

F.No.9-10/2023/CFT/FIU-IND
Government of India
Department of Revenue
Financial Intelligence Unit – India

6th Floor, Tower-II
Jeevan Bharati (LIC) Building
Connaught Place
New Delhi – 110001
November 01, 2023

Order: Mechanism and application for Delisting of Person/ Individual or Entity under United Nations Security Council Resolutions 1718/ 2231 or its successor resolutions, and application for exemption of funds for meeting basic and extra-ordinary expenses from Asset Freeze.

1. DoR Order (F.No. 12011/14/2022-ES Cell- DOR) dated March 22, 2023 designates the Director, FIU-India as the competent authority for receiving requests for *de-listing* a person/ individual or entity for whom information in the UNSC resolution 1718/2231, or its successor resolutions, sanctions list contains the keyword "India".
2. DoR Order (F.No. 12011/14/2022-ES Cell- DOR) dated September 01, 2023 designates the Director, FIU-India as the Central Nodal Officer for implementation of Section 12A of the WMD Act, 2005. Para 6 of the said order states the details the *exemptions* for basic and extraordinary expenses that may be granted by the Central Nodal Officer and the procedure for the same.
3. In pursuance of the same, the format for application by Persons/ Individual or Entity requesting delisting from Designated Lists for whom information in the UNSC resolution 1718/2231, or its successor resolutions, sanctions list contains the keyword "India" is enclosed in **Annexure A**.
4. Further, the format for application by Persons/ Individual or Entity requesting exemption of funds for meeting basic and extra-ordinary expenses from Asset Freeze is enclosed in **Annexure B**.

5. It is mentioned further that persons/ individuals or entities **other** than those for whom information in the UNSC resolution 1718/2231 or its successor resolutions sanctions list contains the keyword "India" shall approach the Focal Point in the UN directly for de-listing. The contact details of the UN Focal Point are mentioned below.

Focal Point for De-listing
Security Council Subsidiary Organs Branch
Room DC2 2034
United Nations
New York, N.Y. 10017
United States of America
Tel. +1 917 367 9448
Fax. +1 917 367 0460
Email: delisting@un.org



(Vasud Torsekar)

Joint Director

Form for requesting Delisting

Director, FIU-India,

Department of Revenue,

Ministry of Finance,

Dear Sir/Madam,

Request for exemption of funds from Asset Freeze

I, ----- (name) bearing

the ----- (official document number), born/incorporated on -----

-----in the city of -----Country,

email: -----, telephone number-----

---- designated under UNSCR list (1718/2231), wish to apply for de-listing citing reasons stated below.

Basis for De-listing -

The present request is made for its onward communication to the Focal Point Mechanism established in the UN under UNSCR 1730(2006).

Form for requesting exemption of funds from Asset Freeze

The Chief Nodal Officer,

Director, FIU-India,

Department of Revenue,

Ministry of Finance,

[**Telephone Number:** 011-23314458, 011-23314435; **email address:** dir@fiuindia.gov.in].

Dear Sir/ Madam,

Request for exemption of funds from Asset Freeze

I, _____ (name) bearing the

_____ (official document number), born/incorporated on

_____ in the city of _____ Country, email:

_____ telephone number _____ whose funds

have been frozen pursuant to a match with UNSCR list (1718/2231), under reference no.

_____ hereby state that I have been negatively affected by such listing

given the sanctions that were imposed against myself with the financial and social burdens

this entails.

I request that I may be granted an exemption under para _____ of the

Department of Revenue Order (F. No. 12011/14/2022-ES Cell-DOR dated September 1,

2023) as detailed below.

<p align="center">Form for seeking exemption for Basic and/ or Extraordinary expenses (Para 6.1 and 6.3 of Department of Revenue Order (F. No. 12011/14/2022-ES Cell-DOR dated September 1, 2023)</p>	
Individual's/entity's name	
Permanent reference number on Sanctions List	
Individual's/entity's address	
Specifics of the funds, economic resources, other assets frozen, which are requested for exemption	
Purpose of payment (please select one)	<input type="checkbox"/> Basic expenses (please complete sections A and D)
	<input type="checkbox"/> Extraordinary expenses (please complete sections B and D)
	<input type="checkbox"/> Expenses for the purpose of making payments due under a contract entered into prior to designation (please complete sections C and D)
A. If basic expense(s) exemption pursuant to paragraph 75(a) of resolution 2253 (2015): (Please provide amount if relevant/ known)	
Food	
Rent or mortgage	
Medicines or medical treatment	
Taxes	
Insurance premiums	
Public utility charges	
Payment of professional fees and reimbursement associated with the provision of legal services	
Fees or service charges for frozen funds or assets	

**B. If extraordinary expense(s) exemption pursuant to paragraph 75(b) of resolution 2253
(2015):
(Please provide details and amount if relevant/ known)**

Specifics of extraordinary expenses	
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C. If exemption for payment due under a contract entered into prior to designation

Nature of contract	
Contracting party	
Date of entering into the contract	
Date of the contract going into effect	
Payment due under the contract	
Other relevant information (a notarized copy of the contract to be enclosed with the application)	

**D. Necessary Documents
(to be included with this form)**

Proof of Name, Address, Birth/ Incorporation	
Proof of Expenses in respect of which exemption is sought	
Contact Number	
Email ID	