

**SUMMARY OF CASH TRANSACTION REPORTS (CTRs) FOR A BANKING COMPANY**

Kindly fill in CAPITAL. Read the instructions before filling the form.

**PART 1 DETAILS OF THE SUMMARY**

- 1.1 Month and year of summary 

2	0	0
M	M	Y
Y	Y	Y
- 1.2 Is this a supplementary to an earlier summary ? NO YES (Tick ✓ as applicable)
- 1.3 Date of sending original summary if this is a supplementary 

2	0	0
D	D	M
Y	Y	Y

**PART 2 DETAILS OF THE PRINCIPAL OFFICER**

- 2.1 Name of Bank
- 2.2 BSR code
- 2.3 ID allotted by FIU-IND
- 2.4 Category of bank (Refer to Instructions)
- 2.5 Name of principal officer
- 2.6 Designation
- 2.7 Address (No., Building)
- 2.8 Street/Road
- 2.9 Locality
- 2.10 City/Town, District
- 2.11 State, Country
- 2.12 Pin code
- 2.13 Tel (with STD code)
- 2.14 Fax
- 2.15 E-mail

**PART 3 STATISTICS FOR THE MONTH**

- 3.1 Number of total branches
- 3.2 Number of branches which have sent reports (including NIL report)
- 3.3 Number of branches which have submitted CTRs (excluding NIL report)
- 3.4 Number of original CTRs (bank accounts) enclosed with this summary
- 3.5 Number of replacement CTRs (bank accounts) enclosed with this summary
- 3.6 Total original CTRs (bank accounts) reported for the month (cumulative)

DO NOT FILL. FOR FIU-IND USE ONLY	
ACK. NO.	_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
DATE	_ _ _ _ _ _ _ _ _ _ _ _ _ _ _ _
	D D M M Y Y Y Y

Signature

Name

(Should be same as the person mentioned in PART 2)

## SUMMARY OF CASH TRANSACTION REPORTS (CTRs) FOR A BANKING COMPANY INSTRUCTIONS

### GENERAL INSTRUCTIONS

#### The Prevention of Money Laundering Act 2002

Under the Prevention of Money Laundering Act 2002, every banking company shall furnish details of:

(A) All cash transactions of the value of more than rupees ten lakhs or its equivalent in foreign currency;

(B) All series of cash transactions integrally connected to each other which have been valued below rupees ten lakhs or its equivalent in foreign currency where such series of transactions have taken place within a month;

Provided that where the principal officer of a banking company has reason to believe that a single transaction or series of transactions integrally connected to each other have been valued below the prescribed limit so as to defeat the provisions of this section, such officer shall furnish information in respect of such transactions to the Director within the prescribed time.

#### How to submit

The principal officer should submit this summary alongwith CTRs received from branches /locations to the Director, FIU-IND.

Address	Director, FIU-IND Financial Intelligence Unit-India 6th Floor, Hotel Samrat Chanakyapuri, New Delhi -110021 India
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### EXPLANATION OF SPECIFIC TERMS

#### PART 1: DETAILS OF THE SUMMARY

Separate summary should be furnished for each month.

Supplementary summary is required to be submitted where a summary for the month has already been submitted. In case of supplementary summary, only additional CTRs need to be enclosed.

#### PART 2: DETAILS OF THE PRINCIPAL OFFICER

2.3. ID allotted by FIU-IND may be left blank till the same is communicated by FIU-IND.

2.4. Category of the bank

“A”-Public Sector Bank  
“B”-Private Sector Bank  
“C”-Foreign Bank  
“D”-Co-operative Bank  
“E”-Regional Rural Bank  
“F”-Local Area Bank  
“Z”-Other

2.5. Principal officer is the officer designated by the banking company under PMLA, 2002

#### PART 3: STATISTICS FOR THE MONTH

3.1. Number of branches of the bank.

3.2. Number of branches which have sent CTRs or submitted NIL report for the month.

3.3. Number of branches which have submitted CTRs for the month.

3.4. Number of original CTRs (bank accounts) should match with the original CTRs enclosed with this summary.

3.5. Number of replacement CTRs (bank accounts) should match with the replacement CTRs enclosed with this summary. All replacement CTRs received from branches should be enclosed at the end of the reports for the month.

3.6. This figure should be the cumulative total of 3.4. above for the all the summaries of the month

**ALL CTRs MUST BE ENCLOSED.**