

<i>Type of Report</i>	STR
<i>Description of Report</i>	SUSPICIOUS TRANSACTION REPORT
<i>Reporting Entity</i>	INTERMEDIARY
<i>Version</i>	1.0

MARCH 2006

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[*Suspicious Transaction Report for an intermediary*](#)

[*Annexure A- Individual Detail Sheet for an intermediary*](#)

[*Annexure B- Legal Person/ Entity Detail Sheet for an intermediary*](#)

[*Annexure C- Account Detail Sheet for an intermediary*](#)

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1. Introduction

The Prevention of Money Laundering Act, 2002 (PMLA 2002) forms the core of the legal framework put in place by India to combat money laundering. PMLA 2002 and the Rules notified thereunder came into force with effect from July 1, 2005. Director, FIU-IND and Director (Enforcement) have been conferred with exclusive and concurrent powers under relevant Sections of the Act to implement the provisions of the Act.

The PMLA 2002 and Rules notified thereunder impose an obligation on banking companies, financial institutions and intermediaries to verify identity of clients, maintain records and furnish information to FIU-IND.

2. Financial Intelligence Unit – India

The Government of India set up Financial Intelligence Unit – India (FIU-IND) on 18th November 2004 as an independent body to report directly to the Economic Intelligence Council (EIC) headed by the Finance Minister.

FIU-IND has been established as the central national agency responsible for receiving, processing, analyzing and disseminating information relating to suspect financial transactions. FIU-IND is also responsible for coordinating and strengthening efforts of national and international intelligence and enforcement agencies in pursuing the global efforts against money laundering and related crimes. The address of Financial Intelligence Unit – India (FIU-IND) is:

Director, FIU-IND
Financial Intelligence Unit-India
6th Floor, Hotel Samrat
Chanakyapuri, New Delhi -110021
India

Website: www.fiuindia.gov.in

3. Prevention of Money Laundering Act, 2002

Section 12 of the Prevention of Money Laundering Act, 2002 lays down following obligations on intermediaries.

- “12. (1) Every banking company, financial institution and intermediary shall-*
- (a) maintain a record of all transactions, the nature and value of which may be prescribed, whether such transactions comprise of a single transaction or a series of transactions integrally connected to each other, and where such series of transactions take place within a month;*
 - (b) furnish information of transactions referred to in clause (a) to the Director within such time as may be prescribed;*
 - (c) verify and maintain the records of the identity of all its clients, in such a manner as may be prescribed.*

Provided that where the principal officer of a banking company or financial institution or intermediary, as the case may be has reason to believe that a single transaction or series of transactions integrally connected to each other have been valued below the prescribed limit so as to defeat the provisions of this section, such officer shall furnish information in respect of such transactions to the Director within the prescribed time.

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(2) The records referred to in sub-section (1) shall be maintained for a period of ten years from the date of cessation of the transactions between the clients and the banking company or financial institution or intermediary, as the case may be.”

4. Suspicious Transaction Report

The Prevention of Money laundering Act, 2002 and the Rules notified thereunder require every intermediary to furnish details of suspicious transactions whether or not made in cash. Suspicious transaction means a transaction whether or not made in cash which, to a person acting in good faith –

- (a) gives rise to a reasonable ground of suspicion that it may involve the proceeds of crime; or
- (b) appears to be made in circumstances of unusual or unjustified complexity; or
- (c) appears to have no economic rationale or bonafide purpose.

Broad categories of reason for suspicion and examples of suspicious transactions for an intermediary are indicated as under:

Identity of Client

- False identification documents
- Identification documents which could not be verified within reasonable time
- Non-face to face client
- Doubt over the real beneficiary of the account
- Accounts opened with names very close to other established business entities

Suspicious Background

- Suspicious background or links with known criminals

Multiple Accounts

- Large number of accounts having a common account holder, introducer or authorized signatory with no rationale
- Unexplained transfers between multiple accounts with no rationale

Activity in Accounts

- Unusual activity compared to past transactions
- Use of different accounts by client alternatively
- Sudden activity in dormant accounts
- Activity inconsistent with what would be expected from declared business
- Account used for circular trading

Nature of Transactions

- Unusual or unjustified complexity
- No economic rationale or bonafide purpose
- Source of funds are doubtful
- Appears to be case of insider trading
- Investment proceeds transferred to a third party
- Transactions reflect likely market manipulations
- Suspicious off market transactions

Value of Transactions

- Value just under the reporting threshold amount in an apparent attempt to avoid reporting
- Large sums being transferred from overseas for making payments
- Inconsistent with the clients apparent financial standing
- Inconsistency in the payment pattern by client

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- Block deal which is not at market price or prices appear to be artificially inflated/deflated

5. Due Date

Rule 8 of Notification No. 9/2005 dated 1-7-2005 require the Principal Officer of an intermediary to furnish the information of the suspicious transactions to Director, FIU-IND within 7 working days of establishment of suspicion at the level of Principal Officer.

6. Intermediary

Intermediary includes following persons registered under section 12 of the Securities and Exchange Board of India (SEBI) Act, 1992.

- (i) Stock brokers
- (ii) Sub-brokers
- (iii) Share transfer agents
- (iv) Bankers to an issue
- (v) Trustees to trust deed
- (vi) Registrars to issue
- (vii) Merchant bankers
- (viii) Underwriters
- (ix) Portfolio Managers
- (x) Depositories and Participants
- (xi) Custodian of securities
- (xii) Foreign institutional investors
- (xiii) Credit rating agencies
- (xiv) Venture capital funds
- (xv) Collective investment schemes including mutual funds

7. Methods of filing

Suspicious Transaction Reports can be filed either in manual or electronic format. However, a reporting agency must submit all reports to FIU-IND in electronic format if it has the technical capability to do so. The required technical capability is defined as follows:

- i) A personal computer with 32 MB memory RAM, 800 x 600 VGA video display, Windows® 98/Me/NT/2000; and
- ii) An Internet connection.

8. Manual format

Suspicious Transaction Report in manual format has to be filed in following forms:

Description of Form	Information
Suspicious Transaction Report for an intermediary	Details of suspicious transactions
Annexure A- Individual Detail Sheet for an intermediary	Identification details of individual
Annexure B- Legal Person/ Entity Detail Sheet for an intermediary	Identification details of legal person /entity
Annexure C- Account Detail Sheet for an intermediary	Details of account and transactions

The above forms are given in the Appendix.

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9. Electronic Format

FIU-IND is in the process of developing technological infrastructure to enable submission of electronic return over a secure gateway. In the interim the intermediaries exercising the electronic option should submit the following to Director, FIU-IND:

- i) One CD containing six data files in prescribed data structure. A label mentioning name of the intermediary, SEBI registration number of intermediary, type of report (STR), date of sending report should be affixed on each CD for the purpose of identification.
- ii) Each CD should be accompanied by Suspicious Transaction Report for an intermediary (same form should be used for both manual as well as electronic format) in physical form duly signed by the principal officer. This summary should match with the data in Control File (SINCTL.txt). There is no need to submit other annexures in the physical form.

Important:

- i) In case the size of data files exceeds the capacity of one CD, the data files should be compressed by using Winzip 8.1 or ZipItFast 3.0 (or higher version) compression utility only to ensure quick and smooth acceptance of the file.
- ii) The CD should be virus free.

10. Description of Data files

In case of electronic filing, the STR data for the intermediary should have following six data files:

S No.	Filename	Description
1	SINCTL.txt	Control File
2	SINBRC.txt	Branch Data File
3	SINACC.txt	Account Data File
4	SINTRN.txt	Transaction Data File
5	SININP.txt	Individual Data File
6	SINLPE.txt	Legal Person/Entity Data File

11. Steps in preparation of Data files

- i) The records containing details of suspicious transactions to be reported are extracted in Transaction Data File (SINTRN.txt).
- ii) The records containing details of accounts containing the suspicious transactions are extracted in Accounts Data File (SINACC.txt).
- iii) If the account is for Individuals, the records containing details of Individuals who are account holders are extracted in Individual Data File (SININP.txt). The Relation Flag should be set to "A".
- iv) If the account is for a Legal Person /Entity, the records containing details of Legal Persons /Entities who are account holders are extracted in Legal Persons /Entities Data File (SINLPE.txt). The Relation Flag should be set to "A".
- iii) If the account is for a Legal Person /Entity, the records containing details of Directors/ Partner/ Members etc. of Legal Persons /Entities are appended to Individual Data File (SININP.txt). The Relation Flag should be set to "C".

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- v) The records containing details of authorised signatories if not an account holder are appended to Individual Data File (SININP.txt) or Legal Persons /Entities Data File (SINLPE.txt) as the case may be. The Relation Flag should be set to “B”.
- vi) The records containing details of account introducers are appended to Individual Data File (SININP.txt) or Legal Persons /Entities Data File (SINLPE.txt) as the case may be. The Relation Flag should be set to “D”.
- vii) The records containing details of account guarantors are appended to Individual Data File (SININP.txt) or Legal Persons /Entities Data File (SINLPE.txt) as the case may be. The Relation Flag should be set to “E”.
- viii) The records containing details of branches/ franchisees etc. which have reported suspicious transactions are extracted in Branch Data File (SINBRC.txt).
- ix) The report level details and summary of other five tables is entered in Control file. (SINCTL.txt)

12. Steps in validation of Data files

- i) There should be six data files with appropriate naming convention.
- ii) The data files should be as per specified data structure and business rules.
- iii) None of the mandatory fields should be left blank.
- iv) Date of report should be same in all data files.
- v) The summary figures in control file should match with the totals in other data files.
- vi) The primary key integrity should be validated as under:
 - a. [Branch Reference Number] should be unique in Branch Data File (SINBRC.txt)
 - b. [Branch Reference Number + Account Number] should be unique in Account Data File (SINACC.txt)
- vii) The foreign key integrity should be validated as under:
 - a. All values of [Branch Reference Number] in Account Data File (SINACC.txt) should have a matching [Branch Reference Number] value in Branch Data File (SINBRC.txt)
 - b. All values of [Branch Reference Number + Account Number] in Transaction Data File (SINTRN.txt) should have matching [Branch Reference Number + Account Number] value in Account Data File (SINACC.txt)
 - c. All values of [Branch Reference Number + Account Number] in Individual Data File (SININP.txt) should have matching [Branch Reference Number + Account Number] value in Account Data File (SINACC.txt)
 - d. All values of [Branch Reference Number + Account Number] in Legal Person / Entity Data File (SINLPE.txt) should have matching [Branch Reference Number + Account Number] value in Account Data File (SINACC.txt)
- viii) The data sufficiency should be validated as under:
 - a. All values of [Branch Reference Number + Account Number] in Account Data File (SINACC.txt) should have at least one matching [Branch Reference Number + Account Number] value in Transaction Data File (SINTRN.txt).

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- b. All values of [Branch Reference Number + Account Number] in Account Data File (SINACC.txt) should have at least one matching [Branch Reference Number + Account Number] value in Individual Data File (SININP.txt). (As account for legal person/entity would have individuals as authorised signatories).
- c. All values of [Branch Reference Number + Account Number] in Account Data File (SINACC.txt) with Type of Account Holder = “B” (Legal Person/Entity) should have at least one matching [Branch Reference Number + Account Number] value in Legal Person / Entity Data File (SINLPE.txt).

13. General Notes for all Data files

- i) All Data Files should be generated in ASCII Format with ".txt" as filename extension.
- ii) Each Record (including last record) must start on new line and must end with a newline character. Hex Values: "0D" & "0A".
- iii) All CHAR fields must be left justified.
- iv) If CHAR field has no data or less data with respect to defined length, then the entire field (in case of no data) or the remaining field (in case of less data) has to be filled with right justified blank characters (Spaces).
- v) All NUM fields must be right justified.
- vi) If NUM field has no data or less data with respect to defined length, then the entire field (in case of no data) or the remaining field (in case of less data) has to be filled with left justified zeroes.
- vii) If DATE field has no data then the entire field has to be filled with blank characters (Spaces).
- viii) Fields with an asterisk (*) have to be compulsorily filled up. Fields that have hash (#) have to be compulsorily filled up in case they are applicable to the transaction being reported.
- ix) For fields that do not have an asterisk (*) or hash (#), reasonable efforts have to be made to get the information. Enter “N/A” to indicate that the field is not applicable. Do not substitute any other abbreviations or special characters (e.g., “x”, “-” or “*”).

14. Data Structure of Control File (SINCTL.txt)

	Field	Type	Size	From	To	Remarks
1	Report Name*	CHAR	3	1	3	Value should be “SIN” signifying STR for intermediaries
2	Serial Number of Report*	NUM	8	4	11	Indicates the running sequence number of STR for the reporting agency starting from 00000001
3	Record Type*	CHAR	3	12	14	Value should be “CTL” signifying Control file
4	Date of Report	DATE	8	15	22	Date of sending report in DDMMYYYY Format
5	Complete name of intermediary *	CHAR	80	23	102	Field + filler spaces = 80

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6	Category of intermediary *	CHAR	1	103	103	"A"- Stock brokers "B"- Sub-brokers "C"- Share transfer agents "D"- Bankers to an issue "E"- Trustees to trust deed "F"- Registrars to issue "G"- Merchant bankers "H"- Underwriters "I"- Portfolio Managers "J"- Investment advisers "K"- Depositories "L"- Depository participants "M"- Custodian of securities "N"- Foreign institutional investors "O"- Credit rating agencies "P"- Venture capital funds "Q"- Collective investment schemes "R"- Mutual funds "Z"- Others
7	SEBI registration number *	CHAR	12	104	115	SEBI registration number of intermediary
8	Unique ID issued by FIU*	CHAR	10	116	125	Use XXXXXXXXXXXX till the ID is communicated
9	Principal Officer's Name*	CHAR	80	126	205	Field + filler spaces = 80
10	Principal Officer's Designation*	CHAR	80	206	285	Field + filler spaces = 80
11	Principal Officer's Address1*	CHAR	45	286	330	No., Building Field + filler spaces = 45
12	Principal Officer's Address2	CHAR	45	331	375	Street/Road Field + filler spaces = 45
13	Principal Officer's Address3	CHAR	45	376	420	Locality Field + filler spaces = 45
14	Principal Officer's Address4	CHAR	45	421	465	City/Town, District Field + filler spaces = 45
15	Principal Officer's Address5	CHAR	45	466	510	State, Country Field + filler spaces = 45
16	Principal Officer's Pin code*	NUM	6	511	516	Pin code without "-" or space
17	Principal Officer's Telephone	CHAR	30	517	546	Telephone in format STD Code-Telephone number
18	Principal Officer's FAX	CHAR	30	547	576	Fax number in format STD Code-Telephone number
19	Principal Officer's E-mail	CHAR	50	577	626	E-mail address
20	Report Type*	CHAR	1	627	627	"N"- New Report "R"- Replacement to earlier submitted report

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21	Reason for Replacement*	CHAR	1	628	628	“A” – Acknowledgement of Original Report had many warnings or error messages. “B” – Operational error, data omitted in Original Report. “C” – Operational error, wrong data submitted in Original Report. “N”- Not Applicable as this is a new report “Z”- Other Reason
22	Serial Number of Original Report *	NUM	8	629	636	Serial Number of the Original Report which is being replaced. Mention 00000000 if Report Type is “N”
23	Operational Mode*	CHAR	1	637	637	“P”- Actual/ Production mode “T”- Test / Trial mode
24	Data Structure Version*	CHAR	1	638	638	Value should be 1 to indicate Version 1.0
25	Number of accounts linked to suspicious transactions*	NUM	8	639	646	Count of total number of records in SINACC.txt
26	Number of Transactions*	NUM	8	647	654	Count of total number of records in SINTRN.txt
27	Number of Individual Persons*	NUM	8	655	662	Count of total number of records in SININP.txt
28	Number of Legal Person/entities*	NUM	8	663	670	Count of total number of records in SINLPE.txt
29	Suspicion due to Identity of Client*	CHAR	1	671	671	Mention “Y” or “N”
30	Suspicion due to Suspicious background*	CHAR	1	672	672	Mention “Y” or “N”
31	Suspicion due to Multiple Accounts*	CHAR	1	673	673	Mention “Y” or “N”
32	Suspicion due to Activity in Accounts*	CHAR	1	674	674	Mention “Y” or “N”
33	Suspicion due to Nature of Transactions*	CHAR	1	675	675	Mention “Y” or “N”
34	Suspicion due to Value of Transactions*	CHAR	1	676	676	Mention “Y” or “N”
35	Grounds of Suspicion*	CHAR	4000	677	4676	Write summary of suspicion and sequence of events
36	Details of other investigations	CHAR	4000	4677	8676	Write details about agency, contact person and contact details
37	Acknowledgement Number	NUM	10	8677	8686	For internal use of FIU-IND. Use value 0000000000
38	Date of Acknowledgement	DATE	8	8687	8694	For internal use of FIU-IND. Use value “XXXXXXXX”

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15. Data Structure of Branch Data File (SINBRC.txt)

	Field	Type	Size	From	To	Remarks
1	Record Type*	CHAR	3	1	3	Value should be "BRC" signifying Branch Data file
2	Date of Report	DATE	8	4	11	Date of sending report in DDMMYYYY Format. This date should be same as in control file
3	Line Number*	NUM	6	12	17	Running Sequence Number for each line in the file starting from 000001. This Number will be used during validation checks.
4	Name of Branch/Franchisee*	CHAR	80	18	97	Field + filler spaces = 80
5	Branch Reference Number*	CHAR	20	98	117	[SEBI registration number +unique code for branch/franchisee]. Use only SEBI registration number if there are no branches/franchisees. Unique code for branch/franchisee can be DP Id (for depository), Broker code (for stock exchange), sub broker code (for broker)
6	Unique OD issued by FIU*	CHAR	10	118	127	Use XXXXXXXXXXXX till the ID is communicated
7	Branch Address1*	CHAR	45	128	172	No., Building Field + filler spaces = 45
8	Branch Address2*	CHAR	45	173	217	Street/Road Field + filler spaces = 45
9	Branch Address3	CHAR	45	218	262	Locality Field + filler spaces = 45
10	Branch Address4	CHAR	45	263	307	City/Town, District Field + filler spaces = 45
11	Branch Address5	CHAR	45	308	352	State, Country Field + filler spaces = 45
12	Branch Pin code*	NUM	6	353	358	Pin code without "-" or space
13	Branch Telephone	CHAR	30	359	388	Telephone number in format STD Code-Telephone number
14	Branch Fax	CHAR	30	389	418	Fax number in format STD Code-Telephone number
15	Branch E-mail	CHAR	50	419	468	E-mail address

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16. Data Structure of Account Data File (SINACC.txt)

	Field	Type	Size	From	To	Remarks
1	Record Type*	CHAR	3	1	3	Value should be "RAC" signifying Reported Account file
2	Date of Report	DATE	8	4	11	Date of sending report in DDMMYYYY Format. This date should be same as in control file
3	Line Number*	NUM	6	12	17	Running Sequence Number for each line in the file starting from 000001. This Number will be used during validation checks.
4	Branch Reference Number*	CHAR	20	18	37	Refer Branch Data File
5	Account Number*	CHAR	20	38	57	Number to uniquely identify the account. It can be Client code (share broker), Demat account number (depository) or share application number (registrar to the issue) etc.
6	Name of first/sole account holder	CHAR	80	58	137	Field + filler spaces = 80
7	Type of Account*	CHAR	1	138	138	"A"- Trading Account "B"- Demat Account "C"- Account with Portfolio Manager "Z"- Other
8	Type of Account Holder*	CHAR	1	139	139	"A"- Individual "B"- Legal Person /Entity excluding "C" and "D" "C"- Central/ State Government "D"- Central/ State Government owned undertaking "Z"- Other
9	Date of Account opening*	DATE	8	140	147	Date in DDMMYYYY Format
10	Risk Category	CHAR	1	148	148	Risk Category as per the Internal Risk Assessment System of the intermediary "A"- Low Risk "B"- Medium Risk "C"- High Risk
11	Cumulative Credit Turnover*	NUM	20	149	168	Sum of all credits in the Account (trading account etc.) from 1 st April of the financial year till the last day of the

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						month of reporting. If report is being furnished for Jan 2006 then transactions from 1 st April 2005 to 31 st Jan 2006 have to be aggregated. The amount should be rounded off to nearest rupee without decimal.
12	Cumulative Debit Turnover*	NUM	20	169	188	Sum of all debits in the Account (trading account etc.) from 1 st April of the financial year till the last day of the month of reporting. The amount should be rounded off to nearest rupee without decimal.

17. Data Structure of Transaction Data File (SINTRN.txt)

	Field	Type	Size	From	To	Remarks
1	Record Type*	CHAR	3	1	3	Value should be "TRN" signifying Transaction data file
2	Line Number*	NUM	6	4	9	Running Sequence Number for each line in the file starting from 000001. This Number will be used during validation checks.
3	Branch Reference Number*	CHAR	20	10	29	Refer Branch Data File
4	Account Number*	CHAR	20	30	49	Account number (trading/demat etc.). Refer Account Data file
5	Transaction ID	CHAR	20	50	69	Unique ID to identify transaction (if available)
6	Date of Transaction*	DATE	8	70	77	Date in DDMMYYYY Format
7	Mode of Transaction*	CHAR	1	78	78	"A"- Cheque "B"- Transfer within Branch "C"- Cash "D"-Demand Draft "E"- Electronic Fund Transfer "F"-Travelers Cheque "G"- Share Trading "H"-Share Delivery "I"-Mutual Fund application "Z"- Others
8	Debit/Credit*	CHAR	1	79	79	"D" – Debit "C" – Credit
9	Security identifier	CHAR	12	80	91	Unique code for security (ISIN etc.)
10	Number of security	NUM	14	92	105	Number of security involved in transaction

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11	Amount*	NUM	20	106	125	The amount should be rounded off to nearest rupee without decimal. If this amount was not in Indian Rupees, it should be converted into Indian rupees.
12	Currency of Transaction*	CHAR	3	126	128	“INR” for Indian Rupees, Mention Currency code as per SWIFT Code
13	Disposition of Funds	CHAR	1	129	129	Reserved for later use. Use Value “X”
14	Remarks	CHAR	10	130	139	Mention any other information related to the transaction.

18. Data Structure of Individual Data File (SININP.txt)

	Field	Type	Size	From	To	Remarks
1	Record Type*	CHAR	3	1	3	Value should be “INP” signifying Individual data file
2	Date of Report	DATE	8	4	11	Date of sending report in DDMMYYYY Format. This date should be same as in control file
3	Line Number*	NUM	6	12	17	Running Sequence Number for each line in the file starting from 000001. This Number will be used during validation checks.
4	Branch Reference Number*	CHAR	20	18	37	Refer Branch Data File
5	Account Number*	CHAR	20	38	57	Account number (trading/demat etc.). Refer Account Data file
6	Relation Flag*	CHAR	1	58	58	“A”- Account Holder “B”- Authorised Signatory “C”- Director/ Partner/Member etc. of a Legal Person /Entity “D”- Introducer “E”- Guarantor “Z” - Other
7	Full name of Individual*	CHAR	80	59	138	Full Name Field + filler spaces = 80
8	Client Code/Number	CHAR	10	139	148	Client Code/Number if it has been allotted by the intermediary
9	Name of Father/Spouse	CHAR	80	149	228	Full Name of Father/Spouse Field + filler spaces = 80
10	Occupation	CHAR	50	229	278	Where Applicable
11	Date of Birth	DATE	8	279	286	In DDMMYYYY format
12	Sex	CHAR	1	287	287	“M”- Male

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						“F”- Female
13	Nationality	CHAR	2	288	289	Country code as per SWIFT
14	Type of Identification	CHAR	1	290	290	“A” –Passport “B”- Election ID Card “C”- PAN Card “D”- ID Card “E”- Driving License “F”- Account Introducer “Z” - Other
15	Identification Number	CHAR	10	291	300	Number mentioned in the identification document
16	Issuing Authority	CHAR	20	301	320	Authority which had issued the identification document
17	Place of Issue	CHAR	20	321	340	Place where document was issued
18	PAN	CHAR	10	341	350	Ten Digit PAN used by Income Tax Department
19	Communication Address 1*	CHAR	45	351	395	Field + filler spaces = 45
20	Communication Address 2	CHAR	45	396	440	Field + filler spaces = 45
21	Communication Address 3	CHAR	45	441	485	Field + filler spaces = 45
22	Communication Address 4	CHAR	45	486	530	Field + filler spaces = 45
23	Communication Address 5	CHAR	45	531	575	Field + filler spaces = 45
24	Communication Address Pin code*	NUM	6	576	581	Pin code without “-” or space
25	Contact Telephone	CHAR	30	582	611	Telephone number in format STD Code-Telephone number
26	Contact Mobile number	CHAR	30	612	641	Mobile number
27	Contact E-mail	CHAR	50	642	691	E-mail address
28	Place of Work	CHAR	80	692	771	Name of Organisation/ employer
29	Second Address 1	CHAR	45	772	816	Permanent address / Place of work (if other than communication address)
30	Second Address 2	CHAR	45	817	861	Field + filler spaces = 45
31	Second Address 3	CHAR	45	862	906	Field + filler spaces = 45
32	Second Address 4	CHAR	45	907	951	Field + filler spaces = 45
33	Second Address 5	CHAR	45	952	996	Field + filler spaces = 45
34	Second Address Pin code	NUM	6	997	1002	Pin code without “-” or space
35	Second Telephone	CHAR	30	1003	1032	Telephone number in format STD Code-Telephone number

19. Data Structure of Legal Person/Entity Data File (SINLPE.txt)

	Field	Type	Size	From	To	Remarks
1	Record Type*	CHAR	3	1	3	Value should be “LPE” signifying Legal Persons/ Entity data file
2	Date of Report	DATE	8	4	11	Date of sending report in DDMMYYYY Format. This date should be same as in

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						control file
3	Line Number*	NUM	6	12	17	Running Sequence Number for each line in the file starting from 000001. This Number will be used during validation checks.
4	Branch Reference Number*	CHAR	20	18	37	Refer Branch Data File
5	Account Number*	CHAR	20	38	57	Account number (trading/demat etc.). Refer Account Data file
6	Relation Flag*	CHAR	1	58	58	“A”- Account Holder “D”- Introducer “E”- Guarantor “Z”- Other
7	Name of Legal Person /Entity*	CHAR	80	59	138	Full Name Field + filler spaces = 80
8	Client Code/Number	CHAR	10	139	148	Client Code/Number if it has been allotted by the intermediary
9	Nature of Business	CHAR	50	149	198	Where Applicable
10	Date of Incorporation	DATE	8	199	206	In DDMMYYYY format
11	Type of Constitution*	CHAR	1	207	207	“A”- Sole Proprietorship “B”- Firm “C”- HUF “D”- Corporate “E”- Trust “F”- FI “G”- Mutual Fund “H”- Bank “I”- Society “J”- NBFC “K”- FII “L”- OCB “Z”- Other
12	Registration Number	CHAR	20	208	227	Registration Number of mentioned in deed/document
13	Registering authority	CHAR	20	228	247	Authority Registering deed/document
14	Place of Registration	CHAR	20	248	267	Place where the document was registered
15	PAN	CHAR	10	268	277	Ten Digit PAN used by Income Tax Department
16	Communication Address 1*	CHAR	45	278	322	Field + filler spaces = 45
17	Communication Address 2	CHAR	45	323	367	Field + filler spaces = 45
18	Communication Address 3	CHAR	45	368	412	Field + filler spaces = 45
19	Communication Address 4	CHAR	45	413	457	Field + filler spaces = 45
20	Communication Address 5	CHAR	45	458	502	Field + filler spaces = 45
21	Communication Address Pin code*	NUM	6	503	508	Pin code without “-” or space
22	Contact Telephone	CHAR	30	509	538	Telephone number in format

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						STD Code-Telephone number
23	Contact Fax	CHAR	30	539	568	Fax number in format STD Code-Telephone number
24	Contact Email	CHAR	50	569	618	E-mail address
25	Second Address 1	CHAR	45	619	663	Registered address (if different from above).
26	Second Address 2	CHAR	45	664	708	Field + filler spaces = 45
27	Second Address 3	CHAR	45	709	753	Field + filler spaces = 45
28	Second Address 4	CHAR	45	754	798	Field + filler spaces = 45
29	Second Address 5	CHAR	45	799	843	Field + filler spaces = 45
30	Second Address Pin code	NUM	6	844	849	Pin code without “-” or space
31	Second Telephone	CHAR	30	850	879	Telephone number in format STD Code-Telephone number
32	Second Fax	CHAR	30	880	909	Fax number in format STD Code-Telephone number