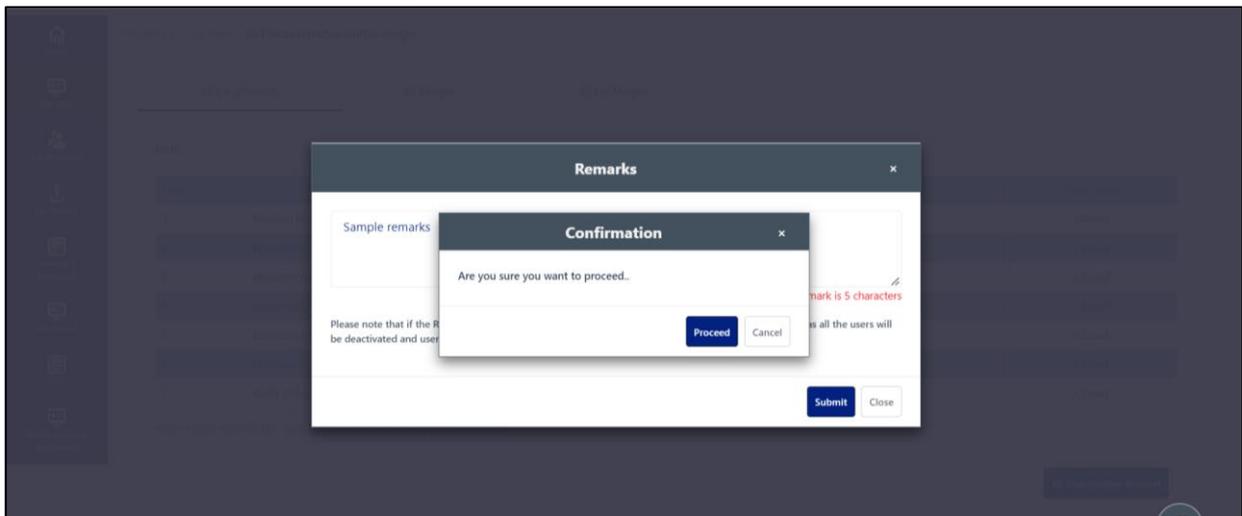


4. Once all ‘Open Items’ are closed, the user will be allowed to proceed with the Deactivation request. The user needs to click on the RE Deactivation Request Button.

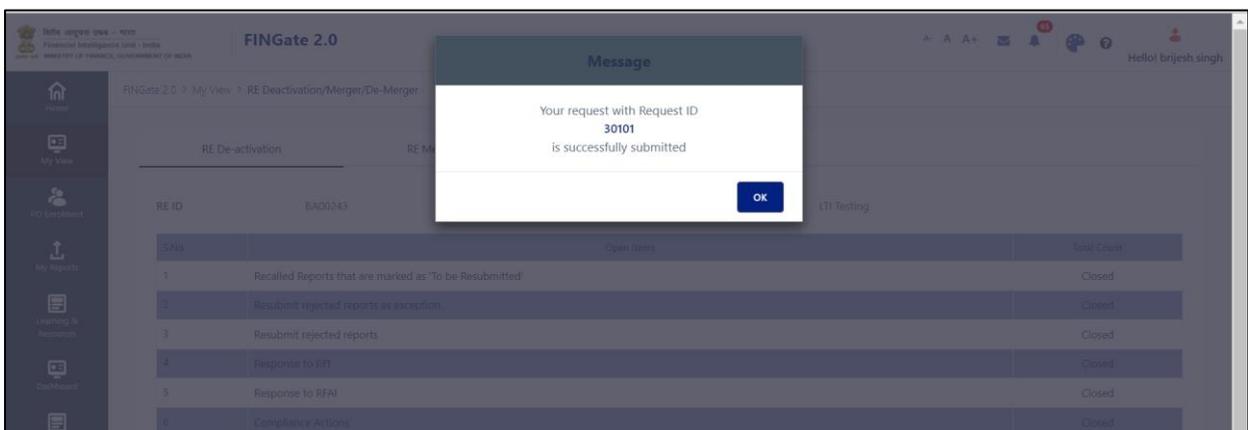
5. The user needs to enter ‘Remarks’ mandatorily and click on Submit button.



6. The user needs to click on the proceed button.



7. The user will get success message with a request ID and the request will be sent for further approval/rejection to FINGate Enrolment Admin.



8. The user is notified via Email/ SMS.

9. If rejected, the user will have to raise a separate request for RE Deactivation.



10. If approved, the user will be notified about the same.
11. After approval, all user accounts will get deactivated in case of RE Deactivation and users won't be able login to FINGate 2.0

5.3.6 RE Merger

The request to Merger a reporting entity needs to be raised by the respective principal officer after login to the FINGate portal. The PO must ensure that all open items are closed against the reporting entity. This includes resubmitting reports, RFIs, audit & compliance actions. The steps are described below:

5.3.6.1 Case 1: Old RE is merged with New RE Non-registered RE

In such case RE must use the RE profile update functionality and by uploading relevant Identifier RE can request for changing RE Name and other Details. Refer to section 5.1 to know how to update RE profile.

5.3.6.2 Case 2: One/Multiple RE is merged to Another existing RE

The request to merge a reporting entity needs to be raised by the respective principal officer after login to the FINGate portal. The PO must ensure that all open items are closed against the reporting entity. This includes resubmitting reports, RFIs, audit & compliance actions. The steps are described below:

1. The user (RE PO) will login to the FINGate system using login ID and password.
2. The user shall navigate to the 'RE De-Activation/Merger/De-Merger' under RE Details page post logging in.
3. The user needs to navigate to the "RE Merger" Tab. Principal Officer should be able to search for Merging RE using FIUREID and select the merging RE. RE Principal Officer should be able to search for RE with "completed" status only.
4. The user can enter the RE Type in the search box to get the list of all the RE registered in the FINGate Portal as per the RE Type. Refer to section 6.1 to get the list of RE Type.
5. The user needs to close all 'Open Items'. The list of open items that needs to be checked during merger includes -
 - a. Recalled Reports that are marked as 'To be Resubmitted'
 - b. Resubmit rejected reports as exception
 - c. Resubmit rejected reports
 - d. Response to RFI
 - e. Response to RFAI
 - f. Compliance Actions
 - g. Audit Compliance
6. Once all 'Open Items' are closed, the user will be allowed to proceed with the merger request.
7. The user needs to enter 'Remarks' mandatorily and click on Submit button.
8. The user needs to click on the proceed button to continue.
9. The request ID will be generated, and the request will be sent for further approval/ rejection.
10. Then request will be sent to Principal Officer of Acquiring RE.
11. If request is approved by Acquiring Principal Officer, then request will be further sent to FIU for approval/rejection. Principal Officer can approve/reject the request in RE Merger Request Tab.
12. If request is rejected by the Acquiring Principal Officer, then request will be closed and RE must raise a separate request again for RE Merger.



13. After approval by FIU, all user accounts will get deactivated for the old merging RE in case of RE Merger.
14. The user is notified via Email/ SMS/ Mobile App/ Portal.
15. If rejected, the user will have to raise a separate request for RE Merger.
16. If approved, the user will be notified about the same.

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FINGate 2.0

Hello! brijesh singh

Home
My View
My Reports
Learning & Resources
Dashboard
MIC
Digital Signature Registration

FINGate 2.0 > My View

brijesh singh
Principal officer
LTI Testing
RE Number : BA00243

7.9 This is your overall compliance score.

RE Details My Requests My Organization My Ratings My Ratings Trends & Charts

API CREDENTIAL DETAILS
RE De-activation/Merger/De-Merger

RE Details

RE Details

FIUREID * BA00243 RE Registration Number 10676718 RE Name * LTI Testing

RE Type * Bank Line Of Business * Public Sector Banks PAN HRZPS2234M

GSTIN 09GQP08728L8ZZ

Entity Identifier Type Entity Identifier ID Entity Identifier File

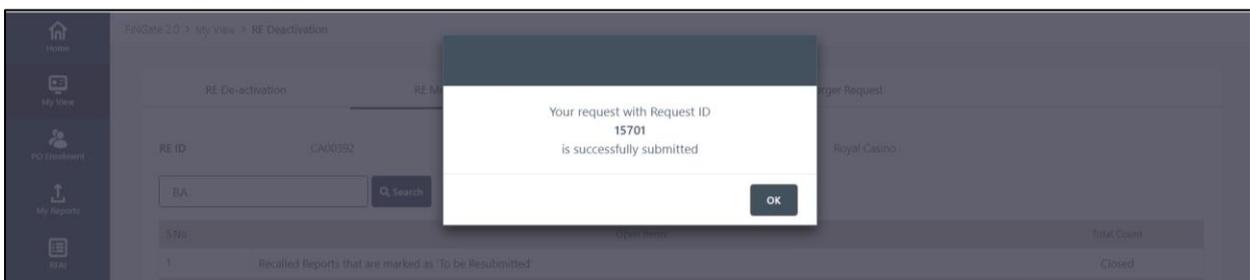
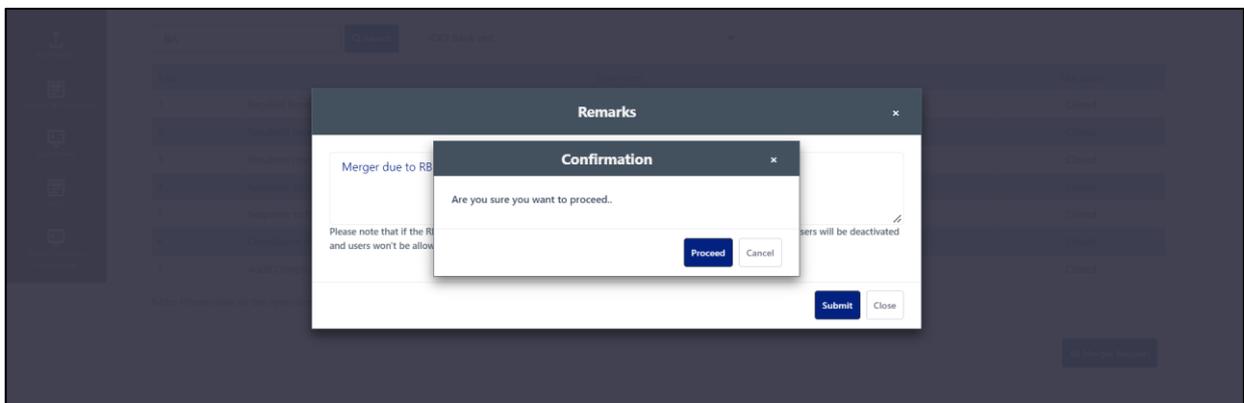
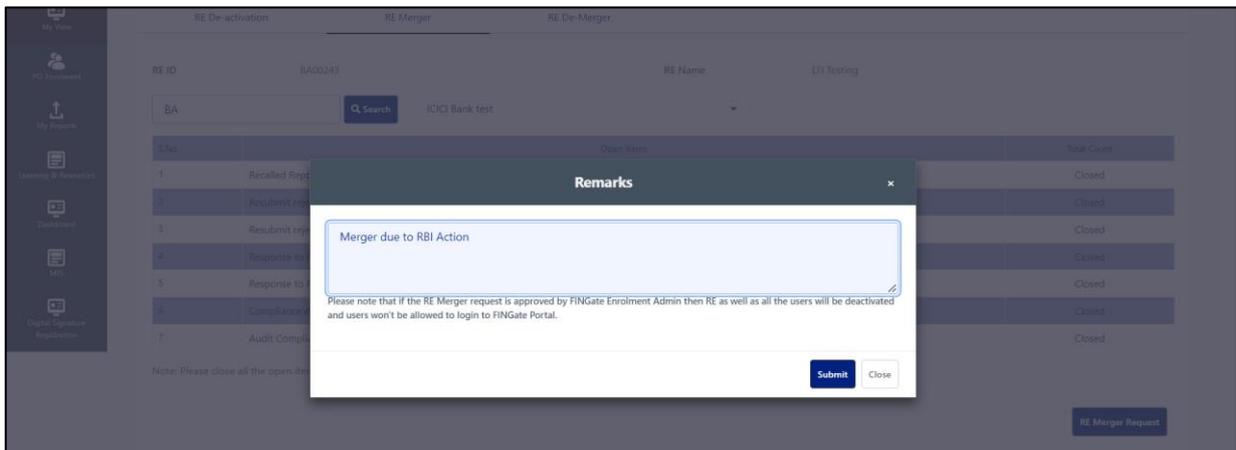
RE De-activation RE Merger RE De-Merger RE Merger Request

RE ID CA00392 RE Name Royal Casino

BA Search Please Select Reporting Entity

S.No			Total Count
1	Recalled Reports that are marked as 'To		Closed
2	Resubmit rejected reports as exception		Closed
3	Resubmit rejected reports		Closed
4	Response to RFI		Closed
5	Response to RFAI		Closed
6	Compliance Actions		Closed
7	Audit Compliance		Closed

Note: Please close all the open items before requesting for RE Merger.



5.3.6.3 Case 3: One or multiple existing RE Merged with New RE

1. New Acquiring RE must get enrolled on the FINGate 2.0 portal as per the existing enrolment process defined in *Section 4 - Enrolment of Reporting Entities* (New Users won't be able to use the E-mail ID already used in the FINGate 2.0 system)
2. The user shall navigate to the 'RE De-Activation/Merger/De-Merger' under RE Details page post logging in.
3. The user needs to navigate to the "Merger" Tab. RE Principal Officer should be able to search for Merging RE using FIUREID and select the merging RE. RE Principal Officer should be able to search for RE with "completed" status only. Merging REs will mention the FIUREID of the acquiring RE.
4. The process of Merger Remains same as explained in *Section 5.3.6.2- Case 2: One/Multiple RE is merged to Another existing RE*
5. After Approval by FIU, all user accounts will get deactivated for the old merging RE in case of RE Merger.



6. The user is notified via Email/ SMS/ Mobile App/ Portal.
7. If rejected, the user will have to raise a separate request for RE Merger.
8. If approved, the user will be notified about the same.

5.3.7 RE De-Merger

The request to de-merge a reporting entity needs to be raised by the respective principal officer after login to the FINGate portal. The PO must ensure that all open items are closed against the reporting entity. This includes resubmitting reports, RFIs, audit & compliance actions. The steps are described in the following sections.

5.3.7.1 Existing RE De-activated and new REs created

1. Old RE need to use de-merger functionality as per the process defined below.
2. New Entities need to be register individually. (New Users won't be able to use the E-mail ID already used in the FINGate 2.0 system).
3. The user (RE PO) will login to the FINGate system using login ID and password.
4. The user shall navigate to the 'RE De-Activation/Merger/De-Merger' under RE Details page post logging in.
5. The user needs to navigate to the "De-Merger" Tab and user needs to close all the 'Open Items'. The list of open items that needs to be checked during De-Merger includes -
 - a. Recalled Reports that are marked as 'To be Resubmitted'
 - b. Resubmit rejected reports as exception
 - c. Resubmit rejected reports
 - d. Response to RFI
 - e. Response to RFAI
 - f. Compliance Actions
 - g. Audit Compliance
6. Once all 'Open Items' are closed, the user will be allowed to proceed with the De-Merger request.
7. The user needs to enter 'Remarks' and click on Submit button.
8. The user needs to click on the proceed button to continue.
9. The request is sent for further approval/ rejection.
10. The user is notified via Email/ SMS.
11. If rejected, the user will have to raise a separate request for RE Deactivation.
12. If approved, the user will be notified about the same.
13. After approval, all user accounts will get deactivated in case of RE Deactivation and users won't be able login to FINGate 2.0



My View

brijesh singh
Principal officer
LTI Testing
RE Number : BA00243

7.9 This is your overall compliance score.

RE Details | My Requests | My Organization | My Ratings | My Ratings Trends & Charts

RE Details

RE Details

FIUREID* BA00243 RE Registration Number 10676718 RE Name* LTI Testing

RE Type* Bank Line Of Business* Public Sector Banks PAN HRZPS2234M

GSTIN 09GQPD08728L8ZZ

Entity Identifier Type Entity Identifier ID Entity Identifier File

API CREDENTIAL DETAILS
RE De-activation/Merger/De-Merger

RE De-activation/Merger/De-Merger

RE De-activation | RE Merger | RE De-Merger

RE ID BA00243 RE Name LTI Testing

S.No	Open Items	Total Count
1	Recalled Reports that are marked as 'To be Resubmitted'	Closed
2	Resubmit rejected reports as exception	Closed
3	Resubmit rejected reports	Closed
4	Response to RFI	Closed
5	Response to RFAI	Closed
6	Compliance Actions	Closed
7	Audit Compliance	Closed

Note: Please close all the open items before requesting for De-Merger.

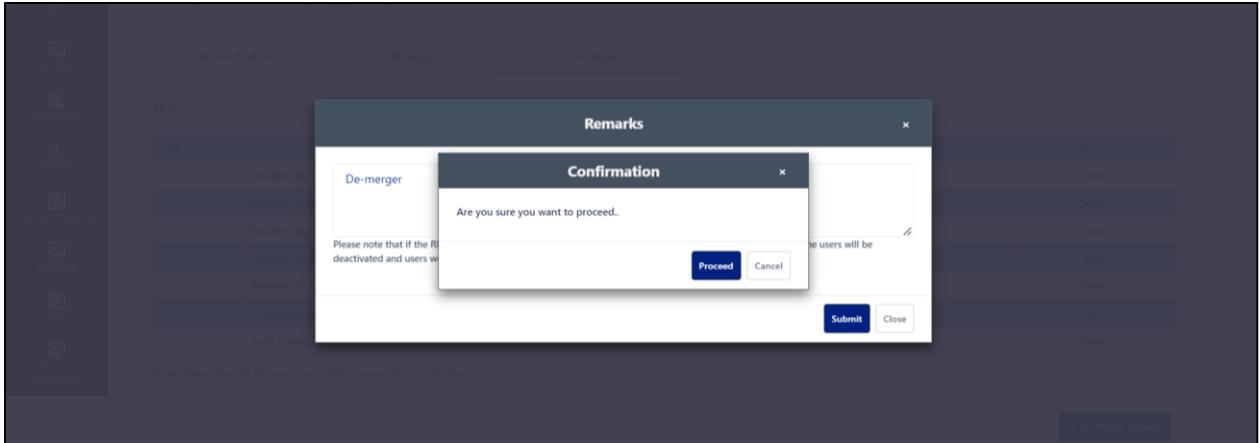
RE De-Merger Request

Remarks

Please enter your comment here (Max 1000 Characters)

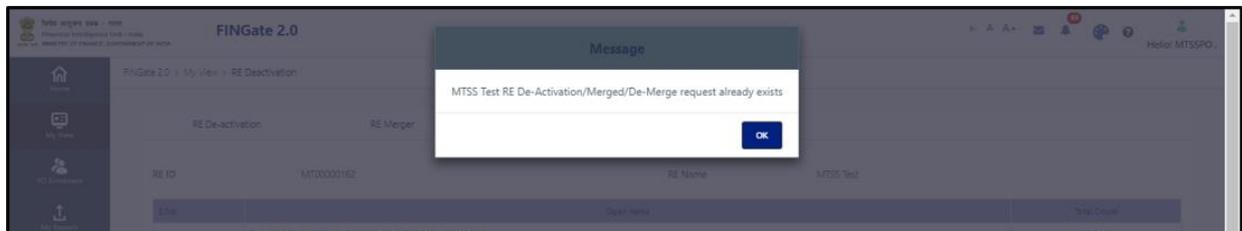
Please note that if the RE De-Merger request is approved by FINGate Enrolment Admin then RE as well as all the users will be deactivated and users won't be allowed to login to FINGate Portal.

Submit Close



5.3.7.2 Existing RE still exists and new RE created from same

1. The new RE/Entities need to register individually in FINGate 2.0 System as per the existing enrolment process defined in Section 4 - Enrolment of Reporting Entities
2. Existing RE will remain working as previously.
3. Existing RE need to own already submitted reports /RFI and New RE will be registered using existing enrolment process.



5.4 DSC Registration

RE Users (PO and APO) needs to register their DSC to submit the reports. For DSC registration user needs to follow the following steps:

1. The user shall navigate to 'DSC Signature Registration' option from the Left Panel and user will be redirected to the "Digital Signature Certificate (DSC) Registration" page.

- The user needs to make sure that emBridge and HyperPKI_epASS2003 Token Manager software are already installed in the system.

Software Name	Description	Installation URL/Location
emBridge	emBridge enables web applications to easily interact with Signing Certificates stored in USB crypto tokens. Secure channel between your Web / Desktop / Network Applications and the cryptographic keys stored in your client's hardware tokens / smartcards / computer. (https://embridge.emudhra.com/)	https://embridge.emudhra.com/
HyperPKI_epASS2003 Token Manager	In-built software in DSC device	This is a DSC device specific software that already exists in the DSC device

- The Provider User ID will be automatically populated based on the Email ID of the Login User.
- The user needs to enter the Mobile Number.

The screenshot shows the 'Digital Signature Certificate(DSC) Registration' page in FINGate 2.0. The form contains the following fields and values:

- Provider User Id*: adroit794@proton.me
- Mobile Number*: 963258888
- Provider*: ePass-2B1113F0801D8017(ePass2003) (with a refresh icon)
- Certificate*: Class 3 individual test
- Provider Password*: [masked]

Below the fields, there is a checked checkbox for 'Agree to proceed' and a 'Submit' button.

5. In Provider the user needs to select the DSC service provider (It will visible only if required software are installed and device is connected with the system). The user needs to click on the refresh icon to get the list of Provider.

The screenshot shows the 'Digital Signature Certificate(DSC) Registration' page in FINGate 2.0. The 'Provider*' dropdown menu is open, displaying the following options:

- Select
- ePass-2B1113F0801D8017(ePass2003)
- Microsoft Windows Store

The 'Agree to proceed' checkbox is currently unchecked, and the 'Submit' button is visible below.

6. In Certificate the user needs to select the certificate from the connected DSC device. (It will visible only if DSC device software is installed and is connected with the system).

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FINGate 2.0 > Digital Signature Registration

Digital Signature Certificate(DSC) Registration

Provider User Id*

Mobile Number*

Provider*

Certificate*

Provider Password*

Agree to proceed

7. The user needs to enter the Provider Password.

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FINGate 2.0 > Digital Signature Registration

Digital Signature Certificate(DSC) Registration

Provider User Id*

Mobile Number*

Provider*

Certificate*

Provider Password*

Agree to proceed

8. The user needs to click on the checkbox and needs to click on Submit button.

9. After successful verification the user will get the success message.



6 Annexures

6.1 RE Type and Line of Business

RE type	New RE Type Suggested Code	Line of Business	Revised LOB Code
Banks	BA	Public Sector Banks	BAPUB
		Private Sector Banks	BAPVT
		Foreign Banks	BAFOR
		Regional Rural Banks	BARRB
		Local Area Banks	BALAB
		Scheduled Urban Cooperative Banks	BASUC
		Non-Scheduled Urban Cooperative Banks	BANUC
		State Cooperative Banks	BASCO
		District Cooperative Banks	BADCB
		Other Banking Company	BAOTH
		Authorized Dealer Category I	BAAD1
		Authorized Dealer Category II	BAAD2
Payment Banks	BAPYB		
Brokerage Firms	BF	Depository Participants	BFDPP
		Share Brokers	BF BRO
		Derivative Members	BF BDS
		Share Transfer Agents	BF STA
		Registrars and Transfer Agents	BF RTA
		Merchant Bankers	BF MER
		Underwriters	BF UND
		Bankers to an Issue	BF BAN
		Registrars to Issue	BF REG
		Portfolio Managers	BF POM
		Investment Advisors	BF ADV
		Trustees to Trust Deeds	BF TRU
		Sub Brokers	BF SBR
		Commodity Broker	BF COM
		Foreign Venture Capital Funds	BF VCF
		Foreign Institutional Investors	BF FII
		Custodian of Securities	BF CUS
		Domestic Venture Capital Funds	BF VCD
		Other Intermediaries	BF OIN
Foreign promotor investors (FPI)	BF FPI		
PFRDA Intermediary	PFRDA		



RE type	New RE Type Suggested Code	Line of Business	Revised LOB Code
Card service operator	CO	Card System Operators	COCOSO
Casino	CA	Casinos	CASIN
Department of Posts	DP	Department of Posts	DPOST
Depositories	DE	Depositories	DEDEP
Exchange House	EX	Commodity Exchange	EXCEX
		Recognized Stock Exchange	EXSTX
FI/NBFC/Others	FI	Housing Finance Companies	FIHFC
		Authorized Dealer Category II	FIAD2
		Authorized Dealer Category III	FIAD3
		Central Counter Party	FICCP
		All India Financial Institutions	FIAFI
		Hire Purchase Companies	FIHPC
		Chit Fund Companies	FICFC
		NBFC Accepting Deposits	FINBA
		NBFC not Accepting Deposits	FINBN
		Other Financial Institutions	FIOTH
		Credit Rating Agencies	FICRE
		PFRDA Intermediary	FIPFR
		Others	FIZZZ
Insurance	IS	Life Insurance Companies	ISINL
		Non-Life Insurance Companies	ISINN
		Insurance Broker	ISIBR
		Reinsurance	ISREN
MTSS	MT	Money Transfer Service Principal	MTMTP
		Money Transfer Service Agent	MTMTA
Mutual Fund	MF	Mutual Fund Companies/Collective Investment Schemes	MFCOL
		Portfolio Management Services	MFPMS
		Alternative Investment Fund	MFAIF
		Venture Capital Fund	MFVCF
Payment aggregator	PA	Wallet	PAWAL
		Payment gateway	PAPGY
		Online Payment gateway service provider (OPGSP)	PAGSP



RE type	New RE Type Suggested Code	Line of Business	Revised LOB Code
and Payment gateways			
Property Registrar	PR	Registrars or Sub-Registrars	PRGSR
Jeweler	JE	Jeweler	JEJEW
Real Estate	RE	Real Estate	RERES
Regulator	RG	Regulator	RGREG
Multi State Cooperative Societies	MS	Multi State Cooperative Society	MSCS1

6.2 Bulk Templates

6.2.1 Branch Bulk Template

This template can be downloaded in the RE Profile update page and used to upload branch details.

Column	Description
Branch Name	Name of the Branch
Branch Id	Provide the Branch Code
Available Regulator Id	Is the branch code issued by a Branch Regulator - Yes / No
Regulator Id	ID of the Regulator
Geo Location	Provide the Geo location in latitude, and longitude
IFSC	Provide the IFSC code, if applicable
Address	Provide the Address
Locality	Provide the Locality
Country Id*	Provide the Country id
PinCode	Provide the Pin code
State Id*	Provide the State id
State Name	Provide the State name if the address in non-Indian. For Indian addresses, leave this field as blank
District Id*	Provide the District id Note – The district id in combination with the state id will be unique
District Name	Provide the District name if the address in non-Indian. For Indian addresses, leave this field as blank
City Id*	Provide the City
City Name	Provide the City name if the address in non-Indian. For Indian addresses, leave this field as blank

* - To know the country / state / district and city id stored as metadata in the portal, please click on 'Learning & Resources' in the left panel and download the metadata details.



S. No.	Metadata	Download
1	Country	Download
2	State	Download
3	City	Download
4	District	Download
5	Pincode	Download

6.2.2 ATM Bulk Template

Column	Description
ATM Id	ATM ID as maintained by RE
ATM Type	CAM or ATM
NPCI ATM Id	ATM ID as maintained by NPCI
Address	Provide the Address code
Locality	Provide the Locality
Country Id*	Provide the Country id
PinCode	Provide the Pin Code
State Id*	Provide the State id
State Name	Provide the State name if the address in non-Indian. For Indian addresses, leave this field as blank
District Id*	Provide the District id Note – The district id in combination with the state id will be unique
District Name	Provide the District name if the address is non-Indian. For Indian addresses, leave this field as blank
City Id*	Provide the City id
City Name	Provide the City name if the address in non-Indian. For Indian addresses, leave this field as blank

* - To know the country / state / district and city id stored as metadata in the portal, please click on 'Learning & Resources' in the left panel and download the metadata details.



The screenshot displays the 'Learning & Resources' section of the FINGate 2.0 interface. On the left is a dark sidebar with icons for 'My View', 'PO Enrolment', 'My Reports', 'RFAI', 'FIU Requests', 'Connect Forum', and 'Learning & Resources'. The main content area has a header 'LEARNINGRESOURCES' and a sub-header 'Learning & Resources'. Below this is a table with the following structure:

S. No.	Metadata	Download
1	Country	Download
2	State	Download
3	City	Download
4	District	Download
5	Pincode	Download

6.3 Acronyms and Abbreviations

Sr. No.	Acronym	Definition
1.	AML	Anti-Money Laundering
2.	APO	Alternate Principal Officer
3.	ATM	Automated Teller Machine
4.	CAPTCHA	Completely Automated Public Turing test to tell Computers and Humans Apart
5.	CBDT	Central Board of Direct Taxes
6.	CBWTR	Cross Border Wire Transfer Reports
7.	CCR	Counterfeit Currency Report
8.	CIN	Company Identification Number
9.	CSV	Comma-separated values
10.	CTR	Cash Transaction Report
11.	DD	Designated Director
12.	DIN	Director Identification Number
13.	DQR	Data Quality Report
14.	DSC	Digital Signature Certificates
15.	FCRN	Foreign Company Registration Number
16.	FIU-IND	Financial Intelligence Unit, India
17.	FLLPIN	Foreign Limited Liability Partnership Identification Number
18.	GoS	Grounds of Suspicion
19.	GSTIN	Goods and Services Tax Identification Number
20.	GSTN	Goods and Services Tax Network
21.	ID	Identification Document
22.	KYC	Know Your Customer
23.	LLPIN	Limited Liability Partnership Identification Number
24.	MCA	Ministry of Corporate Affairs
25.	MSP	Managed Service Provider
26.	MTSS	Money Transfer Service Scheme
27.	Non-PO	Non Principal Officer



Sr. No.	Acronym	Definition
28.	NTR	Non-Profit Transaction Reports
29.	OTP	One Time Password
30.	PAN	Permanent Account Number
31.	PMLA	Prevention of Money Laundering Act
32.	PO	Principal Officer
33.	PTR	Property Transaction Reports
34.	RBI	Reserve Bank of India
35.	RE	Reporting Entity
36.	SMS	Short Message Service
37.	STR	Suspicious Transaction Report
38.	UCC	Unified Communication Centre